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Orbiting Carbon Observatory–2 (OCO-2)



Data Product User's Guide, Operational L1 and L2 Data Versions 8 and Lite File Version 9

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1 Introduction

1.1 Document Overview

This document provides a brief overview of the Orbiting Carbon Observatory-2 (OCO-2) mission and then discusses the content of the publicly available OCO-2 data products. OCO-2 Level 2 data release version 9 (V9), is based heavily on the release 8 (V8) version but address two significant issues seen in the V8 data. After the development and release of the V8 data, two issues were identified which could be corrected without a full reprocessing of the OCO-2 data. The first, a spatial and temporal sampling error of the a priori surface pressure was corrected in the retrieval software. The second issue was addressed by using systematic instrument pointing offsets that corrected the retrieved X_{CO_2} values reported in the OCO-2 "Lite" files. These corrections warranted a new release (v9) for the Lite file data products, but should be treated as consistent with the other data products released as V8. The description of the mission, instrument, data products provided in this document are largely unchanged from the description provided in the V8 version of this document. Some additional description of the pointing offset and surface pressure sampling issue that led to the need for V9 is provided.

Section 2 provides an overview of the OCO-2 mission. Information is provided in Section 2.3.3 about the specific updates for V9. Section 3 defines the naming conventions that are used throughout the data products. Section 4 discusses the key data fields in the L2 (Standard and Diagnostic) product. Section 5 discusses product characteristics and key data fields and provides recommendations for data analysis. Section 6 describes the IMAP-DOAS data product key fields. Section 7 focuses on the calibrated radiances found in the L1BSc product. Section 8 provides full tables of all of the fields in the data products. Section 9 lists tools to view and search the data products. Section 10 lists contact information for questions or issues with the OCO-2 data. Section 11 lists acknowledgements and relevant publications, and the last section lists the abbreviations and acronyms used in this document.

In addition, there are Level 1 and Level 2 algorithm theoretical basis documents (ATBD) that discuss the physics and algorithm details.

1.2 Data Products

The primary product delivered by the Orbiting Carbon Observatory-2 consists of spatially resolved estimates of the column-averaged dry air mole fraction. This quantity, called X_{CO_2} by members of the atmospheric carbon science community, quantifies the average concentration of carbon dioxide in a column of dry air extending from Earth's surface to the top of the atmosphere. Estimates of X_{CO_2} derived by taking the ratio of the column integrated number densities of carbon dioxide and molecular oxygen along the optical path between the Sun, the surface footprint, and the instrument, and then multiplying these results by the column-averaged oxygen concentration (0.20935). These carbon dioxide and oxygen number densities are estimated from high-resolution spectra of reflected sunlight, collected by the Observatory's instrument at wavelengths (colors) within the 0.765 micron molecular oxygen A band and two carbon dioxide bands centered at wavelengths near 1.61 and 2.06 microns. The Orbiting Carbon Observatory-2 mission will produce four different levels of data product for the user community that will provide comprehensive mission data results. These results can be summarized as:

- Level 1A – full orbits or fractions of orbits of calibrated and geographically located science and calibration data with quality control flags set.
- Level 1B – full orbits or fractions of orbits of calibrated and geographically located spectral radiances from the spectral channels centered on the 0.765 micron molecular oxygen A band and the carbon dioxide bands at wavelengths near 1.61 and 2.06 microns. This product contains a unique record of every sounding the instrument collects while viewing Earth during a single spacecraft orbit—approximately 74,000 soundings. Each sounding consists of collocated (observing the same location) spectra from the three spectrometer channels
- Level 2 – full orbits or fractions of orbits of geographically located estimates of the column-averaged dry air mole fraction of carbon dioxide (also called X_{CO_2}) and several atmospheric and geophysical properties collected during each spacecraft orbit. This product typically includes more than 4,000 retrievals of the concentration of atmospheric carbon dioxide in cloud-free scenes, as well as surface pressure, surface albedo, aerosol content and water vapor and temperature profiles. Estimates of the solar-induced chlorophyll fluorescence are provided in a preprocessor file (L2IDP).
- Level 3 – gridded global maps of the atmospheric carbon dioxide concentration. These products are generated by members of the Orbiting Carbon Observatory-2 science team and are not official data products like the L1 and L2 products.

The Level 1B and L2 are available at the NASA Goddard Earth Science Data and Information Services Center (GES DISC), more information on obtaining the data is provided in Section 3.

1.3 Data Usage Policy

These data have been produced by the OCO-2 project, and are provided freely to the public. In order to improve our product and have continued support for this work, we need user feedback and user acknowledgement of data usage. Therefore, we request that when publishing using OCO-2 data, please acknowledge NASA and the OCO-2 project.

- Include OCO-2 as a keyword to facilitate subsequent searches of bibliographic databases if it is a significant part of the publication
- Include a bibliographic citation for OCO-2 data. The most relevant citations currently are Wunch et al. [2017, 2011a, 2011b], O'Dell et al. [2018, 2012], Crisp et al. [2012], and Frankenberg et al. [2014a and 2014b]. The best reference for changes in V9 is Kiel et al. [2018, submitted].
- Include the following acknowledgements: “These data were produced by the OCO-2 project at the Jet Propulsion Laboratory, California Institute of Technology, and obtained from the OCO-2 data archive maintained at the NASA Goddard Earth Science Data and Information Services Center.”
- We recommend sending courtesy copies of publications to the OCO-2 Project Scientist, Michael.R.Gunson@jpl.nasa.gov, and Deputy Project Scientist, Annmarie.Eldering@jpl.nasa.gov

2 Mission Overview

Fossil fuel combustion, deforestation, and other human activities are currently adding almost 40 billion tons of carbon dioxide (CO_2) to the atmosphere each year [see Canadell et al., 2007; Le Quéré et al., 2014]. These CO_2 emissions are superimposed on active natural carbon cycle that emits more than 20 times as much CO_2 into the atmosphere each year as human activities, and then reabsorbs a comparable amount, along with about half of the human contributions. Existing ground-based measurements provide a strong global constraint on both human and natural CO_2 fluxes into the atmosphere [e.g., Conway et al., 2011]. However, a far more comprehensive measurement network is needed to identify and characterize the strongest natural sources and sinks, and to discriminate the human CO_2 emissions from the natural background. Such measurements are essential to any carbon management strategy.

One way to improve the spatial and temporal sampling of CO_2 is to retrieve precise, spatially resolved, global estimates of the column-averaged CO_2 dry air mole fraction (X_{CO_2}) from space [see Rayner and O'Brien, 2001; Crisp et al., 2004]. Surface-weighted X_{CO_2} estimates can be retrieved from high-resolution spectroscopic observations of reflected sunlight in near infrared CO_2 and O_2 bands. This is a challenging space-based remote sensing observation because even the largest CO_2 sources and sinks produce changes in the background X_{CO_2} distribution no larger than 2%, and most are smaller 0.25% [see Crisp et al., 2012].

The European Space Agency EnviSat SCIAMACHY and Japanese Greenhouse Gases Observing Satellite (GOSAT) TANSO-FTS were the first satellite instruments designed to exploit this measurement approach. SCIAMACHY collected column-averaged CO_2 and methane (X_{CH_4}) measurements over the sunlit hemisphere from 2002 to 2012 [Burrows et al., 1995; Buchwitz et al., 2007]. TANSO-FTS has been collecting X_{CO_2} and X_{CH_4} observations since April 2009 [Hamazaki et al., 2005; Kuze et al., 2009; Yoshida et al., 2011]. These data have provided an excellent proof of concept, and are beginning to yield new insights into the carbon cycle, but improvements in sensitivity, resolution, and coverage are still needed.

The Orbiting Carbon Observatory–2 (OCO-2) is NASA's first dedicated CO_2 monitoring satellite. OCO-2 is a “carbon copy” of the Orbiting Carbon Observatory (OCO), which was lost in 2009, when its launch vehicle malfunctioned and failed to reach orbit. A general description of the OCO mission is given in Crisp et al. [2004, 2008]. Most of the information is valid for OCO-2, but a few changes were needed to address known problems, replace obsolete hardware, or address operational changes. Changes that affect the OCO-2 data products are highlighted below.

Like OCO, the OCO-2 spacecraft carries and points a single instrument that incorporates 3, co-boresighted, imaging, grating spectrometers. This instrument collects high-resolution spectra of reflected sunlight in the molecular oxygen (O_2) A band, centered near 765 nm, and in the CO_2 bands centered near 1610 and 2060 nm. Each spectrometer collects 24 spectra per second, yielding about a million observations each day over the sunlit hemisphere. Coincident measurements from the three spectrometers are combined into “soundings” and analysed with a “full-physics” retrieval algorithm to yield estimates of X_{CO_2} . Clouds and optically thick aerosols preclude observations of the full atmospheric column in many regions, but this approach is expected to yield over 100,000 full-column X_{CO_2} estimates each day.

OCO-2 was successfully launched from Vandenberg Air Force Base on a Delta-II 7320 launch vehicle at 2:56 AM PDT on July 2, 2014. The launch vehicle targeted an initial orbit about 15 km below the 705-km Afternoon Constellation (A-Train). Preliminary spacecraft checkout activities were completed during the first week of operations and the onboard

propulsion system has initiated a series of orbit-raising maneuvers to insert the satellite at the head of the A-Train during the first week of August. This near-polar (98.2° inclination), Sun-synchronous orbit has a 98.8 minute period, a 16 day/233 orbit ground track repeat cycle and an equator crossing time near 1:36 PM. The OCO-2 orbit track is maintained ± 20 km of nominal ground tracks of the CloudSat radar and CALIPSO lidar. Once in this orbit, the optical bench and focal plane array (FPA) detectors in the three-channel imaging grating spectrometer were cooled to its operating temperatures and a comprehensive series of characterization and calibration activities were initiated. The Observatory completed its in-orbit check-out phase in early October and is now routinely collecting almost 1 million soundings over the sunlit hemisphere each day. More than 10% of these measurements are sufficiently cloud free to yield precise estimates of the column-averaged CO_2 dry air mole fraction, X_{CO_2} .

2.1 Measurement Approach

As noted above, the OCO-2 spacecraft carries and points a 3-channel imaging grating spectrometer designed to record high-resolution spectra of reflected sunlight in O_2 and CO_2 bands as it flies over the sunlit hemisphere. A more detailed description of the instrument is provided in the following section. For routine science operations, the instrument's bore sight is pointed to the local nadir or at the "glint spot," where sunlight is specularly reflected from the Earth's surface (Figure 2-1). Nadir observations provide the best spatial resolution and are expected to yield more useful X_{CO_2} soundings in partially cloudy regions or topographically round areas over land. Glint observations have much greater signal-to-noise ratios (SNR) over dark, specular surfaces and are expected to yield more useful sounding over ocean. The baseline operating strategy adopted early in the OCO-2 mission alternates between glint and nadir observations on consecutive 16-day ground-track repeat cycles, so that the entire sunlit hemisphere is sampled in both modes at 32-day intervals. OCO-2 can also target selected surface calibration and validation sites and collect thousands of observations as the spacecraft flies

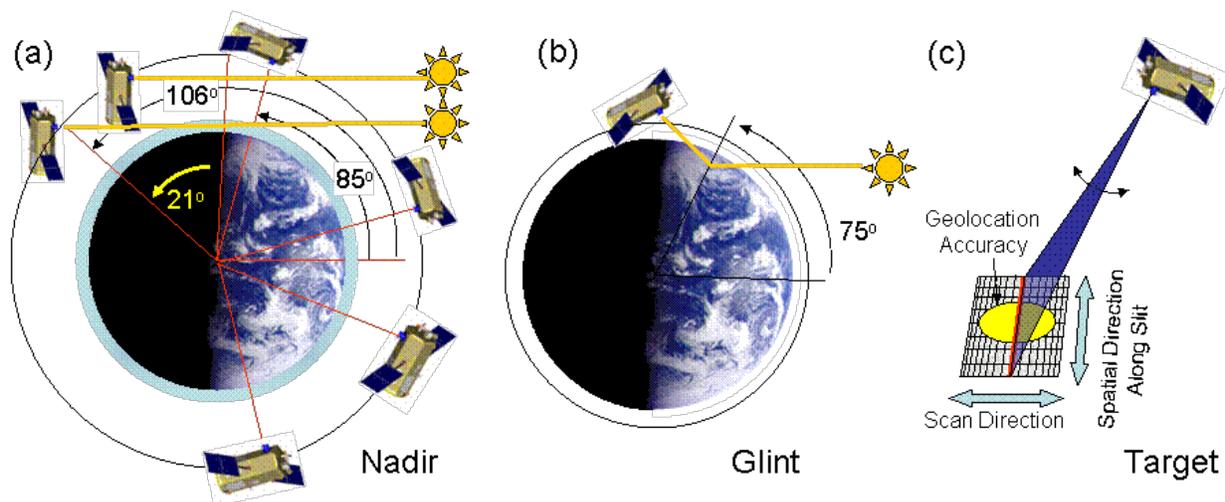


Figure 2-1. Nadir, glint, and target observations. (a) Nadir observations are acquired over the sunlit hemisphere at latitudes where the surface solar zenith angle is $< 85^\circ$. On all orbits except downlink orbits, as the Observatory passes over the northern terminator, it pitches up to point the instrument aperture at the Sun for solar radiometric calibrations. (b) Glint observations are made at latitudes where the solar zenith angle at the apparent glint spot is less than $\sim 75^\circ$. (c) For target observations, the spacecraft scans the instrument across a stationary surface target as it flies overhead.

overhead. The instrument's rapid sampling, small ($< 3 \text{ km}^2$) sounding footprint, and high sensitivity, combined with the spacecraft's ability to point the instrument's boresight toward the glint spot over the entire sunlit hemisphere, are expected to yield improved coverage of the ocean, partially cloudy regions, and high latitude continents than earlier missions.

The same rate of data sampling is used for nadir, glint, and target observations. The instrument collects 8 adjacent, spatially-resolved samples every 0.333 seconds (24 samples per second) along a narrow (0.8°) swath. At this data collection rate, it collects ~ 400 soundings per degree of latitude or ~ 1 million soundings each day over the sunlit hemisphere. Clouds, aerosols, and other factors will reduce the number of soundings available for X_{CO_2} retrievals, but the small sounding footprint ensures that some data will be sufficiently cloud free on regional scales at monthly intervals.

Nadir observations will be collected at all locations where the surface solar zenith angle is less than 85° . Glint soundings will be collected at all latitudes where the solar zenith angle of the glint spot is less than 75° . In this pointing mode, the instrument boresight is not pointed directly at the center of the glint spot, but at a point that is offset toward the local nadir, by an angle that increases with increasing solar zenith angle. Target observations are conducted over OCO-2 validation sites that are within 61° of the local spacecraft nadir along the orbit track and spacecraft viewing angles between $+20^\circ$ of the ground track. When the target is near the ground track, a single pass can last for up to 9 minutes, providing over 12000 soundings in the vicinity of the target. This large number of soundings reduces the impact of random errors and provides opportunities to identify spatial variability in the X_{CO_2} field near the target.

While OCO-2 carries an imaging grating spectrometer, it is not operated in a typical "pushbroom" fashion. Instead, for routine nadir and glint observations, the orientation of the spectrometers' 0.8° wide fields of view changes along the orbit track to optimize solar power input and instrument signal to noise. The instrument is installed in the spacecraft such that the spectrometer slits are aligned roughly parallel to axis of rotation of the solar panels. The solar panels produce maximum power when their rotation axis (and the spectrometers' fields of view) is oriented perpendicular to principal plane, which is defined by the Sun, the surface target, and the instrument aperture. (Figure 2-2a).

The Observatory collects nadir observations in the configuration described above. For these observations, as the Observatory ascends into daylight over the southern terminator, its solar panel axis and the spectrometer's field of view is oriented almost perpendicular the orbit track (Figure 2-2b) and the instrument collects data in a near-pushbroom fashion. The footprint dimensions are determined by the cross-track instantaneous field of view (0.1°) and the integration time (0.333 seconds). For nadir observations, this yields 4 to 8 cross-track footprints along the spectrometer slit with dimensions of 1.29 km by 2.25 km. As the Observatory proceeds northward along its orbit, it rotates counterclockwise about the nadir point, such that the solar panel axis and spectrometers' fields of view are aligned with the ground track just north of the sub-solar latitude. At this point, size and shape of each spatially resolved surface footprint is determined by the projected width of the slit ($< 0.03^\circ$) and the 0.333 second exposure time, yielding footprints that are ~ 0.4 km by 2.25 km. There is spatial overlap between footprints acquired in successive exposures by the spatial elements along the field of view. The principal plane azimuth rotation continues as the Observatory approaches the northern terminator, where the solar panel rotation axis and the spectrometers' fields of view are once again nearly perpendicular to the orbit track.

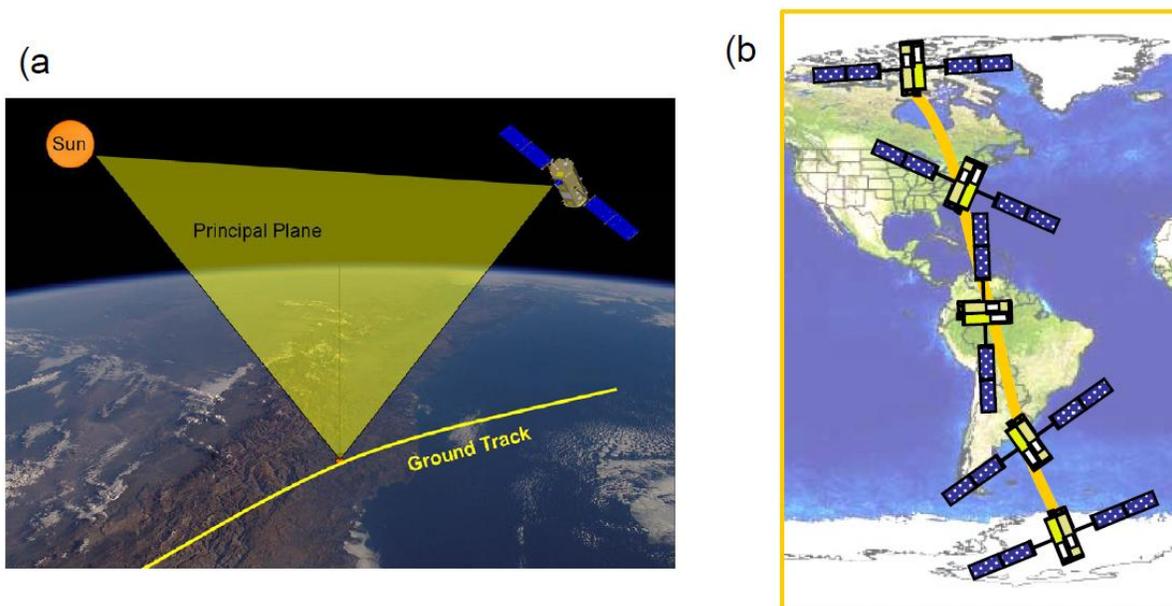
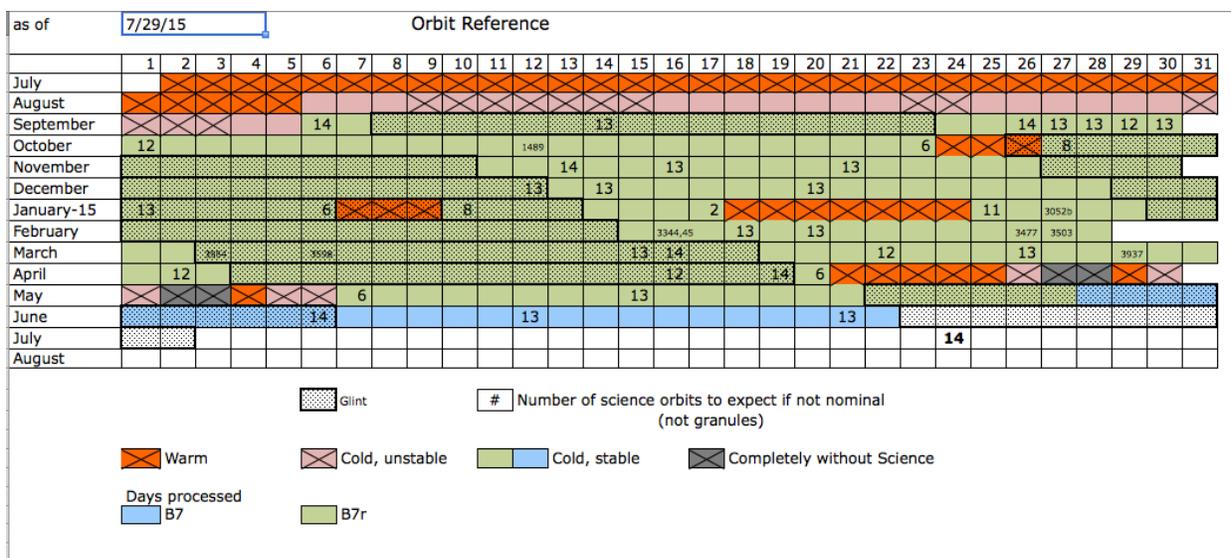


Figure 2-2. (a) The principal plane is defined with respect to the Sun, surface footprint, and spacecraft. (b) In nadir mode, the spacecraft azimuth changes during the orbit to maintain the alignment of the spectrometer slits (which are roughly parallel with the axis of the solar panels) perpendicular to the principal plane [Crisp et al., 2007]. In glint mode, the spectrometer slits are rotated 30 degrees with respect to the principal plane to increase signal in highly polarized scenes.

For glint observations, the orientation of the instrument's field of view had to be modified to improve the instrument signal to noise ratio (SNR) over the ocean at latitudes where the solar zenith angle is near the Brewster angle ($\sim 53^\circ$). This was implemented by rotating the field of view 30° clockwise around the bore sight vector (as seen from the spacecraft). This slightly reduces the power available to the solar panels, but yields adequate SNR at all solar zenith angles over the ocean. With this viewing geometry yields a broader cross-track swath south of the sub-solar latitude, and a narrower swath at high northern latitude.

Initially, the observatory was operated in 16 periods, where all observations within a period were in exclusively in nadir or glint. At times, this pattern was disrupted with avoidance maneuvers and decontamination cycles. To reduce thermal changes of the observatory, and to gather ocean data more consistently over time, the measurement pattern as changed as of July 2nd, 2015. Beginning on July 2nd, 2015, we gather one orbit of nadir, followed by an orbit in glints, followed by nadir, glint and so on. There is one 16 day pattern that begins in nadir, and a second pattern that begins in glint (there are an odd number of orbits in 16 days). So, a given orbit track (or path) is measured in nadir, and 16 days later in glint. The calendar below documents the mode of data collection over the mission to date.

Science and housekeeping data are transmitted to a NASA Near Earth Network station in Alaska once each day. The data are then transferred to the Earth Science Mission Operations center at the NASA Goddard Space Flight Center (GSFC) where the raw telemetry is converted to time-ordered raw radiance spectra (Level 0 products). This product is then delivered to the OCO-2 Science Data Operations System at the NASA Jet Propulsion Laboratory, where full orbits are first processed to yield radiometrically calibrated, geolocated spectral radiances (Level 1B products) within the O_2 and CO_2 bands. The boresighted spectra for each coincident CO_2/O_2 sounding are then processed to estimate the column-averaged CO_2 dry air mole fraction, X_{CO_2}



(Level 2 products). Other Level 2 data products to be retrieved from each sounding include the surface pressure, surface-weighted estimates of the column-averaged water vapor and atmospheric temperature, the vertical distribution and optical depth of optically thin clouds and aerosols, the CO₂ column averaging kernels, and a number of diagnostic products. Note that these secondary products are all retrieved in order to improve our estimate of X_{CO2}, and do not undergo their own validation. Therefore, they should be used with caution for science applications

Once the OCO-2 measurements are calibrated and geo-located, they are analyzed with a “full-physics” remote sensing retrieval algorithm to derive estimates of X_{CO2} and other geophysical properties. This retrieval algorithm is a modified version of the one used to process GOSAT TANSO-FTS data as part of the NASA Atmospheric CO₂ Observations from Space (ACOS) project [see O’Dell et al., 2011; Crisp et al., 2012]. Its principal features and the modifications needed to convert it from GOSAT to OCO-2 are described in Section 5.

To ensure that the X_{CO2} products meet their stringent accuracy requirements, they are validated through comparisons with near-simultaneous measurements of X_{CO2} acquired by ground-based Fourier Transform Spectrometers in the Total Carbon Column Observing Network (TCCON) [Washenfelder et al., 2006; Wunch et al., 2010]. This network currently includes over 20 stations, distributed over a range of latitudes ranging from Lauder New Zealand to Eureka, Canada, and is continuing to add new facilities. To relate TCCON measurements to the WMO CO₂ standard, aircraft observations have been collected over several stations, using in situ CO₂ measurement approaches used to define that standard. OCO-2 targets a TCCON site as often as once each day, acquiring thousands of measurements as it flies overhead. These measurements will be analyzed to reduce biases below 0.1% (0.3 ppm) at these sites. The space-based X_{CO2} estimates will be further validated through comparisons with CO₂ and surface pressure measurements from ground-based sites with the aid of data assimilation models to provide a more complete global assessment of measurement accuracy.

Separately, a set of ‘lite’ files are been created, which each contain a day of data, and have the warn levels and bias correction included. Please see the separate bias correction/lite file document for more details.

2.2 Instrument Characteristics

2.2.1 Instrument Optical Path

The OCO-2 instrument incorporates three co-boresighted, long-slit imaging grating spectrometers optimized for the O₂ A band at 0.765 microns (μm) and the CO₂ bands at 1.61 and 2.06 μm . The three spectrometers use similar optical designs and are integrated into a common structure to improve system rigidity and thermal stability. They share a common housing and a common F/1.8 Cassegrain telescope (Figure 2-3). Light entering the telescope is focused at a field stop and then recollimated before entering a relay optics assembly. There, it is directed to one of the three spectrometers by dichroic beam splitters, and then transmitted through a narrowband pre-disperser filter. The pre-disperser filter for each spectral range transmits light with wavelengths within $\sim 1\%$ of the central wavelength of the CO₂ or O₂ band of interest and rejects the rest. The light is then refocused on the spectrometer slits by a reverse Newtonian telescope.

Each spectrometer slit is about 3 mm long and about 25 μm wide. These long, narrow slits are aligned to produce co-boresighted fields of view that are ~ 0.0001 radians wide by ~ 0.0146 radians long. Because the diffraction gratings efficiently disperse only the light that is polarized in the direction perpendicular to the long axis of the slit, a linear polarizer was included in front of the slit to reject the unwanted polarization before it enters the spectrometer, where it could contribute to the scattered light background. Once the light traverses a spectrometer slit, it is collimated by a 2-element refractive collimator, dispersed by a gold-coated, reflective, planar, holographic diffraction grating, and then focused by a 2-element camera lens onto a 2-dimensional focal plane array (FPA), after traversing a second, narrowband filter. The narrowband filter just above the FPA is cooled to ~ 180 K to reject thermal emission from the instrument.

An onboard calibrator has been integrated into the telescope baffle assembly [Crisp et al., 2008]. This system consists of a calibration “propeller” that carries an aperture cover (lens cap) and a transmission diffuser. The cover is closed to protect the instrument aperture from external contamination during launch and orbit maintenance activities. It is also closed to acquire “dark frames” that are used to monitor the zero-level offset of the FPAs. The back side of the cover has a diffusively reflecting gold surface that can be illuminated by one of 3 tungsten lamps installed in the baffle assembly. The lamp “flat field” images are used to monitor the relative gain of the

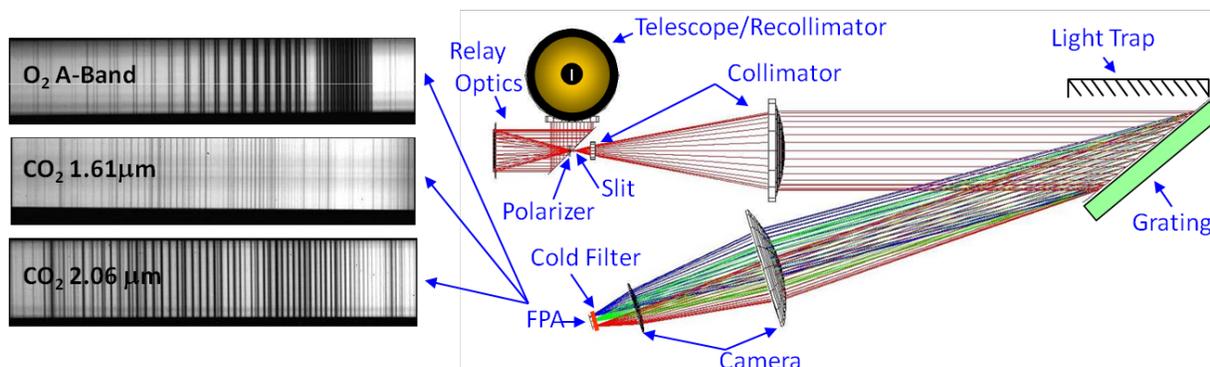


Figure 2-3. The OCO-2 instrument showing the major optical components and optical path (right) and images of spectra recorded by the FPA in the three spectral channels (left).

individual pixels on the FPAs. The calibration propeller is rotated 180 degrees from the closed position to place the transmission diffuser in front of the aperture to acquire observations of the Sun. Routine observations of the Sun are acquired just after the spacecraft crosses the northern terminator on all orbits except those that include downlinks. These measurements are used to monitor the absolute radiometric calibration of the instrument. The diffuser is also used to acquire solar spectra for full dayside orbits, which sample the full range of Doppler shifts ($\pm \sim 7$ km/sec) observed over the illuminated hemisphere. The calibration mechanism is rotated 90 degrees from either the closed or diffuser positions for normal science observations.

2.2.2 Focal Plane Array Detectors

The spectrometer optics produce a 2-dimensional image of a spectrum on a 1024 by 1024 pixel FPA with 18 μm pixels (Figure 2-3 and Figure 2-4). The grating disperses the spectrum onto 1016 of the 1024 FPA columns (4 columns are blanked out on each side of the FPA) in the direction perpendicular to the long axis of the slit. The full width at half-maximum (FWHM) of the slit image on the FPA is sampled by 2 to 3 pixels in the direction of dispersion. The 3 mm long slit limits spatial field of view to only ~ 190 pixels in the dimension orthogonal to the direction of dispersion. Science measurements are restricted to the center ~ 160 of these 190 pixels.

For normal science operations, the FPAs are continuously read out at 3 Hz. A “rolling readout” scheme has been adopted for reading out and resetting the FPAs, precluding the need for a physical shutter and gaps between the exposures. To reduce the downlink data volume and increase the signal to noise ratio, ~ 20 adjacent pixels in the FPA dimension parallel to the slit

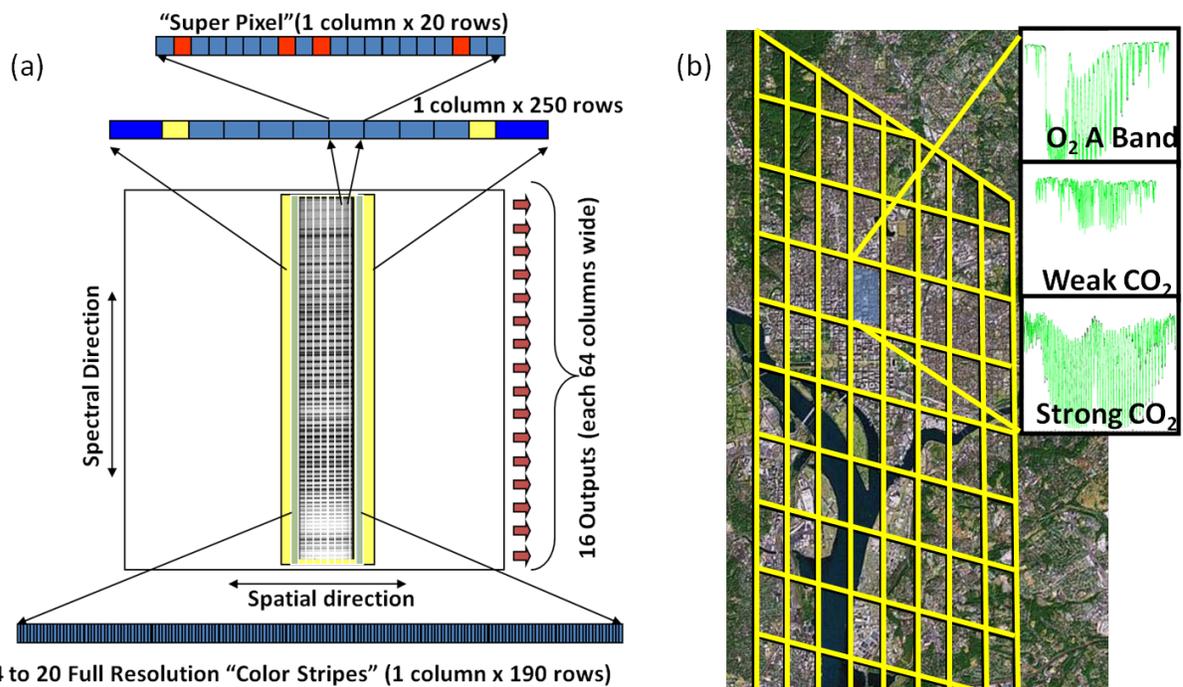


Figure 2-4. (a) The illumination and readout scheme used for the OCO-2 focal plane arrays, showing the direction of spectral dispersion from bottom to top, and the spatial dimension from left to right. The ~ 160 illuminated pixels in the spatial dimension are summed into eight 20-pixel “super pixels” or “footprints.” If one or more of the pixels in a footprint is “bad” (red pixels), it is eliminated from the sum. One of the 20 full-resolution “color slices” is also shown at the bottom. (b) Spatial layout of 8 cross-track footprints for nadir observations over Washington, D.C. Each footprint is shaped like a parallelogram rather than a square because of the rolling readout of the FPAs.

(i.e., the “spatial direction” in Figure 2-4a) are summed on board to produce up to 8 spatially-averaged spectra along the slit, to produce “spectral sample” for a “summed footprint.”

The along-slit angular field of view of each of these spatially averaged spectral samples is ~ 1.8 milliradians (0.1° or ~ 1.3 km at nadir from a 705 km orbit). The angular width of the narrow dimension of the slit is only 0.14 milliradians, but the focus of the entrance telescope was purposely blurred to increase the effective full width at half-maximum of each slit to ~ 0.6 milliradians to simplify the boresight alignment among the 3 spectrometer slits. Because it takes 0.333 seconds to scan across the active 220 rows of the array, the surface footprints of the 8 spatially-averaged spectra are read out at 0.03 second intervals, and are shaped like parallelograms rather than squares, even when the slit is oriented orthogonal to the ground track (Figure 2-4b).

In addition to the 8 spatially-binned, 1016-element spectra, each spectrometer returns up to 20 columns from each FPA without any onboard spatial binning to sample the full along-slit spatial resolution. Each of these full-resolution “color slices” images a 220-pixel wide region of the FPA that includes the full length of the slit (~ 190 pixels) as well as a few pixels beyond the ends of the slit (Figure 2-4a). These full spatial resolution “color slices” are used to detect spatial variability within each of the spatially summed super pixels and to quantify the thermal emission and scattered light within the instrument. Their locations can be specified by commands from the ground.

In this instrument design, the spectrometer slits, the grooves on the diffraction gratings, and columns of the FPAs must be well aligned to ensure that a fixed series of rows on the FPA will sample the same angular field of view (or spatial footprint) throughout the spectral range recorded by the FPA. For the OCO-2 instrument, perfect alignment of the FPAs with the other optical components was not possible due to a physical obstruction discovered late in the instrument assembly process. The focal plane arrays are therefore slightly rotated (or “clocked”) with respect to the slit and grating. Consequently, a given geographic position does not map onto a single row of pixels on a sensor, but instead varies (roughly linearly) with spectral position (i.e., column). To compensate for this, and record the same spatial information across the entire spectrum, the starting row index for each spectral sample can be adjusted in increments of one pixel. This corresponds to about 1/20th of a summed footprint (Figure 2-5). This approach introduces little error in spatially homogenous scenes, but can produce discontinuities in spectra of scenes with strong intensity variations near the edge of a footprint. An algorithm to correct for these discontinuities has been implemented and forms part of the calibration process (see the Level 1B Algorithm Theoretical Basis Document).

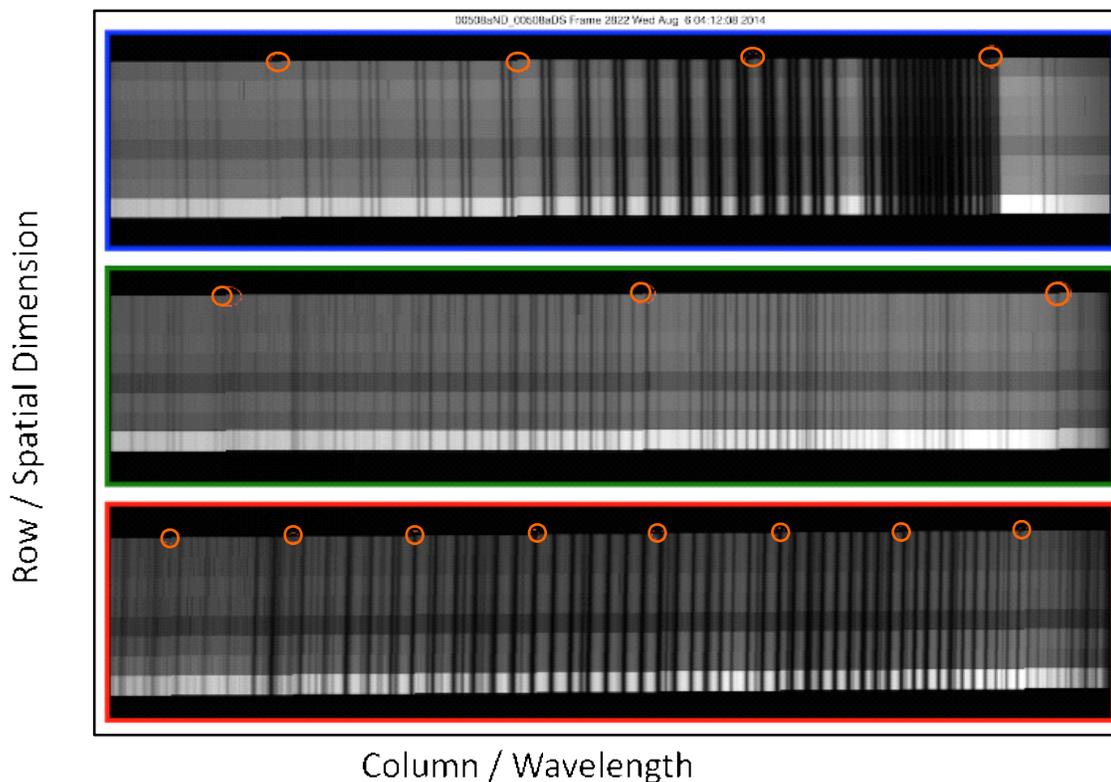


Figure 2-5. Images of the O₂ A band (**top**), 1.61 μm CO₂ band (**middle**) and the 2.06 μm CO₂ band (**bottom**) in “summed mode” taken from the OCO-2 first light frame over Papua New Guinea. The 8 spatially-summed footprints in each band are shown from bottom (footprint 1) to top (footprint 8). In this 0.333-second frame, footprint 1 was contaminated by a cloud and appears brighter than the rest. This frame clearly shows the rotation, or “clocking” of each FPA columns with respect to the dark O₂ and CO₂ absorption lines. The O₂ lines are tilted slightly counterclockwise, while the 1.61 and 2.06 μm lines are tilted clockwise. The columns where the clocking corrections are applied are highlighted with red circles. Soundings collected in regions with strong spatial gradients in illumination can produce radiance discontinuities at these wavelengths.

2.2.3 Bad Pixels and Bad Samples

OCO-2 is flying flight-spare FPAs from the OCO mission that were delivered in 2006. A small fraction of the 220,000 pixels in the active area of these arrays are either dead or respond to light or thermal changes in a way that is not consistent with the majority of the other pixels. These pixels must be identified and excluded from the 20-pixel sums that are performed onboard, or they will contaminate the resulting 20-pixel “spectral samples” that constitute the spectra returned for the 8 footprints by each spectrometer. Spectral samples with too many bad pixels, or with other known issues (e.g., optical artifacts on the short-wavelength ends of all 3 bands) are marked as bad in the Level 1B product, so that they are not used in producing higher level products.

The bad pixel identification process was started during prelaunch testing, but must be updated on orbit because additional pixels degraded during the 2.5-year instrument storage period prior to launch, and others are damaged on-orbit by cosmic radiation and other effects. To identify bad pixels, the calibration team routinely collects dark and lamp data using “single pixel” mode, which returns all 220,000 pixels in the active region of each array. (Single-pixel

results cannot be returned routinely for science observations because it requires ~9 seconds to read out an FPA at full resolution.) These single-pixel data are then analyzed to identify bad pixels and add them to a “bad pixel map” that is uploaded to the spacecraft for use in the pixel-summing process. As the bad pixel maps improve, a larger fraction of the spectral samples in each spectrum can be used to generate Level 2 products.

2.2.4 Cosmic Ray Artifacts

Cosmic rays rarely produce permanent damage to the OCO-2 FPAs, but this radiation produces ion trails as they traverse the FPAs, producing spurious intensity spikes. Primary and secondary cosmic rays with a broad range of energies are occasionally seen just about anywhere along the orbit path but they are most common in the vicinity of the South Atlantic Anomaly (SAA), where up to 2% of an O₂ A band spectral range can be contaminated in individual spectra. The approximate geographical extent of the SAA as it impacts the OCO-2 mission can be seen in Figure 2-6. The characteristic spectral signature of cosmic rays is shown in Figure 2-7.

An algorithm has been developed to identify and screen individual spectral samples that have been contaminated by cosmic rays in the L1B data delivered to the science community. This algorithm is summarized in the OCO-2 L1B ATBD.

This algorithm compares each spectral sample for each footprint in each band to a synthetic spectrum generated through a least squares fitting process (see the L1B ATBD). Spectral samples with large positive residuals in these comparisons are assumed to be contaminated by cosmic rays. The primary products of this algorithm are noise-weighted fit residuals for each spectral sample, footprint, and band for each sounding. In the L1B HDF5 files, these weighted residuals are saved in the group SpikeEOF as rounded integer values, with all residuals less than three times the noise level (3 sigma) set to 0 to minimize file size. The variables used to record the weighted residuals in the L1B files are:

SpikeEOF/spike_eof_weighted_residual_band (with *band* = o2, strong_co2, weak_co2)

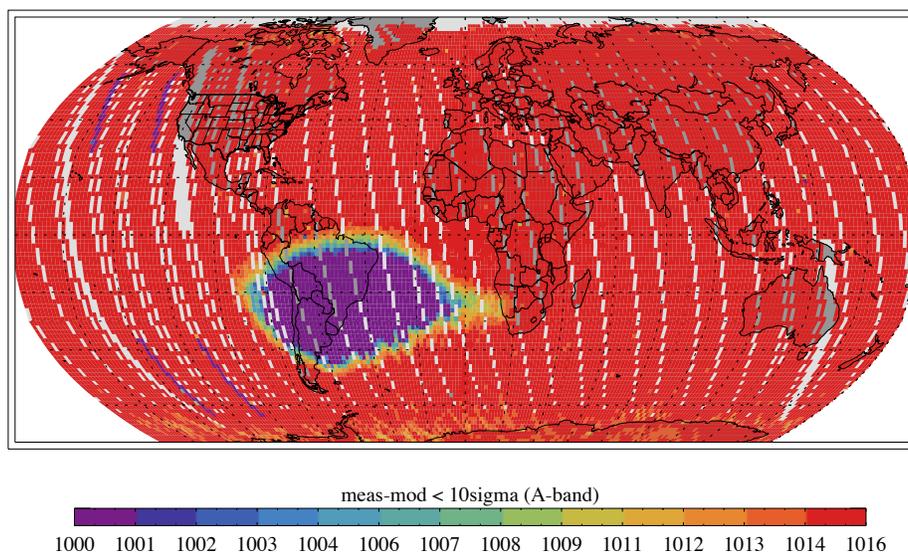


Figure 2-6. The number of spectral samples in the O₂ A band that are not contaminated by 10-sigma cosmic rays events clearly shows the geographical extent of the South Atlantic Anomaly (SAA).

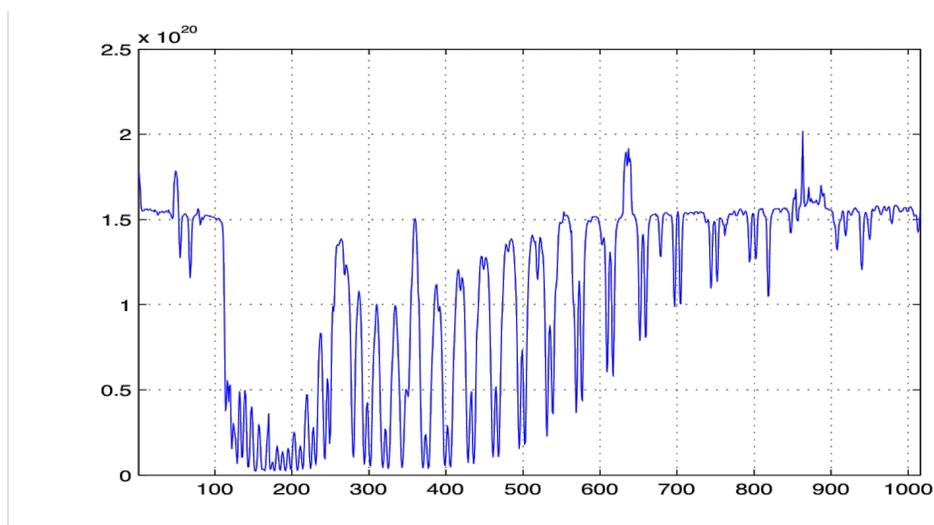


Figure 2-7. An example showing the impact of a radiation event on spectra. Note the three spikes with unusually high intensities.

The total number of spectral samples contaminated in by cosmic rays in any one spectrum are recorded in the variables:

SpikeEOF/spike_eof_bad_colors_*band* (with *band* = o2, strong_co2, weak_co2)

The OCO-2 L2 FP algorithm uses the weighted residuals in the L1B file to flag individual spectral samples as bad if their weighted residuals exceeds +6 sigma, and if the sounding falls within a geographic boundary box around the SAA (latitude -50 to 0, longitude -90 to 10 degrees). We use a one-sided threshold value as cosmic ray hits can only cause a positive anomaly in measured spectra. L1B users are advised adopt a similar approach for flagging spectral samples contaminated by cosmic rays before attempting any fit. We also advise users to apply this threshold only in the vicinity of the SAA.

2.2.5 Oxygen Spectrometer Sensitivity

Observations with OCO-2's onboard solar and lamp calibration systems have indicated a loss off sensitivity of the oxygen spectrometer that is related to ice on the focal planes. This has been confirmed with the monthly lunar observations. Since the lunar calibration uses the optical, detector and signal processing systems exactly like they are used for science observations, this provides insight into the change in the instrument rather than the combined effects of the solar diffusers and icing, or the combination of lamp degradation and icing.

Over the course of the mission, the relative response has dropped by as much as 15% from the prelaunch characterization. Each time the focal planes have been warmed up (known as a decontamination cycle), the loss of sensitivity has been reset to its prelaunch value. While these temperature cycles are called decontamination cycles. The v7 data had a first attempt to correct this phenomenon, but there was an error in that approach that led to a radiometric error that grew monotonically over the mission.

The V8 data incorporates the lamp, solar, and lunar data to produce a radiometric correction that accounts the effects of icing, solar diffuser degradation, and lamp degradation. These radiometric corrections are integrated in the L1b data. More details are provided in the L1b ATBD. Note that the V8 data includes a predicted correction, whereas the V8r data has a

correction based on interpolation of measurements, thus, we strongly recommend that users rely on the V8r dataset.

2.3 OCO-2 Algorithms

2.3.1 Level 1B Algorithm Overview

The OCO-2 Level 1B algorithms apply radiometric, spectroscopic, and geometric calibration corrections to the data number (DN) returned by the OCO-2 instrument to produce the calibrated, geo-located spectral radiances reported in the Level 1B product. The radiometric and spectroscopic calibration methods were initially developed using data collected during prelaunch testing [see Frankenberg et al., 2014 and references therein]. After launch, the radiometric calibration has been validated primarily by the solar calibrations described in section 2.2.5. Additional constraints are being established with lunar calibration using the USGS ROLO database [see Kieffer and Stone, 2005]. While the error bars are large for absolute calibrations (5-10%), the relative calibration confirms the trends seen in the solar calibration approach. The FPA zero-level offset is being validated via regular dark measurements. The spectral calibration was established primarily through prelaunch testing, but is being monitored using Solar Doppler observations and validated against synthetic atmospheric spectra generated by the OCO-2 Level 2 algorithm. No systematic changes have been found over the preflight calibration. The spatial calibration is being validated using observations of the Moon, with additional information provided by observing coastline crossings. Finally, there is a further algorithm that computes the polarization sensitivity of the instrument for each sounding. These algorithms, including the instrument polarization description, are described in detail in the OCO-2 Level 1B Algorithm Theoretical Basis Document and Crisp et al (2016).

2.3.2 Level 2 Algorithm Overview

The full physics (FP) X_{CO_2} retrieval algorithm was derived from the algorithm developed for the OCO. It was further refined in the time between the OCO and OCO-2 launches by use in producing the Atmospheric CO₂ Observations from Space data product. The algorithm is a Rodgers [2000]-type optimal estimation approach and has been described fully in O'Dell et al. [2012]. The retrieval algorithm consists of a forward model, an inverse method, and an error analysis step. The overall flow for the retrieval process is shown in Figure 2-8.

A forward radiative transfer model is used to generate synthetic spectra within the molecular O₂ A band at 0.76 μm and the weak and strong CO₂ bands centered near 1.61 and 2.06 μm, respectively. These synthetic spectra are then convolved with the OCO-2 instrument line shape and compared to the observed spectra in each of these spectral regions. An inverse model then modifies the assumed atmospheric state to improve the fit to the measured spectra, and the process is repeated until the convergence criteria are met. The forward radiative transfer model contains components simulating the solar spectrum, absorption by CO₂, O₂, H₂O, and other gases, scattering and absorption by clouds and aerosols, reflectance of the surface. Input to the forward model consists of meteorological conditions, surface properties, characteristics of the instrument, etc. The forward model returns simulated radiance spectra and the partial derivatives of those radiances with respect to properties of the atmospheric and surface state, also called Jacobians.

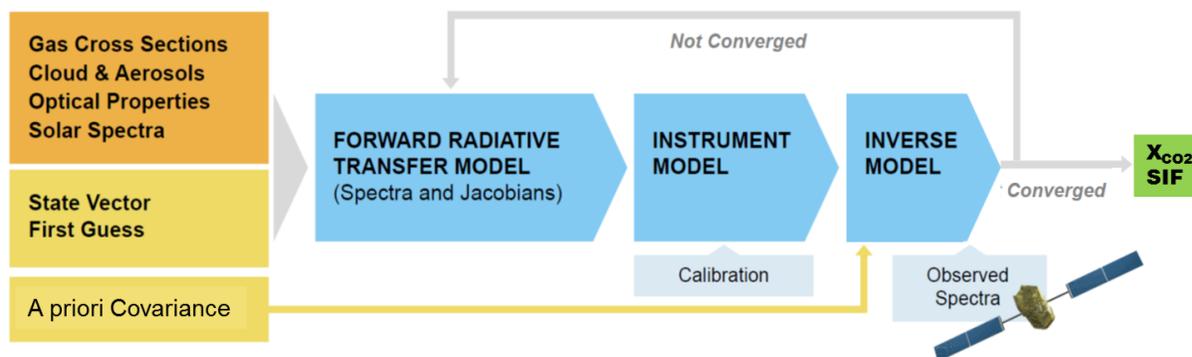


Figure 2-8. The OCO-2 retrieval algorithm incorporates multiple inputs into the forward radiative transfer model and instrument model, which generate synthetic spectra that are compared to the observed spectra. The inverse model updates the atmospheric and surface state to improve the fit between the observed and synthetic spectra. This process is repeated until the convergence criteria is reached. The L2 products (X_{CO_2} , SIF, etc.) are then generated from the retrieved state vector.

The residuals between the simulated and measured spectra are minimized by changing the properties of the atmospheric and surface state via the inverse method. This inversion uses the Jacobians to estimate the state changes needed to minimize the differences between the observed and simulated spectra.

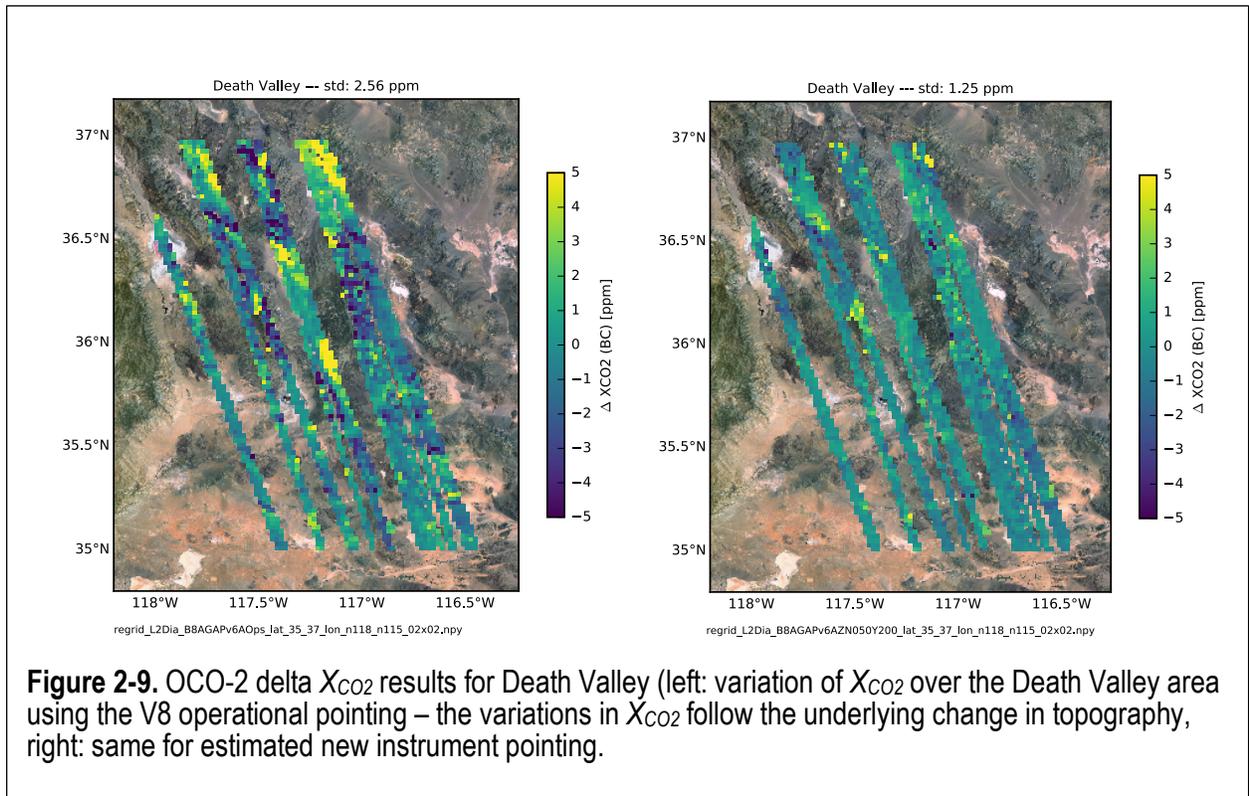
Once the atmospheric state yielding the best match to the observed spectrum has been found, the algorithm then determines X_{CO_2} , errors in X_{CO_2} from different sources (such as vertical smoothing, measurement noise, etc.), and the X_{CO_2} column averaging kernel. This is necessary because X_{CO_2} is not itself an element of the state vector. Rather, it is determined from the profile of CO_2 , which is part of the state vector. It is formally given by the total number of CO_2 molecules in the column divided by the total number of dry air molecules in the column. This step is labeled “Error Analysis” in Figure 2-8.

2.3.3 Release 9 Specific Improvements: Pointing Offset Error and Meteorological Data Resampling

Biases in OCO-2 X_{CO_2} were seen in V8 in areas of varied topography by several different groups and the issue was first shown in Wunch et al (2017) for OCO-2 data release 7 (V7). One example shown in Wunch et al (2017), Figure 10, is the OCO-2 target observations over Lauder, New Zealand. The changes in X_{CO_2} the target observations clearly track changes in the elevation of the observed location. Other examples were included in Wunch et al (2017) and additional examples were observed in the V8 data and the OCO-2 L2 team identified problems with the surface pressure estimates that in turn lead to biases in the retrieved (and bias corrected) X_{CO_2} value. The issue was shown to be present in OCO-2 nadir and glint mode data, as well as the target observations, and was found to result from using the poor surface pressure estimates in the L2 X_{CO_2} bias correction. A second error affecting the surface pressure retrieval involved how the surface pressure a priori value was determined from the meteorological model data. The OCO-2 retrieval software uses model results from the GEOS-5 Forward Processing for Instrument Teams (GEOS5-FP-IT) created at Goddard Space Flight Center Global Modeling and Assimilation Office.

Significant reductions in the X_{CO_2} variability with surface elevation was found when using improved geolocation for the eight OCO-2 footprints and fixing the a priori sampling issue. The instrument alignment was determined shortly after launch in 2014 by using lunar observations

and has been updated periodically since then. A new analysis of the pointing used in the OCO-2 retrieval algorithm Level 2 preprocessors lead to improved offsets for each OCO-2 band. The improvement is shown in Figure 2-9 for nadir and glint data over Death Valley. The left panel shows the variation in delta X_{CO_2} for V8 pointing and then the values for the updated pointing. Kiel et al. (2018) (manuscript in development) will provide details on the development of the improved OCO-2 geolocation.



3 V9 Lite Files: Description, Data Filtering and Bias Correction

Lite files are created to provide a reduced file volume as well as all of the data for one day in a single file. The reduced data volume comes from selecting the limited number of variables to be included.

In addition, the files contain `XCO2_raw`, which corresponds to the data in the `L2Std` and `L2Dia` files, which has had a bias correction applied to it. The file follows the standards of the ESA GHG CCI file format.

Data screening information is contained in the quality flag and the warn levels, which are described in more detail later in the document. Figure 3.1 illustrates maps of monthly data that is contained in the Lite files, after quality screening is applied.

The main-level X_{CO_2} data has also been adjusted using a linear bias correction scheme, similar to the approach described in Wunch et al. (2011b). Similar to deriving quality flags, a number of truth proxies have been used to derive the X_{CO_2} bias correction parameters, including

- TCCON (as seen in nadir, glint and target modes)
- The “Small Area Analysis”, in which X_{CO_2} is assumed to be constant for observations taken over distances $< \sim 100$ km within the same orbit.
- A multi-model mean, using 6 models that have all assimilated in-situ data, and only using soundings for which all the model values agree with each other to within a specified tolerance.

Note that the specific bias correction parameters employed in this release are given in the global metadata of each Lite file. (For example, `Bias_Correction_land`, with the corresponding footprint-specific corrections given in `Footprint_bias_land`).

3.1 Quality Filtering

Users of OCO-2 data can filter the soundings contained in the Lite files by using the `xco2_quality_flag`, which is simply a byte array of 0s and 1s. This filter has been derived by comparing retrieved X_{CO_2} for a subset of the data to various truth proxies, and identifying thresholds for different variables that correlate with poor data quality. It applies a number of quality filters based on retrieved or auxiliary variables that correlate with excessive X_{CO_2} scatter or bias.

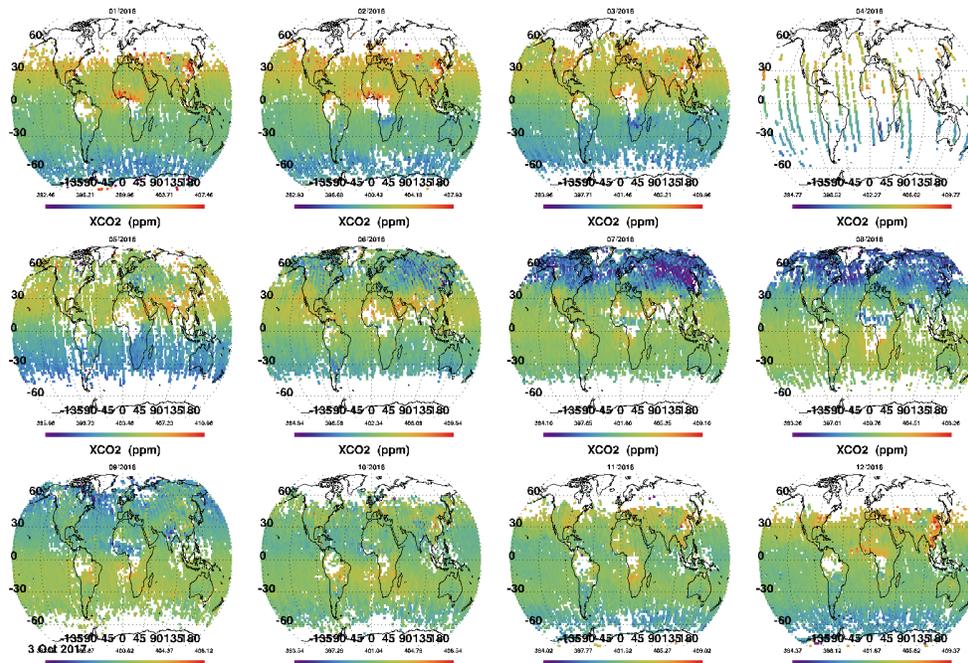


Figure 3.9 Maps of OCO-2 X_{CO_2} data after bias correction for 2015. Each panel has a dynamically selected range, which spans 7.5 ppm, centered on that month's distribution of X_{CO_2} .

3.2 Bias Correction

The bias correction (BC) maps the original X_{CO_2} retrievals of the OCO-2 L2 algorithm to our best estimate of X_{CO_2} . We used V9 data spanning November of 2014 through 2017, with the exception of small areas, which only went through 2015. The BC approach implemented in the Lite files uses regional analysis to identify, within the OCO-2 X_{CO_2} data records themselves, which terms best predict bias (or Unexplained Variance (%UV)) in the retrievals. Our definition of %UV always uses a null-hypothesis denominator for ease of comparison. The full bias correction procedure was similar to that used in version V8, described in detail in O'Dell et al. (2018).

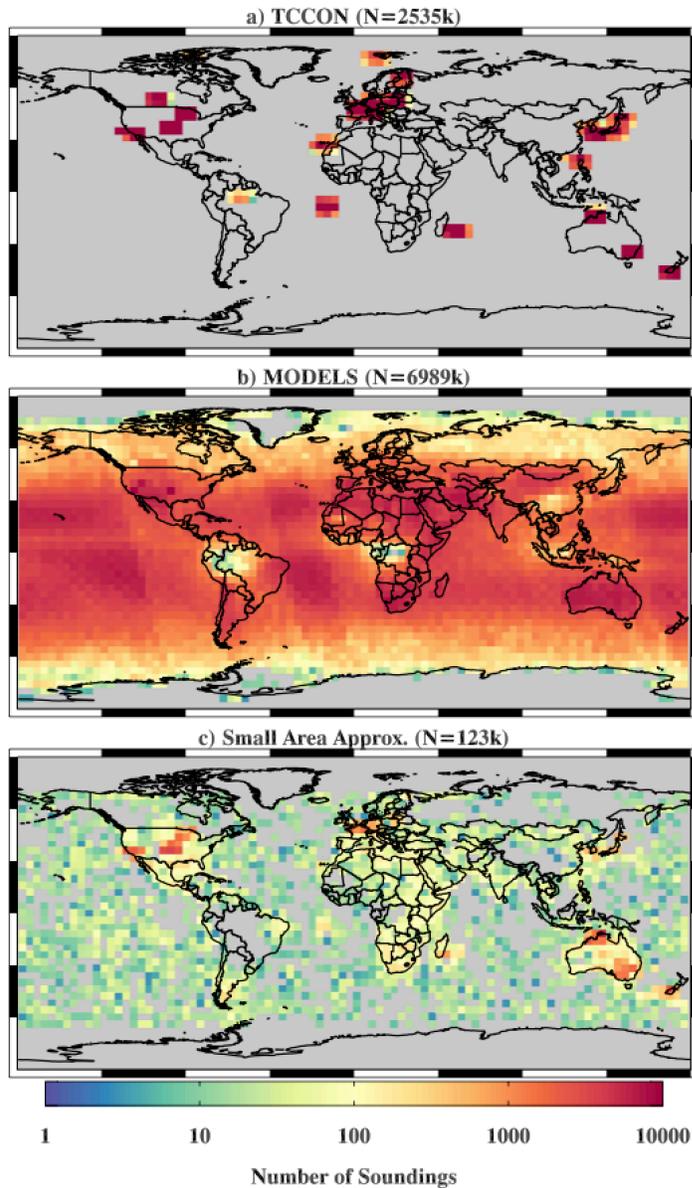


Figure 3.10 Sounding density plots of three V9 training data sets.

We have constructed several training datasets for our bias correction, such that the set provides an approximation that permits us to define the X_{CO_2} truth against which the retrievals are evaluated while attempting to insure that real X_{CO_2} atmospheric gradients are not removed by in the BC. The model-based training data set was the largest, with ~ 7 million soundings. The TCCON-based data set was also large, with ~ 2.5 million soundings. Both of these were constructed specifically for V9 validation. The small area set was retained from version 8 training, and therefore was much smaller, with $\sim 123,000$ soundings. Figure 3.2 shows the spatial distribution of these training data sets.

The three steps of BC determination are as follows, made concrete by highlighting terms in the final bias correction equation:

$$XCO_2 \text{ Corrected} = \frac{XCO_2 \text{ Raw} - \text{FOOT}[fp, mode] - FEATS[mode]}{TCCON_ADJUST[mode]}$$

Step 1) Removing Footprint Bias (term FOOT)

OCO-2 obtains 8 side-by-side, simultaneous, nearly-collocated measurement scenes called footprints. Although the X_{CO_2} retrievals made from these soundings should, on average, be identical, there are small and highly statistically significant differences. These undoubtedly arise from imprecision in the L1 calibration.

For the V8 data set, we examined highly filtered data grouped into small areas. For most modes, the data set contained millions of soundings, so they were further down selected to only include the best data. Data were selected for the analysis when all 8 footprints in one sounding frame

(ID) converged. We computed the median X_{CO_2} as the “ground truth” value, and subtracted this from the observed X_{CO_2} to calculate the deviations for each footprint. The reported values are the average of the differences across all soundings per footprint. The filtration resulted in land selections near bright, clear regions such as deserts. The footprint offsets are listed in Table 2 under the term FOOT and shown in Figure 3.3. Once obtained, they may be subtracted from all sounding X_{CO_2} in preparation for the next steps of BC.

The V9 footprint offsets were not noticeably different than for V8, so the same V8 footprint offsets were used in the V9 bias correction.

Step 2) Identify unphysical X_{CO_2} variability (term FEATS)

In this second step, we identify variables retrieved simultaneously with X_{CO_2} that are correlated with unphysical X_{CO_2} variability using a multivariate linear regression. This is the procedure followed by nearly all GOSAT X_{CO_2} bias corrections to date (e.g. Wunch et al. 2011, Guerlet et al. 2013). The procedure followed was very similar to that described in O'Dell et al (2018).

As in V8, the V9 analysis found that over land, the different viewing modes (nadir, glint, and target) all had similar biases, so they were simply treated the same in the final bias correction.

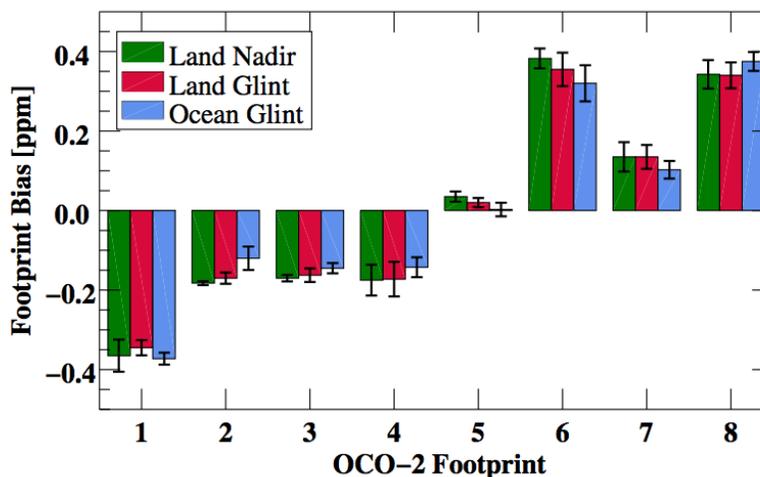


Figure 3.11 Footprint Bias per viewing mode. Because the offsets were statistically identical across modes, the same footprint offsets were used per mode. The V9 footprint biases are identical to the V8 footprint biases.

The different analysis regions and modes have minor differences in the order of features chosen, but the overall features selected and their slopes are remarkably consistent. Table 1 shows the bias correction parameters for **Land** soundings for the different training datasets, and Table 3.2 shows the same for **Ocean Glint**. For each table, the reduction in unexplained variance (UV in %) as features are added to the bias correction fit are also shown. Note that in each table, the X_{CO_2} quality flag has been applied.

Table 3.1 Features used in Land bias correction, with reduction in unexplained variance in parentheses. Note SAA in this table is "Small Area Analysis".

	N	Sigma [ppm]	dPfrac	Co2_grad_del	DWS
TCCON: <u>LandNG</u>	614K	1.97 → 1.37	-0.98 (37%)	-0.030 (15%)	-9.2 (5%)
MODELS: <u>LandNG</u>	956K	1.86 → 1.18	-0.87 (37%)	-0.029 (15%)	-9.5 (8%)
SAA: <u>LandNG</u>	275K	1.63 → 0.83	-0.93 (45%)	-0.031 (24%)	-9.6 (7%)
Ensemble Statistics	-	-	-0.93 ± 0.06	-0.030 ± 0.001	-9.4 ± 0.3
B9 Adopted			-0.90	-0.029	-9.0
B8	-	-	-0.36 (dP)	-0.029	-8.5
B7	-	-	-0.30 (dP)	-0.028	-7 to -11

Table 3.2 Features used in the Ocean Glint bias correction, with reduction in unexplained variance in parentheses. Note SAA in this table is "Small Area Analysis".

	N	Sigma [ppm]	dP_sco2	Co2_grad_del<-6
TCCON:	360K	1.22 → 0.98	-0.28 (34%)	0.077 (1.9%)
MODELS:	2692K	1.07 → 0.86	-0.24 (29%)	0.104 (7%)
SAA:	28K	0.78 → 0.47	-0.22 (57%)	0.090 (8%)
B9 Ensemble Stats	-	-	-0.25 ± 0.03	0.090 ± 0.014
B9 Adopted	-	-	-0.245	0.090
B8	-	-	-0.23	0.090
B7	-	-	-0.08 (there was evidence this was too weak)	0.077

These terms are the differences between the retrieved and the *a priori* surface pressure evaluated at the geographic location of the strong CO band (dP_sco2), the retrieved abundance of coarse aerosol (e.g. dust, sea salt, or water clouds), and (very large and unphysical) variation in the retrieved vertical profile of CO₂ (parameter co2_grad_del) from that assumed in the prior. Also, note that over land, we have created a new quantity called “dPfrac”. This term is similar to dP, but also takes into account that higher elevation surfaces have a smaller dry air column above them, and therefore over these locations, the dP correction must be proportionally stronger. A full derivation of this term is given in the appendix of Kiel et al. (2018). Here, we simply give the definition of dPfrac, which is:

$$\text{dPfrac} = X\text{CO}_{2,\text{raw}} (1 - P_{\text{ap,sco2}}/P_{\text{ret}})$$

where $P_{\text{ap,sco2}}$ is the prior surface pressure at the location of the strong CO₂ band, and P_{ret} is the surface pressure retrieved by the L2 algorithm.

$$X\text{CO}_{2 \text{ Corrected}} = \frac{X\text{CO}_{2 \text{ Raw}} - \text{FOOT}[fp, mode] - \text{FEATS}[mode]}{\text{TCCON_ADJUST}[mode]}$$

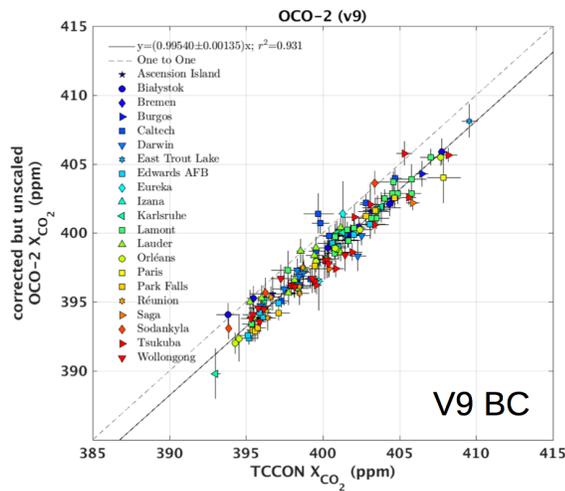
Step 3) Determine global offset from TCCON (term $TCCON_ADJUST$)

Figure 3.12 Linear Fit of OCO-2 to TCCON X_{CO_2} for Target-mode overpasses of TCCON sites. Both Quality Filtering as well as the feature and footprint biases have been applied.

The analyses described in Steps 1 & 2 provide no estimate of the overall global bias in X_{CO_2} . To determine the offset over land, we used nadir, glint, and target mode observations obtained over the TCCON sites. A linear regression between TCCON X_{CO_2} and the bias-corrected OCO-2 target mode X_{CO_2} was performed, with the intercept forced to zero. Figure 3.4 shows the process for TCCON. Overall, this analysis results in the following equation for land target: $OCO-2 = (0.9954 \pm 0.003) TCCON$. OCO-2 retrievals are consistently lower than TCCON (about 1 ppm). While the fits to individual modes have lower uncertainties, the fits across different modes and multiple time periods lead us to conclude that the real uncertainty is greater, on the order of 0.003.

To determine the global divisor for Ocean Glint, we analyzed direct TCCON overpasses, coastline crossings, and model land vs. ocean biases to OCO-2 as discussed in O'Dell et al. (2018). As for version 8, these methods all gave similar results. The final global divisor adopted for ocean data was 0.9953 ± 0.003 , nearly identical to that for land soundings.

Table 3.4 Bias Correction Formula (for use on V8 L2Std products)

$$XCO_2 \text{ Corrected} = \frac{XCO_2 \text{ Raw} - FOOT[fp, mode] - FEATS[mode]}{TCCON_ADJUST[mode]}$$

where:

	FOOTPRINT BIAS (FOOT) (ppm)							
Footprint (fp)	1	2	3	4	5	6	7	8
ALL MODES	-0.36	-0.15	-0.16	-0.14	0.02	0.33	0.13	0.34

	FEATURE BIAS (FEATS) (ppm)
LAND (ALL)	$-0.90*(dP_{frac}) - 9.0*(DWS) - 0.029*(co2_grad_del - 15)$
SEA GLINT	$-0.245*(dP_{sco2}) + 0.09*(co2_grad_del + 6.0)$ [second term only applied when co2_grad_del is less than -6]

	OVERALL DIVISOR (TCCON_ADJUST)	Method
LAND GLINT	0.9954	Target is assigned
LAND NADIR		Other modes derived from TCCON paired ND/GL and comparison to models
LAND TARGET		
SEA GLINT	0.9953	Comparison to TCCON, coastlines, model bootstrap, and comparison to models

	Variable definitions using full/HDF5/path for Lite files
co2_grad_del	Retrieval/co2_grad_del
dP _{sco2}	Meteorology/psurf_apriori_sco2 - Retrieval/psurf
DWS	aod_dust + aod_water + aod_seasalt

Step 4) XCO₂ Quality Flagging

After bias correction, additional outlier filtering is developed and applied. The xco2_quality_flag is set to "0" for good quality data and set to "1" for lower quality data. This allows users to remove the effects of one or more tests if they so choose. Tables 3.3 and 3.4 list the details on the parameters that define the data quality flag. Figure 3.5 illustrates these parameters as applied to land data.

Note that while this is a simple binary flag, there is a new variable `xco2_qf_bitflag` which uses 24 out of the 32 bits of a long integer to report the result of each individual test. A bit value of 0 means passed, and 1 means failed. If they all pass, the value of this variable will be 0, just as for the normal quality flag variable. Regardless of surface type, the currently-defined 24 bits within this long integer correspond to the following 24 variables (from bit 0 to 23): `defined_mode`, `eof3_3_rel`, `max_declocking_wco2`, `max_declocking_sco2`, `albedo_slope_sco2`, `rms_rel_wco2`, `h2o_ratio`, `co2_ratio`, `dp_o2a`, `dp_sco2`, `co2_grad_del`, `windspeed`, `dp_abp`, `aod_ice`, `xco2_uncertainty`, `chi2_wco2`, `albedo_slope_wco2`, `surface_type_flipped`, `altitude_stddev`, `aod_total`, `dws`, `albedo_sco2`, `rms_rel_sco2`, `aod_water`, `ice_height`, `aod_strataer`, `aod_oc`, `aod_seasalt`. With the exception of “defined mode”, all these variables are listed in Tables 3 and 4 along with their path within the XCO2 Lite files. “defined mode” simply states whether a given observation mode has had a quality flag developed for it. Currently, all land modes and ocean glint are defined; non-glint observations over water surfaces are not, and thus will always be listed with bad quality.

Because the quality flagging in B9 is slightly less restrictive than in B8, especially over dark forests, high latitude snow-covered surfaces, and the Tibetan plateau, we have also retained in these lite files the B8 quality flag. This will allow users, if they like, to distangle the effects of different XCO2 versus different quality flagging between version B8 and B9. This variable is `Auxiliary/xco2_quality_flag_b8`.

Table 3.3: Quality Filters Applied to Land Soundings

All Land Soundings		
Field	Lower Limit (> or =)	Upper Limit (< or =)
Preprocessors/co2_ratio	1.00	1.023
Preprocessors/h2o_ratio	0.88	1.01
Sounding/altitude_stddev	0.0	110.0 (40.0)
Retrieval/dp_sco2	-10.0	12.0
Retrieval/dp_o2a	-8.0	11.0
Preprocessors/dp_abp	-12.0	16.0 (50.0)
Retrieval/co2_grad_del	-60.0	85.0
Retrieval/albedo_sco2	0.03	0.6
Retrieval/rms_rel_wco2	0.0	0.28
Retrieval/rms_rel_sco2	0.0	0.45
Retrieval/albedo_slope_sco2	-0.00013	0.001
Retrieval/aod_total	0.0	0.5
Retrieval/dws	0.0	0.25

All Land Soundings		
Field	Lower Limit (> or =)	Upper Limit (< or =)
Retrieval/aod_water	0.0005	0.1
Retrieval/aod_ice	0.00	0.04
Retrieval/ice_height	-0.5	0.5
Retrieval/aod_strataer	0.0002	0.02
Retrieval/aod_oc	0.0	0.20
Retrieval/aod_seasalt	0.0	0.125
Sounding/surface_type_flipped	0	0

Note: Numbers in (red) are used for target-mode soundings.

Table 3.4: Quality Filters Applied to Ocean Glint Soundings

Ocean Glint Soundings		
Field	Lower Limit (> or =)	Upper Limit (< or =)
Retrieval/eof3_3_rel	-0.3	0.25
Preprocessors/max_declocking_wco2	0.0	0.27
Preprocessors/max_declocking_sco2	0.0	0.34
Retrieval/albedo_slope_sco2	6e-6	7e-5
Retrieval/rms_rel_wco2	0.0	0.3
Preprocessors/h2o_ratio	0.88	1.01
Preprocessors/co2_ratio	1.0	1.02
Retrieval/dp_sco2	-5.0	9.0
Retrieval/dp_o2a	-5.0	9.0
Retrieval/co2_grad_del	-18.0	30.0
Retrieval/windspeed	1.5	25
Preprocessors/dp_abp	-50.0	10.0
Retrieval/aod_ice	0.0	0.035
xco2_uncertainty	0.28	1.10
Retrieval/chi2_wco2	0.0	2.0
Retrieval/albedo_slope_sco2	-1.5e-5	1.2e-5
Sounding/surface_type_flipped	0	0

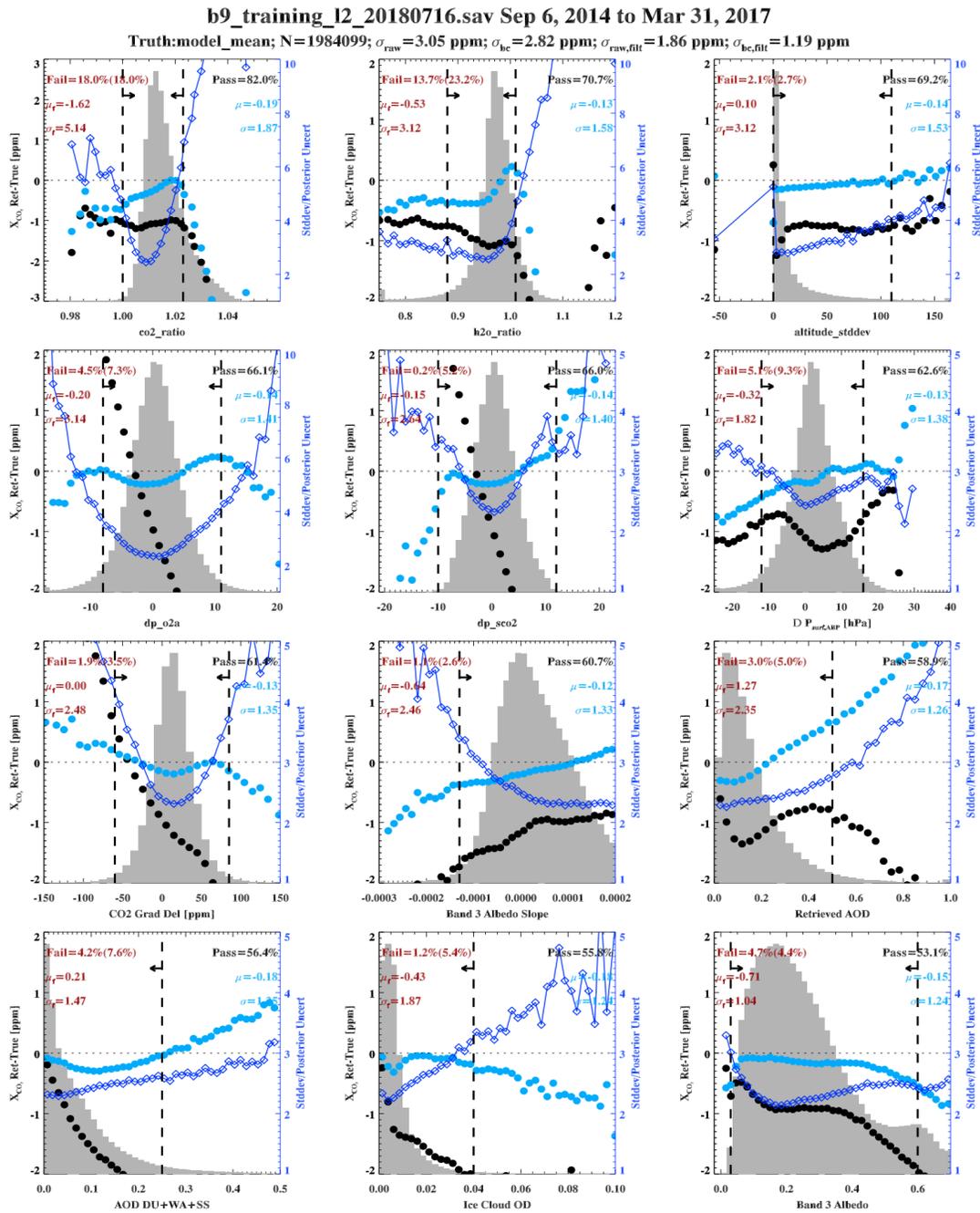


Figure 3.13 Bias & Stddev of X_{CO_2} error as a function of selected outlier filtering variables for land observations. Here, the error is derived using the model comparison.

4 Format Specification for OCO-2 V9 Lite Files

4.1 Overview

The OCO-2 L2 Lite files contain a subset of the information in the standard OCO-2 L2 product. They are meant to be significantly smaller but still contain all necessary information for typical science analyses. In addition, they have some value added:

- Only contain L2 soundings that converged
- They include a nominal recommended filtering flag (`xco2_quality_flag`)
- For this release, they do NOT contain warn levels. This is a difference from previous releases.
- They include the recommended bias correction already applied to X_{CO_2} (the X_{CO_2} without bias correction is also contained in the Lite files)

There is one file per day, for each day that had at least one retrieved sounding.

The OCO-2 Lite files are in the netCDF-4 format (http://www.unidata.ucar.edu/software/netcdf/docs/netcdf/NetCDF_002d4-Format.html).

Because netCDF-4 is a subset of HDF-5, the files may be read with both netCDF and HDF software.

Generally speaking, each field in the file is described in the Attributes of that field within the file itself. Descriptions for selected fields are given below, but please be sure to read the Attributes of each used field within the actual Lite file.

After this, we describe the filtering and bias correction approach.

4.2 File Structure & Fields

The primary variables that most users will need exist at the main level. In addition, there are some additional variables that certain users might want, contained in three groups within the file: Preprocessors, Retrieval, and Sounding. Some NetCDF readers may not see these groups; if this happens, please update your NetCDF reader or use an HDF-5 reader.

4.2.1 Main Level Variables

- ***latitude*** The latitude at the center of the sounding field-of-view.
Parent Field: L2s/RetrievalGeometry/retrieval_latitude
- ***longitude*** The longitude at the center of the sounding field-of-view.
Parent Field: L2s/RetrievalGeometry/retrieval_longitude
- ***vertex_latitude*** The latitude at each of the corners of the parallelogram that defines the field-of-view.
Parent Field: L2s/RetrievalGeometry/retrieval_vertex_latitude
- ***vertex_longitude*** The longitude at each of the corners of the parallelogram that defines the field-of-view.
Parent Field: L2s/RetrievalGeometry/retrieval_vertex_longitude

- ***time*** The time of the sounding in seconds since 1970-01-01 00:00:00 UTC.
Parent Field: None (computed from RetrievalHeader/retrieval_time_string)
 - ***date*** The full date and time of the sounding in UTC, organized as [year,month,day,hour,minute,second,milliseconds]. This information is redundant with that from the *time* variable.
Parent Field: None (computed from RetrievalHeader/retrieval_time_string)
 - ***solar_zenith_angle*** The solar zenith angle (in degrees) at the target.
Parent Field: L2s/RetrievalGeometry/retrieval_solar_zenith
 - ***sensor_zenith_angle*** The satellite zenith angle (in degrees) at the target.
Parent Field: L2s/RetrievalGeometry/retrieval_zenith
 - ***xco2*** The bias-corrected XCO₂ (in units of ppm). This *should be used for science analysis*. The bias correction formulae are contained in the metadata of the file.
Parent Field: None (derived using bias-correction formula)
 - ***xco2_apriori*** The prior XCO₂ assumed by the L2 retrieval, in ppm.
*Parent Field: L2s/RetrievalResults/xco2_apriori * 1e6*
 - ***xco2_uncertainty*** The posterior uncertainty in XCO₂ calculated by the L2 algorithm, in ppm. This is generally 30-50% smaller than the true retrieval uncertainty.
*Parent Field: L2s/RetrievalResults/xco2_uncert * 1e6*
 - ***xco2_quality_flag*** A simple quality flag denoting science quality data. 0=higher quality, 1=lower quality.
Parent Field: None
- [Note, the “warn_level” field has been removed from version 9].*
- ***xco2_qf_bitflag*** Similar to *xco2_quality_flag*, but each bit is meaningful. The different bits of this 32-bit integer tells whether a given threshold test passed (0) or failed (1). See text on pages 8-9 in the quality flagging section for detail.
Parent Field: None
 - ***co2_profile_apriori*** The prior profile of co2 in ppm.
*Parent Group: L2s/RetrievalResults/co2_profile_apriori * 1e6*

- ***xco2_averaging_kernel*** The normalized column averaging kernel for the retrieved XCO₂ (dimensionless).
Parent Group: L2s/RetrievalResults/xco2_avg_kernel
- ***pressure_levels*** The retrieval pressure level grid for each sounding in hPa. Note that is simply equal to SigmaB multiplied by the surface pressure.
*Parent Group: L2s/RetrievalResults/vector_pressure_levels * 0.01*
- ***pressure_weight*** The pressure weighting function *on levels* used in the retrieval. It has the same dimensions as both “pressure levels” and “co2_profile_apriori”.
Parent Group: L2s/RetrievalResults/pressure_weighting_function
- ***sounding_id*** The sounding_id of the sounding. For GOSAT, this is a 14-digit number defined as YYYYMMDDhhmmss. {YYYY=year, MM=month 1-12, DD=day 1-31, hh=hour 0-23, mm=minute 0-59, ss=seconds 0-59, m=hundreds of milliseconds 0-9, f=footprint number 1-8}. For OCO, it is a 16-digit number defined as YYYYMMDDhhmmssmf. {YYYY=year, MM=month 1-12, DD=day 1-31, hh=hour 0-23, mm=minute 0-59, ss=seconds 0-59, m=hundreds of milliseconds 0-9, f=footprint number 1-8}.
Parent Field: L2s/RetrievalHeader/sounding_id
- ***source_files*** The L2Std files used to generate this file.
Parent Group: None
- ***file_index*** The 1-based index used to identify which source file each sounding was drawn from. Ie, file_index=2 for a particular sounding means that sounding was drawn from the 2nd element of *source_files*.
Parent Group: None

Main-Level Dimension Variables

(these variables are part of the netcdf-4 definition and will not be needed by most users)

- ***bands***: The three OCO-2 *bands*.
- ***levels***: The twenty vertical levels in the OCO-2 level-2 full-physics retrieval.
- ***epoch_dimension***: Variable used for dimensioning the 7-integer *date* variable.
- ***vertices***: The 4 vertex indices for *vertex_latitude* and *vertex_longitude*.
- ***footprints***: The 8 footprint indices for footprint-dimensioned variables such as *Preprocessors/co2_ratio_offset_per_footprint*.

4.2.2 Preprocessors Group

This group contains information for the two OCO-2 preprocessor algorithms: The A-Band Preprocessor (ABP) and the IMAP-DOAS Preprocessor (IDP).

- ***co2_ratio***: Contains the ratio of the retrieved CO₂ column from the weak CO₂ band relative to that from the strong CO₂ band. This ratio should be near unity. Significant departure from unity is currently used as a way to flag bad soundings (usually cloud or aerosol-contaminated). This value has also been footprint corrected using *co2_ratio_offset_per_footprint*, and further it has been bias corrected to remove a small feature-dependent bias.
Parent Field: L2s/PreprocessingResults/co2_ratio_idp (and then footprint-corrected).
- ***co2_ratio_offset_per_footprint***: Contains the approximate offset in *co2_ratio* from the mean value across all footprints, used in the construction of *co2_ratio*.
Parent Field: none.
- ***h2o_ratio***: Contains the ratio of the retrieved H₂O column from the weak CO₂ band relative to that from the strong CO₂ band. This ratio should be near unity. Significant departure from unity is currently used as a way to flag bad soundings (usually cloud or aerosol-contaminated). This value has also been footprint corrected using *h2o_ratio_offset_per_footprint*.
Parent Field: L2s/PreprocessingResults/h2o_ratio_idp (and then footprint-corrected).
- ***h2o_ratio_offset_per_footprint***: Contains the approximate offset in *h2o_ratio* from the mean value across all footprints, used in the construction of *h2o_ratio*.
Parent Field: none.
- ***dp_abp***: This is the retrieved surface pressure minus the “best-guess” surface pressure from the ECMWF forecast model. This has been adjusted for a clear-sky bias as well as the local surface elevation of the observed footprint. A value of this greater than about 50 hPa absolute value typically indicates cloud or aerosol contamination.
*Parent Field: L2s/PreprocessingResults/surface_pressure_delta_abp * 1e-2*
- ***xco2_strong_idp***: Estimate of XCO₂ using only the IMAP-DOAS strong CO₂ band retrieval at 2.06 μm. The dry air column is taken from the prior. It is constructed as the ratio of the co₂ column from the strong CO₂ band, divided by the dry air column. Units are ppm.
Parent Fields: L2s/PreprocessingResults/co2_column_strong_band_idp, L2s/PreprocessingResults/dry_air_column_apriori_idp
- ***xco2_weak_idp***: Estimate of XCO₂ using only the IMAP-DOAS weak CO₂ band retrieval at 1.61 μm. The dry air column is taken from the prior. It is constructed as the ratio of the co₂ column from the weak CO₂ band, divided by the dry air column. Units are ppm.

*Parent Fields: L2s/PreprocessingResults/co2_column_weak_band_idp,
L2s/PreprocessingResults/dry_air_column_apriori_idp*

- ***max_declocking_o2a***: An estimate of the absolute value of the clocking error in the O2-A band (used in the clocking correction algorithm that attempts to correct the L1b radiances for clocking errors). Expressed in percent. Typical values range from 0 to 10%.
*Parent Field: ABS(L2s/L1bSpectralParameters/max_declocking_factor_o2-1)*100*
- ***max_declocking_wco2***: Same as *max_declocking_o2a*, but for the weak co2 band.
*Parent Field: ABS(L2s/L1bSpectralParameters/max_declocking_factor_strong_co2-1)*100*
- ***max_declocking_sco2***: Same as *max_declocking_wco2*, but for the strong co2 band.
Parent Field: L2s/L1bSpectralParameters/max_declocking_factor_strong_co2

4.2.3 Retrieval Group

This group contains information from the OCO-2 level-2 retrieval algorithm. It contains many fields that will only briefly be summarized here. These are other fields that may be useful to users. Some were used for quality filtering, some for bias correction, and others for neither.

- ***xco2_raw*** is the “raw” XCO₂ retrieved by the L2 code *without bias correction*. It should not be used for science analysis.
*Parent Field: L2s/RetrievalResults/xco2*1e6*
- ***surface_type*** Surface type used in the retrieval: 0=ocean and corresponds to a Coxmunk+Lambertian surface; 1=land and corresponds to a non- Lambertian surface with a fixed BRDF shape (this is applied to land surfaces). The BRDF is described in the L2 ATBD.
Parent Group: L2s/RetrievalResults/surface_type (changing Coxmunk,Lambertian->0, Non-Lambertian->1)
- ***psurf*** Retrieved surface pressure (in hPa) from the L2 algorithm. Note: this can be multiplied with the variable *SigmaB* to determine the profile of pressures for any sounding.
Parent Group: L2s/RetrievalResults/surface_pressure_fph
- ***psurf_apriori_b8*** A priori surface pressure (in hPa) assumed by the L2 algorithm, taken originally from GEOS5-FP-IT analysis. Note that this is actually slightly incorrect (making the whole point for version 9, which was to correct this

in the bias correction). Note: this should be used in conjunction with the variable *SigmaB_Coefficient* to determine the a priori profile of pressures.

Parent Group: L2s/RetrievalResults/surface_pressure_apriori_fph

- ***dp_o2a*** The difference $psurf - psurf_apriori_sco2$, in hPa.
Parent Group: None.
- ***dp_sco2*** The difference $psurf - psurf_apriori_sco2$, in hPa. This variable is used in the XCO₂ bias correction over both land and water surfaces.
Parent Group: None.
- ***tcwv*** Retrieved Total Column Water Vapor (TCWV) in units of kg m⁻².
*Parent Group: L2s/RetrievalResults/retrieved_h2o_column * (0.0180153/6.0221415e23). The latter is required to convert from molecules of h2o per m² to kg h2o per m².*
- ***tcwv_apriori*** A priori value of the TCWV derived from the prior h2o profile in units of kg m⁻². Obtained by dividing the retrieved *tcwv* by the retrieved *h2o_scale* factor.
Parent Group: RetrievalResults/h2o_scale_factor (along with tcwv).
- ***tcwv_uncertainty*** Noise-driven uncertainty on the retrieved TCWV in kg m⁻². Obtained from the product of *tcwv_apriori* times the posterior uncertainty on the *h2o_scale* factor.
Parent Group: RetrievalResults/h2o_scale_factor_uncert
- ***land_brdf_weight_o2a*** Retrieved amplitude of the land BRDF at the band 1 reference wavelength (0.77 μm). The land BRDF shape is fixed for all land surfaces, and is detailed in the L2 ATBD.
Parent Group: L2s/BRDFResults/brdf_weight_o2
- ***land_brdf_weight_wco2*** Retrieved amplitude of the land BRDF at the band 2 reference wavelength (1.615 μm). The land BRDF shape is fixed for all land surfaces, and is detailed in the L2 ATBD.
Parent Group: L2s/BRDFResults/brdf_weight_weak_co2
- ***land_brdf_weight_sco2*** Retrieved amplitude of the land BRDF at the band 3 reference wavelength (2.06 μm). The land BRDF shape is fixed for all land surfaces, and is detailed in the L2 ATBD.
Parent Group: L2s/BRDFResults/brdf_weight_strong_co2
- ***albedo_o2a*** Over-land retrievals: Surface reflectance at a reference wavelength in band 1 (0.77 μm) in the primary scattering geometry (sun->ground->sensor)

derived from the retrieved BRDF. Over-water retrievals: Retrieved Lambertian albedo at the band 1 reference wavelength.

*Parent Group: L2s/AlbedoResults/albedo_o2_fph (water),
L2s/BRDFResults/brdf_reflectance_o2 (land).*

- ***albedo_wco2*** Over-land retrievals: Surface reflectance at a reference wavelength in band 2 (1.615 μm) in the primary scattering geometry (sun->ground->sensor) derived from the retrieved BRDF. Over-water retrievals: Retrieved Lambertian albedo at the band 2 reference wavelength.
*Parent Group: L2s/AlbedoResults/albedo_weak_co2_fph (water),
L2s/BRDFResults/brdf_reflectance_weak_co2 (land).*
- ***albedo_sco2*** Over-land retrievals: Surface reflectance at a reference wavelength in band 3 (2.06 μm) in the primary scattering geometry (sun->ground->sensor) derived from the retrieved BRDF. Over-water retrievals: Retrieved Lambertian albedo at the band 3 reference wavelength.
*Parent Group: L2s/AlbedoResults/albedo_strong_co2_fph (water),
L2s/BRDFResults/brdf_reflectance_strong_co2 (land).*
- ***albedo_slope_o2a*** Slope of the *albedo_o2a* term with respect to wavenumber.
*Parent Group: L2s/AlbedoResults/albedo_slope_o2_fph (water),
L2s/BRDFResults/brdf_reflectance_slope_o2 (land).*
- ***albedo_slope_wco2*** Slope of the *albedo_wco2* term with respect to wavenumber.
*Parent Group: L2s/AlbedoResults/albedo_slope_weak_co2_fph (water),
L2s/BRDFResults/brdf_reflectance_slope_weak_co2 (land).*
- ***albedo_slope_sco2*** Slope of the *albedo_sco2* term with respect to wavenumber.
*Parent Group: L2s/AlbedoResults/albedo_slope_strong_co2_fph (water),
L2s/BRDFResults/brdf_reflectance_slope_strong_co2 (land).*
- ***aod_ice*** Retrieved Extinction Optical Depth of cloud ice at 0.755 μm .
Parent Group: L2s/AerosolResults/aerosol_aod[,6,1] (1-based counting)*
- ***aod_water*** Retrieved Extinction Optical Depth of cloud water at 0.755 μm .
Parent Group: L2s/AerosolResults/aerosol_aod[,7,1] (1-based counting)*
- ***aod_dust*** Retrieved Extinction Optical Depth of dust aerosol at 0.755 μm .
Parent Group: L2s/AerosolResults/aerosol_aod[,1,1] (1-based counting)*
- ***aod_seasalt*** Retrieved Extinction Optical Depth of sea salt aerosol at 0.755 μm .

Parent Group: L2s/AerosolResults/aerosol_aod[],2,1] (1-based counting)*

- ***aod_bc*** Retrieved Extinction Optical Depth of black carbon at 0.755 μm .
Parent Group: L2s/AerosolResults/aerosol__aod[],3,1] (1-based counting)*
- ***aod_oc*** Retrieved Extinction Optical Depth of organic carbon at 0.755 μm .
Parent Group: L2s/AerosolResults/aerosol_aod[],4,1] (1-based counting)*
- ***aod_strataer*** Retrieved Extinction Optical Depth of stratospheric aerosol at 0.755 μm .
Parent Group: L2s/ AerosolResults/aerosol_aod[],8,1] (1-based counting)*
- ***aod_sulfate*** Retrieved Extinction Optical Depth of sulfate aerosol at 0.755 μm .
Parent Group: L2s/ AerosolResults/aerosol_aod[],5,1] (1-based counting)*
- ***aod_total*** Retrieved Extinction Optical Depth of cloud+aerosol at 0.755 μm .
Parent Group: L2s/AerosolResults/aerosol_total_aod
- ***dws*** Given by *aod_dust* + *aod_water* + *aod_seasalt*. This is used in the XCO₂ bias correction for soundings over land.
- ***ice_height*** Retrieved central pressure of the ice layer, relative to the retrieved surface pressure.
- ***deltaT*** Retrieved offset (in Kelvin) to a priori temperature profile.
Parent Group: L2s/RetrievalResults/temperature_offset_fph
- ***h2o_scale*** Retrieved water vapor scale factor.
Parent Group: L2s/RetrievalResults/h2o_scale_factor
- ***co2_grad_del*** Change (between the retrieved profile and the prior profile) of the co₂ dry air mole fraction difference from the surface minus that at level 13, measured in ppm. Level 13 is at a pressure $P = 0.631579$ Psurf. This variable is used in the XCO₂ bias correction over both land and water surfaces.
Derived from L2s/RetrievalResults/co2_profile and co2_profile_apriori.
If $c = \text{co2_profile}$ and $a = \text{co2_profile_apriori}$, then
$$\text{delta_grad_co2} = (c[20] - c[13]) * 1e6 - (a[20] - a[13]) * 1e6$$
- ***fs*** Retrieved fluorescence in units of $\text{W m}^{-2} \mu\text{m}^{-1} \text{sr}^{-1}$ at 757 nm.
Derived from: L2s/RetrievalResults/fluorescence_at_reference.

- **chi2_o2a** Reduced chi-squared value of the L2 fit residuals for band 1.
Parent Group: L2s/SpectralParameters/reduced_chi_squared_o2_fph
- **chi2_wco2** Reduced chi-squared value of the L2 fit residuals for band 2.
Parent Group: L2s/SpectralParameters/reduced_chi_squared_weak_co2_fph
- **chi2_sco2** Reduced chi-squared value of the L2 fit residuals for band 3.
Parent Group: L2s/SpectralParameters/reduced_chi_squared_strong_co2_fph
- **rms_rel_wco2** RMS of the L2 fit residuals for band 2, relative to the continuum signal, in percent.
*Parent Group:
L2s/SpectralParameters/relative_residual_mean_square_weak_co2*100*
- **rms_rel_sco2** RMS of the L2 fit residuals for band 3, relative to the continuum signal, in percent.
*Parent Group:
L2s/SpectralParameters/relative_residual_mean_square_strong_co2*100*
- **windspeed** Retrieved surface wind speed (in m/s) from the L2 algorithm, over water surfaces only.
Parent Group: L2s/RetrievalResults/wind_speed
- **windspeed_apriori** Prior surface wind speed (in m/s) used in the L2 algorithm, over water surfaces only. Taken from the GEOS5-FP-IT analysis.
Parent Group: L2s/RetrievalResults/wind_speed_apriori
- **t700** The estimated temperature at 700 hPa for each sounding, taken from the GEOS5-FP-IT forecast and estimated using linear interpolation. Invalid for soundings in which the surface pressure is lower than 700 hPa.
Parent Group: None.
- **s31** Ratio of continuum signal in the strong co2 band to that of the o2a band.
*Derived from: L2s/SpectralParameters/signal_strong_co2_fph
(divided by)
L2s/SpectralParameters/signal_o2_fph*
- **s32** Ratio of continuum signal in the strong co2 band to that of the weak co2 band.
Derived from: L2s/SpectralParameters/signal_strong_co2_fph

(divided by)
L2s/SpectralParameters/signal_weak_co2_fph

- **iterations** Number of iterations taken by the retrieval.
Parent Group: L2s/RetrievalResults/iterations
- **diverging_steps** Number of diverging steps taken by the retrieval.
Parent Group: L2s/RetrievalResults/diverging_steps
- **eof3_3_rel** Relative amplitude of the 3rd EOF in the strong CO2 band.
*Parent Group: (L2s/RetrievalResults/eof_3_scale_strong_co2) / (L2s/SpectralParameters/signal_strong_co2_fph) * 1.7e19 * 100*
- **SigmaB** The coefficients by which to multiply *psurf* to determine the pressure at each vertical level in the profile. Note this is a single list of numbers, rather than repeated for each sounding (as all the other quantities are).

4.2.4 Sounding Group

Contains all the geolocation and time information for each sounding. For GOSAT, these contain the corrected geolocation information.

- **altitude** The mean surface elevation in the target field of view, in meters.
Parent Field: Met/SoundingGeometry/sounding_altitude
- **altitude_stddev** The standard deviation of the surface elevation in the target field of view, in meters.
Parent Field: Met/SoundingGeometry/sounding_surface_roughness
- **orbit** is the orbit number within the current repeat cycle.
Parent Field: L2s/Metadata/StartOrbitNumber
- **path** The WRS path number of the current orbit. 1-233.
Parent Field: L2s/Metadata/StartPathNumber
- **footprint** The footprint number of each sounding (1-8).
Parent Field: L2s/RetrievalHeader/sounding_index
- **land_water_indicator** determines the land surface properties at the field of view. 0: land; 1: water; 2: inland water; 3: mixed.

Parent Field: Met/SoundingGeometry/sounding_land_water_indicator

- **land_fraction** The fraction of land contained in the field-of-view for each sounding.
Parent Field: Met/SoundingGeometry/sounding_land_fraction.
- **11b_type** gives the version number of the input level-1B data. (e.g. “5000”, which means B5.0.00)
Parent Field: Derived from parent file name.
- **operation_mode** Nadir (0), Glint (1), Target (2), or Transition (3).
Parent Field: Derived from Metadata/OperationMode
- **solar_azimuth_angle** The solar azimuth angle (in degrees) at the target, measured east of north from the point of view of an observer on the ground at the target.
Parent Field: Met/SoundingGeometry/retrieval_solar_azimuth
- **sensor_azimuth_angle** The satellite azimuth angle (in degrees) at the target, measured east of north from the point of view of an observer on the ground at the target.
Parent Field: Met/SoundingGeometry/retrieval_sensor_azimuth
- **glint_angle** The angle (in degrees) between the local direction to the sensor, and the direction for pure glint observation (i.e., the outgoing direction from the surface for specularly reflected solar rays).
- **airmass** The relative airmass of the sounding, defined as $1/\cos(\text{solar_zenith_angle}) + 1/\cos(\text{sensor_zenith_angle})$.
- **snr_o2a** The estimated signal-to-noise ratio in the continuum of the O2A-band.
Parent Field: L2s/L1bScSpectralParameters/snr_o2_11b
- **snr_wco2** The estimated signal-to-noise ratio in the continuum of the weak CO₂ band.
Parent Field: L2s/L1bScSpectralParameters/snr_weak_co2_11b
- **snr_sco2** The estimated signal-to-noise ratio in the continuum of the strong CO₂ band.
Parent Field: L2s/L1bScSpectralParameters/snr_strong_co2_11b

4.2.5 Meteorology Group

Contains updated meteorological information specific to version 9, using the updated GEOS5-FP-IT meteorological resampler code, as well as the updated pointing information. The resampler is run for both per-band pointing information, as well as the band-averaged location.

- ***psurf_apriori*** The estimated apriori surface pressure (hPa), taken from the band-averaged pointing location.
*Parent Field: Met/SoundingMeteorology/surface_pressure_met * 0.01*
- ***psurf_apriori_o2a*** The estimated apriori surface pressure (hPa), for the O2A-band pointing location.
*Parent Field: Met/FootprintMeteorology/footprint_surface_pressure_met[0] * 0.01*
- ***psurf_apriori_wco2*** The estimated apriori surface pressure (hPa), for the WCO2-band pointing location.
*Parent Field: Met/FootprintMeteorology/footprint_surface_pressure_met[1] * 0.01*
- ***psurf_apriori_sco2*** The estimated apriori surface pressure (hPa), for the SCO2-band pointing location.
*Parent Field: Met/FootprintMeteorology/footprint_surface_pressure_met[2] * 0.01*
- ***windspeed_u_met*** Prior surface wind speed (in m/s) in the horizontal U (North-South) direction. Positive *u* means the N-S wind component is flowing to the north (is from the south). Taken from the GEOS5-FP-IT analysis. Can be used along with *windspeed_v_met* to calculate the prior wind direction.
Parent Group: Met/RetrievalResults/wind_speed_u_met
- ***windspeed_v_met*** Prior surface wind speed (in m/s) in the horizontal V (East-West) direction. Positive *v* means the E-W wind component is flowing to the east (is from the west). Taken from the GEOS5-FP-IT analysis. Can be used along with *windspeed_u_met* to calculate the prior wind direction.
Parent Group: Met/RetrievalResults/wind_speed_v_met

4.2.6 Auxiliary Group

Contains two variables that are particular to version B9, and likely will be removed for later versions.

- ***surface_type_flipped*** This field is 0 if the surface type is still maintains the same surface type designation as in version 8, and 1 otherwise. As a reminder, the L2 algorithm defines “land” to be $\geq 80\%$ sounding_land_fraction, and “ocean” (or “water”) to be $\leq 20\%$ for sounding_land_fraction.
Parent Field: none

- ***xco2_quality_flag_b8*** The xco2_quality_flag from version B8. Note – there is no corresponding bitflag associated with this, as there is with the B9 xco2_quality_flag variable.
0=higher quality, 1=lower quality.

Parent Field: None

5 Overview of V8 Data Products

All OCO-2 product files are in Hierarchical Data Format-5 (HDF), developed at the National Center for Supercomputing Applications (<http://www.hdfgroup.org>). This format facilitates the creation of logical data structures by organizing the data product into folders and subfolders. Each file corresponds to one orbit contiguous mode set of data. Spectral radiances are contained in the SoundingMeasurements folder of the L1BSc product; retrieved X_{CO_2} values are contained in the RetrievalResults folder of the L2Std product. Official data products are stored at the NASA GES DISC <https://disc.gsfc.nasa.gov/datasets?keywords=oco2&page=1>. There is also the capability to generate user-customized Level 2 and Level 3 data products available through the JPL CO₂ web site (<https://co2.jpl.nasa.gov/>). Sample tools for reading the data can be found on the CO₂ site.

With past releases, the OCO-2 team has produced lite files for both X_{CO_2} and the Solar Induced Fluorescence (SIF) product.

5.1 File Naming Conventions

The OCO-2 L1BSc files follow this convention:

oco2_L1bSc[Mode]_[Orbit][ModeCounter]_[AcquisitionDate]_[ShortBuildId]_[ProductionDate]_[Source].h5

The OCO-2 L2Std files follow this convention:

oco2_L2Std[Mode]_[Orbit][ModeCounter]_[AcquisitionDate]_[ShortBuildId]_[ProductionDate]_[Source].h5

The OCO-2 L2Dia files follow this convention:

oco2_L2Dia[Mode]_[Orbit][ModeCounter]_[AcquisitionDate]_[ShortBuildId]_[ProductionDate]_[Source].h5

The OCO-2 L2IDP files follow this convention:

oco2_L2IDP[Mode]_[Orbit][ModeCounter]_[AcquisitionDate]_[ShortBuildId]_[ProductionDate]_[Source].h5

The OCO-2 LtCO₂ files follow this convention (note that these are netcdf, not hdf):

oco2_LtCO2_[AcquisitionDate]_[ShortBuildId]_[ProductionDate]_[Source].nc4

There is no Orbit or Mode, as one day of data is aggregated.

Similarly,

The OCO-2 LtSIF files follow this convention (note that these are netcdf, not hdf):

oco2_LtSIF_[AcquisitionDate]_[ShortBuildId]_[ProductionDate]_[Source].nc4

For all files, the fields are defined as below.

- [Mode] is the acquisition mode as a two character string:
 - GL—Sample Glint
 - ND—Sample Nadir
 - TG—Sample Target

- DS—Sample Dark Calibration
 - LS—Sample Lamp Calibration
 - SS—Sample Solar Calibration
 - BS—Sample Limb Calibration
 - NP—Single-Pixel Nadir
 - GP—Single-Pixel Glint
 - TP—Single-Pixel Target
 - DP—Single-Pixel Dark Calibration
 - LP—Single-Pixel Lamp Calibration
 - SP—Single-Pixel Solar Calibration
 - BP—Single-Pixel Limb Calibration
 - XS—Sample Transition
 - XP—Single-Pixel Transition
 - MS—Sample Lunar Calibration
 - MP—Single-Pixel Lunar Calibration
- [Orbit] is the five-digit orbit number
 - [ModeCounter] is a letter (a, b, c, d) denoting the times an acquisition mode occurred in an orbit. If a mode occurs only once, ModeCounter is set to “a”
 - [AcquisitionDate] is the UTC date (yymmdd) the data were acquired
 - [ShortBuildId] identifies the L1B build version used (Bn.m.uu) where n is the major version, m is subversion number, and the uu is the incremental/patch number
 - [ProductionDateTime] is the date and time the file was produced (yymmddhhmmss)
 - [Source] (if present) identifies production sources different from the standard operations pipeline. This field will be missing from normal pipeline data

A note regarding the short build ID. All data with the same build version can be used together for science, regardless of the subversion and patch number.

There is also a retrospective processing (8r), which we recommend for science. The forward processing stream (8), has predicted calibration, and 8r has interpolated calibration. In addition, the forward stream has only 6% of the data, the 8r collection has all data that was deemed to be cloud free enough for processing.

5.1.1 File Format and Structure

The OCO-2 product files contain data structures indexed by sounding (1 to N soundings/file) and are associated by the sounding_id variable in all products.

Variables are combined into groups by type (e.g., SoundingGeometry). Within each type, a variable has one or more values per sounding. Variables may be single-valued (e.g., sounding_altitude) or multi-valued (e.g., co2_profile).

The metadata of each variable describes the variable's attributes, such as dimensions, data representation, and units.

Note that many variables in the L2 products use _fph to denote full physics algorithm, _idp for IMAP-DOAS, and _abp for the O₂ A band preprocessor. For example, surface pressure is calculated in each of the algorithms, so it is reported with a tag on the variable to differentiate them.

5.1.2 Data Definition

The OCO-2 data products contain many variables with a variety of dimensions. The following list describes only the most important of the dimensions. Dimensions and data shapes are fully described within the HDF files.

- Retrieval—the number of retrievals reported (those soundings for which retrievals converged or were converging when the maximum number of iterations was reached)
- Band—the three bands of OCO-2 are O₂ A, weak CO₂, and strong CO₂
- Footprint—the eight footprints across the swatch are identified as 1 to 8
- Sample—the spectral element. Each band has 1016 spectral elements, although some are masked out in the L2 retrieval
- Sounding—one set of measurements (one footprint across three bands) that is the primary unit for retrievals

5.1.3 Global Attributes

In addition to variables and arrays of variables, global metadata is stored in the files. The granule-level metadata is described in **Error! Reference source not found.** ECHO metadata and other metadata related to HDF version and production location can be found in the HDF file but are not discussed here.

5.2 Data Files Content

5.2.1 L2Std

There are no V9 L2Std files. The V8 L2Std files will continue to be available but the Lite files are recommended for most scientific analyses. Geolocated retrieved CO₂ column-averaged dry air mole fraction - physical model. This file contains the retrieved values for the state vector as well as geolocation information. A number of fields from the iterative maximum *a posteriori* differential optical absorption spectroscopy (IMAP-DOAS) and O₂ A-band (ABO2) cloud preprocessors are brought forward to the L2 products.

5.2.2 L2Dia

There are no V9 L2Dia files. The V8 L2Std files will continue to be available but the Lite files are recommended for most scientific analyses. This file has the data that is in the L2Std, as well as averaging kernels, *a priori* covariance matrices, posterior covariance matrices, and measured and model radiances.

5.2.3 L2IDP

There are the results from the IMAP-DOAS process. The IMAP-DOAS process is used in cloud screening, but also provides solar induced fluorescence (SIF) measurements for a much larger set of data than contained in L2.

5.2.4 L1BSc

These are the radiance spectra that are the input to the L2 retrievals.

6 OCO-2 L1BSc Data Products

The OCO-2 L1BSc products contain the calibrated spectra that are used as input for the X_{CO_2} products (Figure 6-1). These files contain all acquired data, and consequently are quite voluminous. Additionally, complete geolocation information for all of the footprints as well as some instrument and calibration information can be found the L1BSc products.

For users who wish to use the L1b data in their own retrievals, there are two masks that you need to apply to the radiance spectra. One is the bad sample mask, that relates to focal plane elements that are not performing properly. This mask has been moved from the `snr_coef` variable (in v7/7r) to a separate variable for V8. The other is a mask to get rid of radiance spikes that occur in the South Atlantic Anomaly. See section 2.2.4 For details on how to use `spike_eof_radiance` field).

We have found that we need to fit a linear and slope (offset and stretch) to the dispersion scale. The values we have retrieved are reported in the L2 product (see the data Table 10.2.3). Starting with version V8, they have been propagated to the L1b files. More details on how to use the dispersion equation are found in section 6.1.3.1.

Finally, the L2Dia files contain the measured and modeled radiance spectra as used in the L2 retrieval process. Note that these have all the masked sampled eliminated. So they are smaller than the L1b spectra, and serve as a check to see if you have applied the spectral masks correctly.

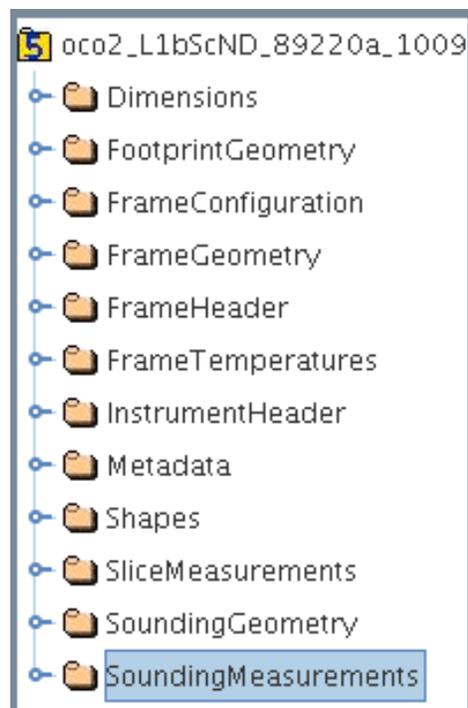


Figure 6-1. Folders in the L1BSc product.

6.1 Key Data Fields

6.1.1 FootprintGeometry

Geolocation uncertainty is <1 km (3σ) in Nadir and Glint modes. Uncertainty may be slightly larger in Target mode; data is still being collected to produce this estimation. Uncertainty estimates will be updated as the mission progresses and more data is obtained.

6.1.1.1 Footprint_latitude

Note that these are for each band, unlike the `retrieval_latitude`, which is for a sounding.

6.1.1.2 Footprint_longitude

Note that these are also for each band, using a -180 to $+180$ convention.

6.1.2 SoundingMeasurements

6.1.2.1 Radiance_o2

This is reported for all 1016 columns in each of the eight footprints. Note that a field of the SNR coefficients will indicate if a sample should be used or not.

6.1.2.2 Radiance_strong_co2

The radiance array for the strong CO_2 band.

6.1.2.3 Radiance_weak_co2

Radiance array for the weak CO₂ band.

6.1.3 InstrumentHeader

6.1.3.1 Dispersion_coef_samp

Coefficients that express the relationship between the spectral element index and its associated wavelength. Note that this grid does not account for the Doppler correction or dispersion adjustments that are applied in L2. These data are held in a 3 x 8 x 6 array for each band, footprint, and coefficient [Lee et al., 2015].

Pixel-wavelength mapping: the wavelength λ for detector pixel i and spectrometer k is given by:

$$\lambda_i = \sum_{n=1}^N d_k^n \times i^{n-1}$$

where d are the N dispersion coefficients for spectrometer. For OCO-2, i ranges from 1 to 1016 for each spectral band and there are six coefficients for each band. These relationships also vary for each of the eight footprints within a band. The dispersion coefficients are stored in each Level-1B file. In the V8 product, the retrospective L1B dispersion is modified to include corrected coefficients for the first two terms (d^0 & d^1). These corrections are derived from the L2 retrieval algorithm and account for Doppler and thermo-mechanical shifts observed, in flight, in the dispersion (refer to the Level 1B Algorithm Theoretical Basis document).

6.1.3.2 SNR_coef

The SNR coefficient contains two parameters used in the SNR calculation as described below.

Calculating noise equivalent radiance. The noise values are not stored directly in the file, but they can be calculated using a few fields in the file and the following formula for the noise equivalent radiance:

$$NEN = \frac{MaxMS}{100} \cdot \sqrt{\left| \frac{100 \cdot N}{MaxMS} \right| \cdot C_{photon}^2 + C_{background}^2}$$

where N is the radiance value (found in SoundingMeasurements), $MaxMS$ is the maximum measurable signal per band (see Table 6-1), C_{photon} is the first coefficient of InstrumentHeader/snr_coef (zero-based indices [0, *, *, *]), and $C_{background}$ is the second coefficient of InstrumentHeader/snr_coef ([1, *, *, *]).

Calculating SNR. The signal to noise ratio can be calculated using the following formula:

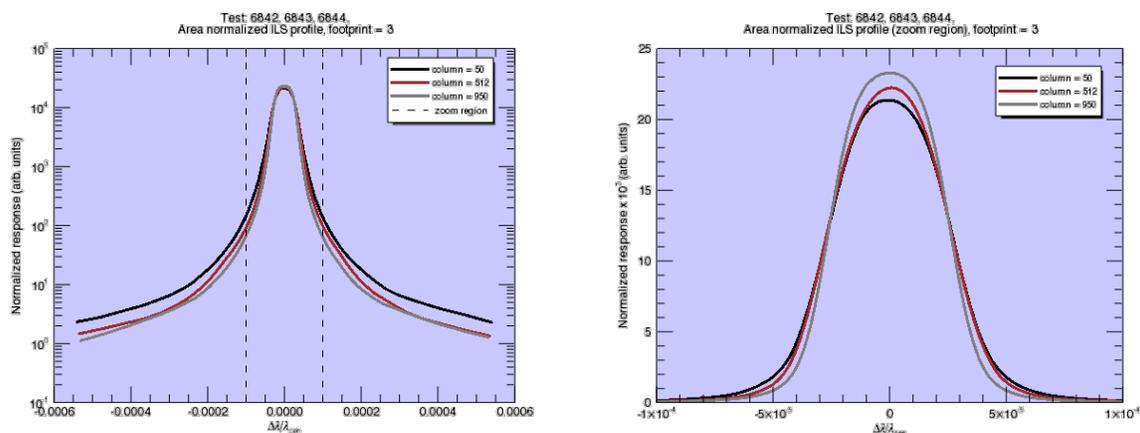
$$SNR = \sqrt{\frac{100 N^2}{MaxMS * \left(C_{background}^2 \frac{MaxMS}{100} + C_{photon}^2 N \right)}}$$

where N , $MaxMS$, C_{photon} , and $C_{background}$ are as defined above. The third entry of InstrumentHeader/snr_coef (zero-based indices [2, *, *, *]) is used to identify bad samples that should be excluded by retrieval algorithms. The third entry will be 1 if the sample is bad and 0 if it is good.

Table 6-1. Maximum measurable signal per band.

Band	MaxMS value (photons/m ² /sr/μm)
O ₂ A band	7.00*10 ²⁰
Weak CO ₂	2.45*10 ²⁰
Strong CO ₂	1.25*10 ²⁰

6.1.3.3 ils_relative_response and ils_delta_lambda

**Figure 6-2.** An example of the instrument line shapes.

For each band, footprint, and spectral element index (3 x 8 x 1016), there are two 200-element lookup tables: `ils_delta_lambda` and `ils_relative_response`. The details of the ILS prelaunch determination are reported in Lee et al. [2015]. These curves describe the response of each spectral element of the instrument versus wavelength, and can be used to convolve high spectral resolution spectra for comparison with OCO-2 spectra. Figure 6-2 illustrates one of the instrument line shapes (ILS).

6.1.3.4 bad_sample_list

The `bad_sample_list` parameter is used to identify bad samples that should be excluded by the retrieval algorithms. This entry is an 8-bit integer data field that can range between 0 and 15 and is the sum of the following byte codes:

- 0 = Good
- 1 = Radiometric Problem (e.g., jumping pixel that needs to be identified for future bad pixel map, linearity problems)
- 2 = Spatial Problem (e.g., low wavelength 90 columns)
- 4 = Spectral Problem (e.g., ILS not trustworthy—last couple of samples on the CO₂ bands where the mask shadows the FPA)
- 8 = Polarization Problem (no examples yet)

For users of the L1b data for retrievals, we recommend only using samples with a `bad_sample_list` value of 0. More details of the number of bad samples per band and the updates in time can be found in Crisp et al (2016 – AMT paper).

6.1.4 SliceMeasurements

The L1B file includes 19 wavelengths where the data are returned with the full spatial resolution of the focal plane (i.e., no on board averaging). This leads to spatial sampling of about 10 - 60 meters cross-track by about 2,200 meters along-track. The spatial resolution is lower in the cross track direction by a factor of 3 to 4 due to optical aberrations induced in the telescope to aid in the correction of bad pixels. While the array contains 220 spatial elements, they are only valid over the range of the footprint limits for the given band. Note that the bad pixel map is not applied to the color slices, so there are a handful of invalid radiances—any point that shows a very high deviation from the average of its neighbors should be viewed with skepticism. The color slice radiance values are contained in the variables `radiance_slice_o2`, `radiance_slice_weak_co2`, and `radiance_slice_strong_co2`.

Once the wavelengths for a given footprint are calculated, the one-based wavelength index for a color slice will be the same as the value of “`color_slice_position_[band name]`” – 3. (The “`color_slice_position_[band name]`” variables may be found in the “FrameConfiguration” folder.) Any index less than 1 or greater than 1016 addresses a part of the focal plane that is not light sensitive and should be ignored.

To determine which an element of the color slice corresponds to which footprint, the following steps should be applied to generate a 1024 x 220 x 8 x 3 binary array in which a value of 1 indicates that a pixel is in that footprint, and a 0 indicates that it is not.

- Load `footprint_spatial_start_position` & `footprint_spatial_end_position` (note that these variables are 1-based)
- Load `clocking_offset_start` and `clocking_offset_interval` (note that these variables are 0-based)
- For each footprint, calculate the number of clocking steps s by incrementing from 0 until $4 |2c_{start} + s c_{int}| \geq 1024$, where c_{start} and c_{int} are the clocking offset start and interval respectively
- The rows for each step are defined by the start and end positions, adjusted on each step
- For each step, step up if `clockint` is positive or down if it is negative
- First column is 0 on the first step, and 1 + the last column on subsequent steps
- Last column is `lastcol = 4*(abs(2*clockstart[band,0])+i*clockint[band,0])`, not to exceed 1023

Once the footprint and column of a data element in the color slices are calculated, the wavelength can be computed with the same approach outlined in 4.1.3.1.

7 OCO-2 L2 Data Products

7.1 Data Description and User Alerts

The data products include both Standard files and Diagnostic files. While much of the data in the two file types are the same, Section 5.3 describes a few of the fields that are uniquely in the L2Dia file.

It is important for users to know that there are lite files that combine 1 full day of L2 output into a simplified form. The lite files also contain the bias correction, warn levels, and data quality flags. These fields are not in the L2Std and the L2Dia. Please see the ancillary documentation on these lite files, which includes all of the details of the development of warn levels and the bias correction.

A subset of fields is discussed here (Figure 7-1). The later section contains full data field tables.

Note regarding changes to V8: The aerosols fields of the L2 products have been completely revamped for V8. In previous version, there were separate data elements for each of the 4 aerosol types, and a vector of which 4 of the 7 types were included in any particular sounding's retrieval. For V8, we have adopted a sparse matrix. The details are described below, but be aware that your data reader for L2Std and L2Dia will need to be updated for aerosol fields.

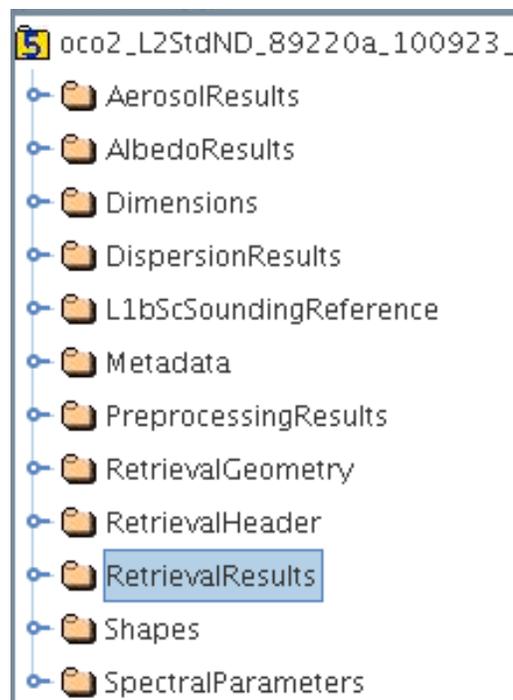


Figure 7-1 Folders contained in the L2Std product.

7.2 Key Data Fields for Standard and Diagnostic Files

The data and h5 folders that are included in the standard files are also included in the diagnostic files.

7.2.1 RetrievalHeader

Figure 7-2 shows the variables contained in the RetrievalHeader folder.

7.2.1.1 Sounding_ID

This is the label for the sounding that will let you link the data across all of the product files. The `sounding_id` is the primary identification number for soundings across all OCO-2 products. The `sounding_id` is a composite of the time the data was acquired and the footprint number (f) in the form `yyyymmddhhmmsssf`.

7.2.1.2 Warn_level

The warn levels are provided to assist the user in selecting data, as a more flexible approach than good/bad data quality flags. Warn levels have been reworked and now range from 0 to 5. As discussed in Mandrake et al. [2013], low values indicate higher quality data. For each data release, we will

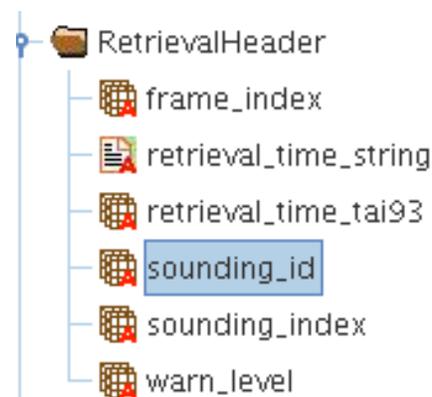


Figure 7-2. Variables in the RetrievalHeader folder.

include information about the standard deviation of the X_{CO_2} compared to validation data and how that changes with warn levels. We will also provide some recommendations about warn levels – how much data you will get by screening different ways, etc.

Note that warn levels are not integrated in version 8 and 8R L2Std and L2Dia data; warn levels are included in the Lite files (daily files with bias correction and warn levels). Please see the ancillary documentation on these lite files, which includes all of the details of the development of warn levels and the bias correction.

7.2.1.3 Retrieval_time_string

This is the time as a string. An example of this field is 2010-09-23T18:36:04.334Z.

7.2.1.4 Retrieval_time_tai93

This field is the time of the measurement, in seconds since Jan. 1, 1993. The TAI time corresponding to the example above is 559.420571E6.

7.2.2 RetrievalGeometry

Figure 7-3 shows the variables contained in the RetrievalGeometry folder.

7.2.2.1 Retrieval_latitude

This is the latitude of the sounding. These values range from -90 to $+90$.

7.2.2.2 Retrieval_longitude

This is the longitude. We use the convention of -180 to $+180$ for longitude, with fractional degrees.

7.2.3 RetrievalResults

The retrieval results folder contains all of the elements of the state vector in the L2 full physics retrieval.

7.2.3.1 XCO2

This variable expresses the column-averaged CO_2 dry air mole fraction for a sounding. Those soundings that did not converge will not be present. These values have units of mol/mol. This can easily be converted to ppm by multiplying by 10^6 .

7.2.3.2 XCO2_uncert

This is an estimate of the uncertainty on the reported X_{CO_2} . These values have units of mol/mol.

7.2.3.3 XCO2_apriori

This is the initial guess for the X_{CO_2} , in mol/mol.

7.2.3.4 XCO2_avg_kernel

This is the averaging kernel. Note that the normalized averaging kernel (RetrievalResults/xco2_avg_kernel_norm) for a given pressure level is equal to the non-normalized value (retrieval-results/xco2_avg_kernel) divided by the pressure weighting function



Figure 7-3. Variables in the RetrievalGeometry folder.

at that level. Note that levels are “layer boundaries” and have no thickness. See Appendix A of O’Dell et al. [2012] for details on how these quantities are defined.

7.2.3.5 XCO₂_avg_kernel_norm

See description in Section 7.2.3.4.

7.2.4 Aerosols

The aerosol retrieval for V8 uses 8 aerosol types. These are the same types used in v7 and a stratospheric aerosol has been added. The L2 ATBD contains a complete description of the aerosols. For any particular sounding, 5 types are included. Ice clouds, water clouds, and a stratospheric aerosol are included in all soundings, and the other two types change, depending on the dominant aerosols in the MERRA apriori fields.

To simplify the use of data and identification of the types used, we store the aerosol results in arrays that are at least number of soundings by 8 (the total number of aerosol types).

The fields and brief descriptions:

7.2.4.1 aerosol_aod

This field is N soundings x 8 x 4. This contains retrieved column-integrated aerosol optical depth for column segment: [Total, Low, Med, High]. The data are fill values where that aerosol type was not retrieved, and contains a value where it was included.

7.2.4.2 aerosol_model

This string field is N soundings x 8, and contains the aerosol model used, if retrieved. The options are [profile_linear, profile_log, linear_aod, gaussian_linear, gaussian_log]. For V8, all retrievals are in gaussian log.

7.2.4.3 aerosol_param

This N sounding by 8 by 3 field contains the retrieved aerosol parameter values. For the Gaussian log set up, these parameters are the natural log of total aod, center pressure/surf-pressure, pressure sigma/surf-pressure. If a fill value is present (-999999.0), the aerosol type was not included for that retrieval.

There are similar fields for the apriori and the uncertainty.

7.2.4.4 aerosol_total_aod

This field in a vector with N sounding elements and contains the retrieved total column-integrated aerosol optical depth for all aerosol types. It can be useful for data screening.

7.2.4.5 aerosol_type_retrieved

This N soundings x 8 field is a flag that indicates whether aerosol type was retrieved or not (1 yes, 0 no). You can multiply the aerosol_aod field by this to get the data you are interested.

Note that Metadata/AllAerosolTypes contains the list of the 8 aerosol types. They are DU, SS, BC, OC, SO, Ice, water, and ST. This stands for dust, seasalt, black carbon, organic carbon, sulfate, ice, water, and stratospheric aerosol.

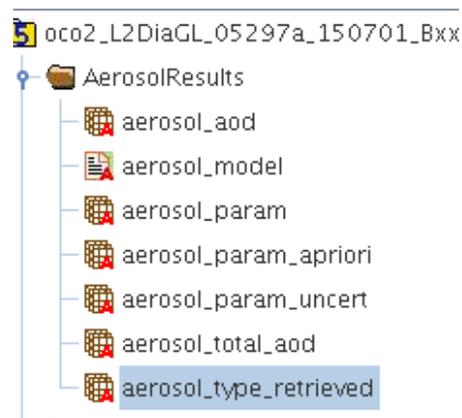


Figure 7-4. Variables in the AerosolResults folder.

7.2.5 Declocking

A declocking algorithm has been implemented to correct for the slight misalignment of the FPAs (see section 2.2.2). The algorithm uses spatial information from selected color slices (also described in section 2.2.2) to estimate a correction factor by which L1B spectra are multiplied to eliminate discontinuities. The selected color slices are first aggregated into “groups” for statistical purposes. For each group an estimate is made of a correction factor needed at each clocking boundary. Then, a correction function is determined from averages of these estimates, weighted by a column's distance to a group. A much more detailed description can be found in the Level 1B Algorithm Theoretical Basis Document (L1B ATBD). The variables described below are present in L1Sc and, with the exception of “radiance_jump_ratio_*”, duplicated in the L2 product.

7.2.5.1 declocking_color_indicator

This variable is a 1016-element integer array corresponding to the group associated with a given column. Groups are indexed starting with a value of 1. A value of 0 indicates that the column is not used in the correction algorithm.

7.2.5.2 clocking_shift_color_indicator

This binary field specifies the location of the clocking jumps, i.e., the columns where a footprint's left and right boundaries are both incremented by 1.

7.2.5.3 max_declocking_factor_o2/weak_co2/strong_co2

For each sounding, as described above, a correction function is determined by which the original L1B radiances are multiplied columnwise. This field indicated the value of the correction function at a column where the absolute value of the correction function minus unity is maximal. A value of 1.0 indicates that no correction has been performed. The fraction of soundings for whom this field lies outside the range [0.99, 1.01] (i.e., a correction of $\leq 1\%$) is on the order ~ 0.1 . Corrections larger than several percent are very rare.

7.2.5.4 radiance_jump_ratio_o2/weak_co2/strong_co2

The quantity described above (in 7.2.5.3) is determined from a weighted average of these fields, which is comprised of radiance ratios associated with each group and at each clocking jump. These fields are only saved in L1BSc. A more detailed description is provided in the L1B ATBD.

7.3 Key Data Fields Diagnostic Files

The Diagnostic files provide additional information that will be of use to scientists performing data assimilation.

7.3.1 RetrievalResults

The RetrievalResults folder for Diagnostic files has variables not included in the RetrievalResults folder for Standard products. These variables include the covariance matrices (*a priori* and *a posteriori*) and the averaging kernel matrix, as well as estimates of uncertainty from interference (interference smoothing uncertainty and $X_{CO_2_correlation_interf}$).

The measured and model radiances are also in this expanded file (along with a wavelength grid). The measured radiance matches what is found in the L1B file, and the modeled is the radiance calculated in the L2 full physics at the last retrieval step.

7.3.1.1 Averaging_kernel_matrix

This matrix is the averaging kernel for all state vector elements.

7.3.1.2 Aposteriori_covariance_matrix

This field is the *a posteriori* covariance matrix for all elements of the state vector. Note that there is also a separate variable for the `co2_profile_covariance_matrix`.

7.3.2 RetrievedStateVector

The RetrievedStateVector folder is unique to the Diagnostic files. It contains all of the state vector variables as a vector of the variables. All of these have been separately reported in the RetrievalResults folder as scalars.

8 ABO2 Preprocessor

The O₂ A band cloud screening algorithm was developed at Colorado State University (CSU) under the ACOS program. It employs a fast Bayesian retrieval to estimate surface pressure and surface albedo, assuming clear-sky conditions with only molecular Rayleigh scattering, from high-resolution spectra of the O₂ A band near 765 nm. The estimated surface pressure, surface albedo and the chi-squared goodness-of-fit statistic are used to flag scenes as cloudy, clear, or indeterminate [Taylor et al., 2011].

The basic method is that, absent clouds or aerosols, the surface pressure of a clear scene can be determined to within 2 – 5 hPa accuracy using the O₂ A band spectrum of reflected sunlight. This is because of the strong oxygen absorption features that are present in this band. When surface pressure is higher, the absorption features are deeper for a given observation geometry. When clouds or aerosols are present, they change the path lengths for most photons, either via shortening or lengthening, such that the retrieved surface pressure can be very different from the expected value based on a meteorological forecast, and also the O₂ A spectrum itself cannot be well-fit with a clear-sky assumption.

8.1 Prescreening of OCO-2 Soundings for Cloud and Aerosol

The primary objective of the ABO2 algorithm is to remove from the OCO-2 operational processing stream the soundings that are deemed too contaminated by clouds and /or aerosol for reliable X_{CO_2} retrieval in the computationally expensive L2 algorithm. The key screening parameters are interpreted internally via thresholds to provide a simple cloud flag. Furthermore, the key screening parameters can be used as inputs to a genetic algorithm used for individual sounding selection [Mandrake et al., 2013]. Details of the technique will be described in the Level 2 Algorithm Theoretical Basis Document (L2 ATBD) as well as an upcoming publication [Taylor et al., 2015, in prep]. As this is used as a preprocessor, the primary objective is to flag as cloudy scenes that are obviously cloudy or aerosol-contaminated. Therefore, thresholds are set rather loosely so scenes that have some cloud or aerosol contamination will sometimes pass the filter. This is by design. These scenes are sometimes useful for science, or may be rejected by additional pre- or postprocessor flags.

For V8, the most significant change to the ABO2 prescreener was the implementation of SAA screening. As described in section 2.2.4 there are samples in the a-band that are impacted by cosmic rays. In V8, these are now screened in ABO2. This change in ABO2 and IMAP results in many more soundings passing ABO2 in the SAA region.

8.2 Key Science Data Fields

8.2.1 PreprocessingResults

The ABO2 data fields can be found in the standard L2 product (L2Std) PreprocessingResults folder, labeled with the `_abp` data field designation.

Figure 8-1 shows a screenshot of an example OCO-2 L2Sc product file as viewed by HDFView. The ABO2 data fields within the PreprocessingResults folder are highlighted and will be described in detail below.

8.2.1.1 surface_pressure_delta_abp

The delta surface pressure is calculated as ECMWF forecast surface pressure – retrieved pressure – offset:

$$\text{surface_pressure_apriori_abp} - \text{surface_pressure_abp} - \text{surface_pressure_offset_abp}$$

in SI units (Pascals). This is the primary screening criterion for the ABO2 preprocessor, and represents the difference between the retrieved and meteorologically estimated surface pressure in the target field of view. Because the algorithm uses imperfect spectroscopy to retrieve surface pressure, there is a path length dependent offset term that is determined by analyzing clear scenes and subtracted from the retrieved value. This yields an unbiased estimate of the retrieved surface pressure. A threshold value can be set independently for nadir, glint, and target viewing modes. At the time of this writing, scenes with a difference greater than 25 hPa (2500 Pa) are flagged as cloudy, for all OCO-2 viewing modes.

8.2.1.2 albedo_o2_abp

The retrieved surface albedo at 0.755 μm and 0.785 μm . Note that the retrieval assumes a perfect Lambertian surface albedo that varies linearly with wavelength. This assumption is currently made for all viewing modes; in glint mode, this means that the retrieved surface albedo can sometimes exceed unity. Over a dark ocean surface, the retrieved surface albedo is a good way to tell the presence of cloud: if the retrieved surface albedo is too large, a cloud or reflective aerosol layer is likely present. This test is not useful in glint mode or over land, and therefore is generally not used for OCO-2 prescreening.

8.2.1.3 reduced_chi_squared_o2_abp

The reduced χ^2 value of the spectral fit of the fast retrieval. Values greater than a specified threshold value are also indicative of clouds or aerosols present; as they are not accounted for in the retrieval, spectra containing them cannot be well fit. The threshold χ^2 value is a parameterized function of SNR, as there are persistent spectral features (due to imperfect spectroscopy or instrument calibration) that scale with the signal level.

8.2.1.4 dispersion_multiplier_abp

This parameter is also fit in the retrieval, and accounts for wavelength shifts in the spectra due primarily to the Earth-instrument Doppler shift. This is typically a maximum of ± 7 km/sec, which corresponds roughly to shifts of ± 0.3 cm^{-1} or ± 0.018 nm (at maximum). This Doppler shift is easily fit for in the retrieval. Because the Doppler shift is formally a wavelength scaling, the ABO2 algorithm fits it as a scaling rather than a simple shift, though because of the narrowness of the fitted spectral region, it amounts to roughly the same thing.

8.2.1.5 noise_o2_abp

The determined radiance noise value in the continuum based on the preflight calibration.

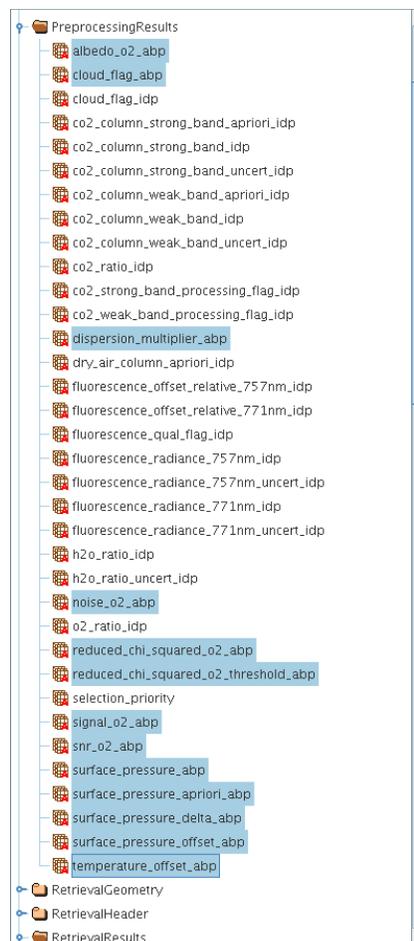


Figure 8-1. Screenshot of an HDFView look at the ABO2 preprocessor file.

8.2.1.6 reduced_chi_squared_o2_threshold_abp

The threshold reduced χ^2 as described above. The logarithm of the reduced χ^2 in clear scenes is assumed to be a piecewise linear function of SNR. This relationship is determined from clear scenes separately for nadir and glint modes.

8.2.1.7 signal_o2_abp

The determined radiance mean value in the continuum.

8.2.1.8 snr_o2_abp

The ratio of signal_o2_abp to noise_o2_abp.

8.2.1.9 surface_presssure_abp

The retrieved value of surface pressure by the ABO2 algorithm, in units of Pa.

8.2.1.10 surface_presssure_apriori_abp

The prior estimate of surface pressure in the target field of view, as determined from short-term ECMWF meteorological forecasts, and adjusted based on the mean elevation in the target field of view.

8.2.1.11 surface_presssure_offset_abp

The empirically determined offset of the retrieved surface pressure for clear sky scenes. This offset is determined as a piecewise linear function of solar zenith angle, separately for nadir and glint modes, and is based on soundings that are determined to be approximately clear based on other methods (which include output from the IMAP-DOAS preprocessor as well as soundings collocated with the MODIS sensor aboard Aqua).

8.2.1.12 temperature_offset_abp

The retrieved temperature offset, a simple additive offset to the meteorological estimate of the temperature profile. At the time of this writing this parameter is included in the state vector, but may be taken out to increase processing speed.

8.2.1.13 cloud_flag_abp

The result of the surface pressure, χ^2 , and albedo tests to determine if a scene is likely cloudy (or heavily aerosol-laden). If a single test is failed, the cloud flag is set equal to 1, indicating the likely presence of cloud and/or aerosol. If all threshold checks are successfully passed, then the cloud flag is assigned a value of 0, indicating a sufficiently clear-sky scene. The cloud flag can also be set to 2 for "undetermined" cases. These are chiefly caused by solar zenith angle out of bounds or when viewing water surfaces in nadir observation mode (insufficient SNR).

9 IMAP-DOAS Preprocessor

The iterative maximum a posteriori differential optical absorption spectroscopy preprocessor is a non-scattering fast retrieval algorithm for optically thick absorbers [Frankenberg et al., 2005]. The preprocessor now serves two purposes for OCO 2: (1) retrieve vertical columns of trace gases in all bands individually for advanced cloud and aerosol screening and (2) retrieve solar induced chlorophyll fluorescence (SIF) using an algorithm described in Frankenberg et al. [2011].

For V8, the most significant change to the IMAP prescreener was the implementation of SAA screening. As described in section 2.2.4 there are samples in the a-band that are impacted by cosmic rays. In V8, these are now screened in ABO2. This change in ABO2 and IMAP results in many more soundings passing ABO2 in the SAA region.

The SIF data from IMAP is available in the SIF lite files. These are described in more detail in a separate IMAP SIF Lite File user's guide.

9.1 Advanced Cloud and Aerosol Screening

For OCO-2, it is being used to derive vertical column densities of H₂O and CO₂ independently in both CO₂ bands (1.6 and 2.0 μm) with the assumption of a non-scattering atmosphere. Given that Rayleigh scattering is very low in the near-infrared, this assumption holds true if neither aerosols nor clouds are present. In this case, both bands should yield an accurate and consistent result, i.e., the ratio of retrieved quantities in both CO₂ bands is approaching unity in clear sky conditions.

We found that the ratio of both CO₂ and H₂O vertical column densities is deviating significantly from unity in the presence of aerosols and clouds [e.g., Mandrake et al., 2013]. The details of this technique will be described in the algorithm theoretical basis document as well as an upcoming publication.

9.2 Retrievals of Solar-Induced Chlorophyll Fluorescence

The possibility of retrieving solar induced chlorophyll fluorescence from high-resolution spectra in the vicinity of the O₂ A band have been shown in Frankenberg et al. [2011] and Joiner et al. [2011]. Here, we apply the retrieval algorithm described in Frankenberg et al. [2011], embedded in the IMAP-DOAS retrieval code. It is important to note that this is the dedicated fluorescence retrieval, as opposed to the fluorescence retrieval within the full-physics L2 code. Interference with scattering properties in this retrieval is thus minimized [e.g., Frankenberg et al., 2012].

9.3 Key Science Data Fields

Note: There are a few generic differences between the IMAP-DOAS data and the main L2 files based on the full-physics X_{CO_2} retrieval. First, all OCO-2 L1BSc data with a solar zenith angle smaller than 80 degrees and a valid quality flag are processed through the preprocessor. The other main difference is the structure of the IMAP L2 data fields as they are arranged in 2-dimensional data fields, with one dimension being time of readout (variable per orbit) and the other one representing each of the 8 OCO-2 footprints independently (i.e., the dimensions are $n \times 8$, with n denoting the number of total focal plane array readouts per orbit).

Figure 9-1 shows a screenshot of the general structural overview of an IMAP-DOAS preprocessor L2 file using HDFView. The most important data folders are expanded and will be described in detail below.

9.3.1 SoundingGeometry

The SoundingGeometry folder includes all relevant location information for each footprint as well as information on viewing geometries as well as topography. It follows exactly the same definitions as the official full-physics L2 files and the reader is referred to the more detailed explanation in the respective document for the official X_{CO_2} L2 file.

9.3.2 DOASCO2

The DOASCO2 folder contains all relevant fields from the IMAP retrievals of CO₂ columns in both OCO-2 CO₂ bands. Definitions are as follows:

9.3.2.1 DOASCO2/co2_column_strong_band_apriori_idp

A priori vertical column density of CO₂ in the strong (2.0 μm) band in molecules/m². Note that a constant volume mixing ratio (VMR) is assumed across the globe in this IMAP-DOAS version and that the *a priori* thus mostly depends on surface pressure.

9.3.2.2 DOASCO2/co2_column_weak_band_apriori_idp

See above but for weak band (both are identical).

9.3.2.3 DOASCO2/co2_column_strong_band_idp

Retrieved vertical column density of CO₂ in the strong (2.0 μm) band in molecules/m². Note that this is different from the FP L2 CO₂ vertical column as this variable here is only retrieved in one band and ignores scattering.

9.3.2.4 DOASCO2/co2_column_weak_band_idp

See above but for the weak band.

9.3.2.5 DOASCO2/co2_column_strong_band_uncert_idp

Uncertainty in retrieved vertical column density of CO₂ in the strong (2.0 μm) band in molecules/m².

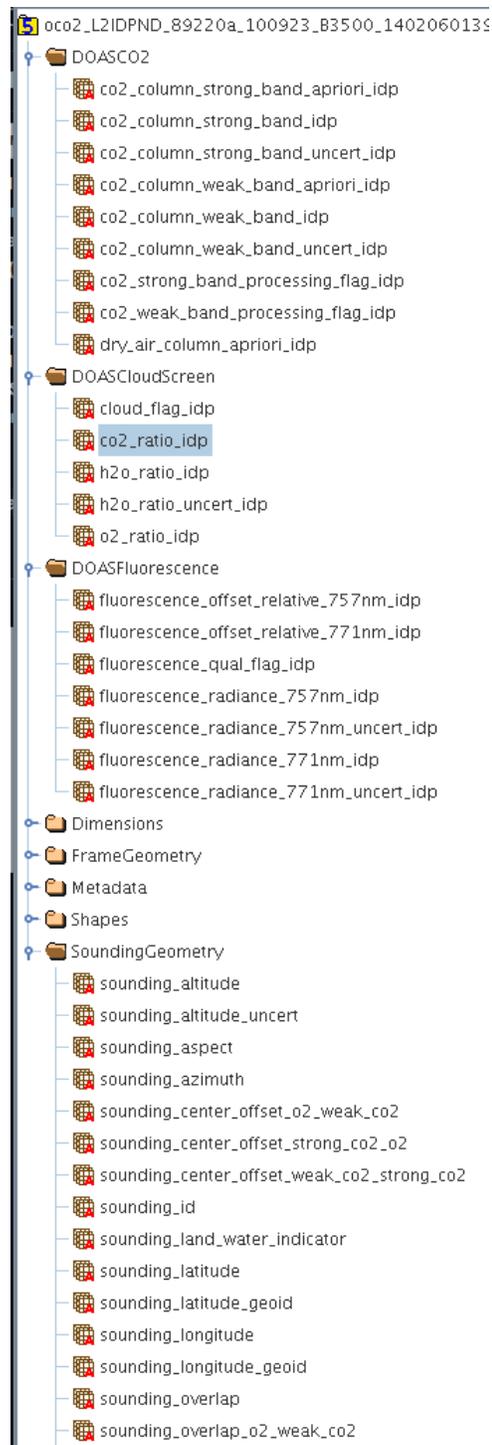


Figure 9-1. Screenshot of an HDFView look at the IMAP-DOAS preprocessor file.

9.3.2.6 DOASCO2/co2_column_weak_band_uncert_idp

See above but for weak band.

9.3.2.7 DOASCO2/co2_column_strong_band_processing_flag_idp

Processing flag for the strong CO₂ band retrieval. Every sounding will have a value: 0 (successfully processed), 1 (not converged) or 2 (not processed).

9.3.2.8 DOASCO2/co2_column_weak_band_processing_flag_idp

See above but for weak band.

9.3.2.9 DOASCO2/dry_air_column_apriori_idp

A priori total dry air column (mol/m²) of the respective sounding (based purely on ECMWF input data and topography).

9.3.3 DOASCloudScreen

In this folder, all relevant variables for advanced cloud-screening are stored.

9.3.3.1 DOASCloudScreen/cloud_flag_idp

Tentative cloud flag based purely used on the IMAP preprocessor. Values can be:

- -2 = unusable (not processed)
- -1 = not all retrievals converged
- 0 = clearly cloudy
- 1 = probably cloudy
- 2 = probably clear
- 3 = very clear

The values are based on simple threshold criteria and based on the ratios defined in the same folder. This flag is *not* being used as input for FP L2 sounding selection and is also not being validated.

9.3.3.2 DOASCloudScreen/co2_ratio_idp

Ratio of retrieved CO₂ vertical column density (VCD) in weak and strong band ($VCD_{1.6\mu m}/VCD_{2.0\mu m}$). Please note that there might be a footprint dependence of this ratio, i.e., each OCO-2 footprint may have a different optimal ratio value. Eventually, we will document these subtleties but the users of early mission data should be aware of this fact, which applies to all variables discussed here.

9.3.3.3 DOASCloudScreen/h2o_ratio_idp

Ratio of retrieved H₂O vertical columns in weak and strong band ($VCD_{1.6\mu m}/VCD_{2.0\mu m}$).

9.3.3.4 DOASCloudScreen/h2o_ratio_uncert_idp

1-sigma uncertainty of the ratio of retrieved H₂O vertical columns in weak and strong band ($VCD_{1.6\mu m}/VCD_{2.0\mu m}$).

9.3.3.5 DOASCloudScreen/o2_ratio_idp

Ratio of retrieved vs. expected O₂ column density based on the fluorescence 771 nm retrieval window, which includes some weak O₂ line used to derive an O₂ column. Values substantially lower than 1 indicated the presence of clouds.

9.3.4 DOASFluorescence

In this folder, all relevant variables for the fluorescence retrieval in the 757 nm and 771 nm window are saved. From experience with GOSAT and also looking at the general shape of the fluorescence emission spectrum, SIF at 771 nm is about a factor 1.7 lower than at 757 nm.

Please note that the primary retrieval fits the relative contribution of an additive offset (such as from fluorescence) in both retrieval windows. Over nonfluorescing targets, the average retrieval of this offset may deviate from 0 because of small uncertainties in instrument line-shape as well as the solar line-list. In relative units, this “bias” is expected to be constant across the globe but a constant bias in the relative contribution to of fluorescence will translate into a signal-dependent bias in the absolute value (in radiance units). We will characterize this bias as soon as possible but users, esp. in the early mission phase, should be aware of that caveat and provide feedback of observed inconsistencies to the developer team.

9.3.4.1 DOASFluorescence/fluorescence_offset_relative_757nm_idp

Fraction of continuum level radiance explained by an additive offset term in the 757 nm spectral window (unitless). In the absence of instrumental errors, this will be only caused by fluorescence. Rotational Raman scattering should be negligible over typical vegetated surface and moderate solar zenith angles (<65 degrees).

9.3.4.2 DOASFluorescence/fluorescence_offset_relative_771nm_idp

Same as above but for the 771 nm window.

9.3.4.3 DOASFluorescence/fluorescence_radiance_757nm_idp

Fluorescence term expressed in absolute radiance units ($\text{ph/s/m}^2/\text{sr}/\mu\text{m}$) in the 757 nm fit window. This is a derived quantity based on the fit of the relative contribution multiplied with the overall continuum level radiance.

9.3.4.4 DOASFluorescence/fluorescence_radiance_771nm_idp

Same as above but for the 771 nm window.

9.3.4.5 DOASFluorescence/fluorescence_radiance_757nm_corr_idp

Fluorescence term expressed in absolute radiance units ($\text{ph/s/m}^2/\text{sr}/\mu\text{m}$) in the 757 nm fit window. This is a derived quantity based on the fit of the relative contribution multiplied with the overall continuum level radiance. This version applies a first order correction of SIF as a function of signal level, as derived from early mission data. It is generally advised to only use SIF retrievals if the continuum level radiance in the O₂ A band is below $4e20 \text{ ph/s/m}^2/\text{sr}/\mu\text{m}$.

9.3.4.6 DOASFluorescence/fluorescence_radiance_771nm_corr_idp

Same as above but for the 771 nm window.

9.3.4.7 DOASFluorescence/fluorescence_radiance_757nm_uncert_idp

Estimated uncertainty (1-sigma) of the fluorescence term expressed in absolute radiance units ($\text{ph/s/m}^2/\text{sr}/\mu\text{m}$) in the 757 nm fit window.

9.3.4.8 DOASFluorescence/fluorescence_radiance_771nm_uncert_idp

Same as above but for the 771 nm window.

9.3.4.9 DOASFluorescence/fluorescence_qual_flag_idp

Quality flag for the fluorescence retrieval (0 = ok, 1 = didn't pass quality filter or unprocessed).

10 Full Data Tables

The tables below give a full listing of the variables in each folder/group and a short description including the data type. We suggest that users familiarize themselves with the data dimensions by browsing with HDFview or a similar tool.

Section 4.2.1 provides the data tables for V9 Level 2 Lite Files.

10.1 Data Tables for L2Std and L2Dia Files

The L2 data products in these tables are from the L2Std and L2Dia files. Fields only in the L2Dia files are highlighted in dark red.

10.1.1 Aerosol Results

The AerosolResults group (see **Table 10-1** below) contains detailed information about the data fields from the L2 retrievals.

Table 10-1. AerosolResults data in Level 2 Standard Data file (L2Std) and Diagnostic file (L2Dia).

Data Element	Type	Comments
aerosol_total_aod	Float32	Retrieved total column-integrated aerosol optical depth for all aerosol types
aerosol_aod	Float32	>Retrieved column-integrated aerosol optical depth for column segment: [Total, Low, Med, High]
aerosol_model	FixLenStr	Aerosol model used, if retrieved, one of: [profile_linear, profile_log, linear_aod, gaussian_linear, gaussian_log]
aerosol_param	Float32	Retrieved aerosol parameter value
aerosol_param_apriori	Float32	A priori of retrieved aerosol parameter
aerosol_param_uncert	Float32	Uncertainty of retrieved aerosol parameter
aerosol_type_retrieved	Signed8	Flag that indicates whether aerosol type was retrieved or not (1 yes, 0 no)

10.1.2 Albedo Results

The AlbedoResults group (see **Table 10-2** below) contains detailed information about the albedo properties in the L2 retrievals.

Table 10-2. AlbedoResults data in Level 2 Standard Data file (L2Std) and Diagnostic file (L2Dia).

Data Element	Type	Comments
albedo_apriori_o2_fph	Float32	<i>a priori</i> of retrieved Lambertian component of albedo at 0.77 microns
albedo_apriori_strong_co2_fph	Float32	<i>a priori</i> of retrieved Lambertian component of albedo at 2.06 microns
albedo_apriori_weak_co2_fph	Float32	<i>a priori</i> of retrieved Lambertian component of albedo at 1.615 microns
albedo_o2_fph	Float32	Retrieved Lambertian component of albedo at 0.77 microns
albedo_slope_apriori_o2	Float32	<i>a priori</i> of retrieved spectral dependence of Lambertian component of albedo within ABO2 band

Data Element	Type	Comments
albedo_slope_apriori_strong_co2	Float32	<i>a priori</i> of spectral dependence of Lambertian component of albedo within SCO2 band
albedo_slope_apriori_weak_co2	Float32	<i>a priori</i> of retrieved spectral dependence of Lambertian component of albedo within WCO2 band
albedo_slope_o2	Float32	Retrieved spectral dependence of Lambertian component of albedo within ABO2 band
albedo_slope_strong_co2	Float32	Retrieved spectral dependence of Lambertian component of albedo within SCO2 band
albedo_slope_uncert_o2	Float32	Uncertainty of retrieved spectral dependence of Lambertian component of albedo within ABO2 band
albedo_slope_uncert_strong_co2	Float32	Uncertainty of spectral dependence of Lambertian component of albedo within SCO2 band
albedo_slope_uncert_weak_co2	Float32	Uncertainty of retrieved spectral dependence of Lambertian component of albedo within WCO2 band
albedo_slope_weak_co2	Float32	Retrieved spectral dependence of Lambertian component of albedo within WCO2 band
albedo_strong_co2_fph	Float32	Retrieved Lambertian component of albedo at 2.06 microns
albedo_uncert_o2_fph	Float32	Uncertainty of retrieved Lambertian component of albedo 0.77 microns
albedo_uncert_strong_co2_fph	Float32	Uncertainty of retrieved Lambertian component of albedo at 2.06 microns
albedo_uncert_weak_co2_fph	Float32	Uncertainty of retrieved Lambertian component of albedo at 1.615 microns
albedo_weak_co2_fph	Float32	Retrieved Lambertian component of albedo at 1.615 microns

10.1.3 BRDF Results

The BRDFResults group (see **Table 10-3** below) contains detailed information about the Bidirectional reflectance distribution function in L2 retrievals.

Table 10-3. BRDF Results data in Level 2 Standard Data file (L2Std) and Diagnostic file (L2Dia).

Data Element	Type	Comments
brdf_anisotropy_parameter_o2	Float32	RPV kernel anisotropy parameter for ABO2 band (set to 0.75)
brdf_anisotropy_parameter_strong_co2	Float32	RPV kernel anisotropy parameter for SCO2 band (set to 0.75)
brdf_anisotropy_parameter_weak_co2	Float32	RPV kernel anisotropy parameter for WCO2 band (set to 0.75)
brdf_asymmetry_parameter_o2	Float32	RPV kernel asymmetry parameter for ABO2 band (set to -0.1)
brdf_asymmetry_parameter_strong_co2	Float32	RPV kernel asymmetry parameter for SCO2 band (set to -0.1)
brdf_asymmetry_parameter_weak_co2	Float32	RPV kernel asymmetry parameter for WCO2 band (set to -0.1)

Data Element	Type	Comments
brdf_breon_factor_o2	Float32	Amplitude factor applied for Breon part of BRDF for ABO2 band (set to 1e-20)
brdf_breon_factor_strong_co2	Float32	Amplitude factor applied for Breon part of BRDF for SCO2 band (set to 1e-20)
brdf_breon_factor_weak_co2	Float32	Amplitude factor applied for Breon part of BRDF for WCO2 band (set to 1e-20)
brdf_hotspot_parameter_o2	Float32	RPV kernel hotspot parameter for ABO2 band (set to 0.05)
brdf_hotspot_parameter_strong_co2	Float32	RPV kernel hotspot parameter for SCO2 band (set to 0.05)
brdf_hotspot_parameter_weak_co2	Float32	RPV kernel hotspot parameter for WCO2 band (set to 0.05)
brdf_rahman_factor_o2	Float32	Amplitude factor for Rahman (RPV) part of BRDF for ABO2 band (set to 1.0)
brdf_rahman_factor_strong_co2	Float32	Amplitude factor for Rahman (RPV) part of BRDF for SCO2 band (set to 1.0)
brdf_rahman_factor_weak_co2	Float32	Amplitude factor for Rahman (RPV) part of BRDF for WCO2 band (set to 1.0)
brdf_reflectance_apriori_o2	Float32	A priori of retrieved reflectance, computed from BRDF evaluated at the observation geometry, at 0.77 micron wavelength
brdf_reflectance_apriori_strong_co2	Float32	A priori of retrieved reflectance, computed from BRDF evaluated at the observation geometry, at 2.06 micron wavelength
brdf_reflectance_apriori_weak_co2	Float32	A priori of retrieved reflectance, computed from BRDF evaluated at the observation geometry, at 1.615 micron wavelength
brdf_reflectance_o2	Float32	Retrieved reflectance, computed from BRDF evaluated at the observation geometry, at 0.77 micron wavelength
brdf_reflectance_strong_co2	Float32	Retrieved reflectance, computed from BRDF evaluated at the observation geometry, at 2.06 micron wavelength
brdf_reflectance_weak_co2	Float32	Retrieved reflectance, computed from BRDF evaluated at the observation geometry, at 1.615 micron wavelength
brdf_reflectance_uncert_o2	Float32	Uncertainty of retrieved reflectance, computed from BRDF evaluated at the observation geometry, at 0.77 micron wavelength
brdf_reflectance_uncert_strong_co2	Float32	Uncertainty of retrieved reflectance, computed from BRDF evaluated at the observation geometry, at 2.06 micron wavelength
brdf_reflectance_uncert_weak_co2	Float32	Uncertainty of retrieved reflectance, computed from BRDF evaluated at the observation geometry, at 1.615 micron wavelength

Data Element	Type	Comments
brdf_reflectance_slope_apriori_o2	Float32	A priori of retrieved spectral dependence of reflectance in ABO2 band
brdf_reflectance_slope_apriori_strong_co2	Float32	A priori of retrieved spectral dependence of reflectance in SCO2 band
brdf_reflectance_slope_apriori_weak_co2	Float32	A priori of retrieved spectral dependence of reflectance in WCO2 band
brdf_reflectance_slope_o2	Float32	Retrieved spectral dependence of reflectance in ABO2 band
brdf_reflectance_slope_strong_co2	Float32	Retrieved spectral dependence of reflectance in SCO2 band
brdf_reflectance_slope_weak_co2	Float32	Retrieved spectral dependence of reflectance in WCO2 band
brdf_reflectance_slope_uncert_o2	Float32	Uncertainty of retrieved spectral dependence of reflectance in ABO2 band
brdf_reflectance_slope_uncert_strong_co2	Float32	Uncertainty of retrieved spectral dependence of reflectance in SCO2 band
brdf_reflectance_slope_uncert_weak_co2	Float32	Uncertainty of retrieved spectral dependence of reflectance in WCO2 band
brdf_weight_apriori_o2	Float32	A priori of overall retrieved weight for the composite BRDF, at reference wavelength, for ABO2 band
brdf_weight_apriori_strong_co2	Float32	A priori of overall retrieved weight for the composite BRDF, at reference wavelength, for SCO2 band
brdf_weight_apriori_weak_co2	Float32	A priori of overall retrieved weight for the composite BRDF, at reference wavelength, for WCO2 band
brdf_weight_o2	Float32	Overall retrieved weight for the composite BRDF, at reference wavelength, for ABO2 band
brdf_weight_strong_co2	Float32	Overall retrieved weight for the composite BRDF, at reference wavelength, for SCO2 band
brdf_weight_weak_co2	Float32	Overall retrieved weight for the composite BRDF, at reference wavelength, for WCO2 band
brdf_weight_uncert_o2	Float32	Uncertainty of overall retrieved weight for the composite BRDF, at reference wavelength, for ABO2 band
brdf_weight_uncert_strong_co2	Float32	Uncertainty of overall retrieved weight for the composite BRDF, at reference wavelength, for SCO2 band
brdf_weight_uncert_weak_co2	Float32	Uncertainty of overall retrieved weight for the composite BRDF, at reference wavelength, for WCO2 band
brdf_weight_slope_apriori_o2	Float32	A priori of overall retrieved in-band slope of the weight for the composite BRDF for ABO2 band
brdf_weight_slope_apriori_strong_co2	Float32	A priori of overall retrieved in-band slope of the weight for the composite BRDF for SCO2 band
brdf_weight_slope_apriori_weak_co2	Float32	A priori of overall retrieved in-band slope of the weight for the composite BRDF for WCO2 band

Data Element	Type	Comments
brdf_weight_slope_o2	Float32	Overall retrieved in-band slope of the weight for the composite BRDF for ABO2 band
brdf_weight_slope_strong_co2	Float32	Overall retrieved in-band slope of the weight for the composite BRDF for SCO2 band
brdf_weight_slope_weak_co2	Float32	Overall retrieved in-band slope of the weight for the composite BRDF for WCO2 band
brdf_weight_slope_uncert_o2	Float32	Uncertainty of overall retrieved in-band slope of the weight for the composite BRDF for ABO2 band
brdf_weight_slope_uncert_strong_co2	Float32	Uncertainty of overall retrieved in-band slope of the weight for the composite BRDF for SCO2 band
brdf_weight_slope_uncert_weak_co2	Float32	Uncertainty of overall retrieved in-band slope of the weight for the composite BRDF for SCO2 band

10.1.4 Dispersion Results

The DispersionResults group (see **Table 10-4** below) contains detailed information about the dispersion properties in the L2 retrievals.

Table 10-4. DispersionResults fields in Level 2 Standard Data file (L2Std) and Diagnostic file (L2Dia)

Data Element	Type	Comments
dispersion_offset_apriori_o2	Float64	a priori of retrieved dispersion offset term in ABO2 band
dispersion_offset_apriori_strong_co2	Float64	a priori of retrieved dispersion offset term in SCO2 band
dispersion_offset_apriori_weak_co2	Float64	a priori of retrieved dispersion offset term in WCO2 band
dispersion_offset_o2	Float64	Retrieved dispersion offset term in ABO2 band
dispersion_offset_strong_co2	Float64	Retrieved dispersion offset term in SCO2 band
dispersion_offset_uncert_o2	Float32	Uncertainty of retrieved dispersion offset term in ABO2 band
dispersion_offset_uncert_strong_co2	Float32	Uncertainty of retrieved dispersion offset term in SCO2 band
dispersion_offset_uncert_weak_co2	Float32	Uncertainty of retrieved dispersion offset term in WCO2 band
dispersion_offset_weak_co2	Float64	Retrieved dispersion offset term in WCO2 band
dispersion_spacing_apriori_o2	Float32	a priori of retrieved dispersion spacing in ABO2 band
dispersion_spacing_apriori_strong_co2	Float32	a priori of retrieved dispersion spacing in SCO2 band
dispersion_spacing_apriori_weak_co2	Float32	a priori of retrieved dispersion spacing in WCO2 band
dispersion_spacing_o2	Float32	Retrieved dispersion spacing in ABO2 band
dispersion_spacing_strong_co2	Float32	Retrieved dispersion spacing in SCO2 band
dispersion_spacing_uncert_o2	Float32	Uncertainty of retrieved dispersion spacing in ABO2 band
dispersion_spacing_uncert_strong_co2	Float32	Uncertainty of retrieved dispersion spacing in SCO2 band

Data Element	Type	Comments
dispersion_spacing_uncert_weak_co2	Float32	Uncertainty of retrieved dispersion spacing in WCO2 band
dispersion_spacing_weak_co2	Float32	Retrieved dispersion spacing in WCO2 band

10.1.5 L1BSc Sounding Reference Fields

The L1bScSoundingReference group (see **Table 10-5** below) contains certain fields from the L1BSc data files.

Table 10-5. L1BSc sounding reference in Level 2 Standard Data file (L2Std) and Diagnostic file (L2Dia).

Data Element	Type	Comments
packaging_qual_flag	UInt8	Bit flags recording errors during packaging of L2 full physics and preprocessing output into retrieval arrays: <ul style="list-style-type: none"> • 0—Good • Non-zero—See documentation
retrieval_index	Int32	The index into the Retrieval dimension of arrays in the RetrievalResults, RetrievedStateVector, and SpectralParameters groups for soundings associated with retrievals
sounding_id_11b	Int64	Unique identifier for each sounding
sounding_qual_flag	UInt64	Bit flags indicating the quality of the data in sounding: <ul style="list-style-type: none"> • 0—Good • Non-zero—See documentation

10.1.6 L1BSc Spectral Parameter Fields

The L1BScSpectralParameters group (see **Table 10-6** below) contains certain fields regarding spectral properties of OCO-2 from the L1BSc data files.

Table 10-6. L1BScSpectralParameters in Level 2 Standard Data file (L2Std) and Diagnostic file (L2Dia).

Data Element	Type	Comments
max_declocking_factor_o2	Float32	Maximum clocking correction factor of the ABO2 spectrum
max_declocking_factor_strong_co2	Float32	Maximum clocking correction factor of the SCO2 spectrum
max_declocking_factor_weak_co2	Float32	Maximum clocking correction factor of the WCO2 spectrum
rad_mean_spectra_o2	Float32	The mean radiance of the ABO2 spectrum
rad_mean_spectra_strong_co2	Float32	The mean radiance of the SCO2 spectrum
rad_mean_spectra_weak_co2	Float32	The mean radiance of the WCO2 spectrum
rad_stddev_spectra_o2	Float32	The standard deviation of the radiance of the ABO2 spectrum
rad_stddev_spectra_strong_co2	Float32	The standard deviation of the radiance of the SCO2 spectrum
rad_stddev_spectra_weak_co2	Float32	The standard deviation of the radiance of the WCO2 spectrum

Data Element	Type	Comments
snr_o2_11b	Float32	The mean signal-to-noise ratio of the good samples in the band falling between the 98th and 99th percentile for signal level
snr_strong_co2_11b	Float32	The mean signal-to-noise ratio of the good samples in the band falling between the 98th and 99th percentile for signal level
snr_weak_co2_11b	Float32	The mean signal-to-noise ratio of the good samples in the band falling between the 98th and 99th percentile for signal level
spike_eof_bad_colors_o2	Int16	Number of bad colors in the ABO2 spectrum
spike_eof_bad_colors_strong_co2	Int16	Number of bad colors in the SCO2 spectrum
spike_eof_bad_colors_weak_co2	Int16	Number of bad colors in the WCO2 spectrum

10.1.7 L2 Preprocessing Fields

The PreprocessingResults group (see **Table 10-7** below) contains fields from the Level 2 preprocessing results.

Table 10-7. PreprocessingResults data in Level 2 Standard Data file (L2Std) and Diagnostic file (L2Dia).

Data Element	Type	Comments
albedo_o2_abp	Float32	O2 albedo at 785 and 755 nm
cloud_flag_abp	Int8	Indicator of whether the sounding contained clouds: <ul style="list-style-type: none"> • 0—'Classified clear' • 1—'Processing failed' • 2—'Not classified' • All other values undefined
cloud_flag_idp	Int8	Cloud flag derived from IMAP-DOAS algorithm: <ul style="list-style-type: none"> • -2—'Measurement unusable' • -1—'Did not converge' • 0—'Definitely cloudy' • 1—'Probably cloudy' • 2—'Probably clear' • 3—'Very clear' • All other values undefined.
co2_column_strong_band_apriori_idp	Float32	a priori CO2 vertical column density from ECMWF forecast
co2_column_strong_band_idp	Float32	CO2 vertical column density (from SCO2 band)
co2_column_strong_band_uncert_idp	Float32	1-sigma error in the CO2 vertical column density (from SCO2 band)
co2_column_weak_band_apriori_idp	Float32	a priori CO2 vertical column density from ECMWF forecast
co2_column_weak_band_idp	Float32	CO2 vertical column density (from WCO2 band)
co2_column_weak_band_uncert_idp	Float32	1-sigma error in the CO2 vertical column density (from WCO2 band)
co2_ratio_idp	Float32	Ratio of retrieved CO2 column (no scattering code) in WCO2 and SCO2 bands

Data Element	Type	Comments
co2_strong_band_processing_flag_idp	Int8	Indicator of whether the SCO2 analysis succeeded: <ul style="list-style-type: none"> • 0—'Processing succeeded' • 1—'Processing failed' • 2—'Processing skipped' • All other values undefined
co2_weak_band_processing_flag_idp	Int8	Indicator of whether the WCO2 analysis succeeded: <ul style="list-style-type: none"> • 0—'Processing succeeded' • 1—'Processing failed' • 2—'Processing skipped' • All other values undefined
dispersion_multiplier_abp	Float64	A number retrieved by the ABO2 algorithm that multiplies the dispersion coefficients as given in the L1B input file to get the effective wavelength of each channel to correct for the spacecraft-to-Earth Doppler shift.
dry_air_column_apriori_idp	Float32	Integrated vertical column of dry air mass derived from meteorological data
fluorescence_offset_relative_757nm_idp	Float32	Fraction of continuum level radiance explained by an additive offset term in the 757 nm spectral window (unitless). In the absence of instrumental errors, this will be only caused by fluorescence. Rotational Raman scattering should be negligible over typical vegetated surface and moderate solar zenith angles (<65 degrees).
fluorescence_offset_relative_771nm_idp	Float32	Fraction of continuum level radiance explained by an additive offset term in the 771 nm spectral window (unitless). In the absence of instrumental errors, this will be only caused by fluorescence. Rotational Raman scattering should be negligible over typical vegetated surface and moderate solar zenith angles (<65 degrees).
fluorescence_qual_flag_idp	UInt8	Indicator of the quality of the IMAF DOAS fluorescence retrieval for each sounding: <ul style="list-style-type: none"> • 0—good • 1—bad
fluorescence_radiance_757nm_idp	Float32	Radiance generated by fluorescence at 757 nm
fluorescence_radiance_757nm_uncert_idp	Float32	Standard deviation of the radiance generated by fluorescence at 757 nm
fluorescence_radiance_771nm_idp	Float32	Radiance generated by fluorescence at 771 nm
fluorescence_radiance_771nm_uncert_idp	Float32	Standard deviation of the radiance generated by fluorescence at 771 nm
h2o_ratio_idp	Float32	Ratio of retrieved H2O column (no scattering code) in WCO2 and SCO2 bands
h2o_ratio_uncert_idp	Float32	1-sigma error in the ratio of retrieved H2O column (no scattering code) in WCO2 and SCO2 bands
noise_o2_abp	Float32	O2 measurement noise retrieved by the ABO2 algorithm
o2_ratio_idp	Float32	Ratio of retrieved and ECMWF O2 column

Data Element	Type	Comments
reduced_chi_squared_o2_abp	Float32	O2 reduced chi squared retrieved by ABO2 preprocessing
reduced_chi_squared_o2_threshold_abp	Float32	Threshold of O2 reduced chi squared used to set cloud_flag
selection_priority	Int8	Indicator of the likelihood of generating a good retrieval from the sounding: <ul style="list-style-type: none"> • 0 = most likely • 20 = least likely
signal_o2_abp	Float32	O2 measurement signal level retrieved by the ABO2 algorithm
snr_o2_abp	Float32	O2 measurement SNR retrieved by the ABO2 algorithm
surface_pressure_abp	Float32	Surface pressure retrieved by the ABO2 algorithm
surface_pressure_apriori_abp	Float32	<i>a priori</i> surface pressure used by the ABO2 algorithm
surface_pressure_delta_abp	Float32	The value of surface_pressure_abp minus surface_pressure_apriori_abp and surface_pressure_offset_abp
surface_pressure_offset_abp	Float32	Empirically determined value to be added to the A band retrieved surface pressure, such that their sum is unbiased in clear scenes. It is modeled as a piecewise linear function of solar zenith angle; separate functions are used for land vs. ocean pixels.
temperature_offset_abp	Float32	The offset to the prior meteorological temperature profile as retrieved by the ABO2 algorithm

10.1.8 L2 Retrieval Geometry Fields

The RetrievalGeometry group (see **Table 10-8** below) contains fields geographic properties of the OCO-2 observations.

Table 10-8. RetrievalGeometry in Level 2 Standard Data file (L2Std) and Diagnostic file (L2Dia).

Data Element	Type	Comments
retrieval_altitude	Float32	Altitude of the sounding based on Earth topography
retrieval_altitude_uncert	Float32	Uncertainty of the source Earth topography data
retrieval_aspect	Float32	Orientation of the surface slope relative to the local North
retrieval_azimuth	Float32	Angle between the LOS as defined from the sounding location to the spacecraft, and the sounding location local north direction
retrieval_center_offset_o2_weak_co2	Float32	Distance between the ABO2 band footprint center and the WCO2 band footprint center
retrieval_center_offset_strong_co2_o2	Float32	Distance between the SCO2 band footprint center and the ABO2 band footprint center
retrieval_center_offset_weak_co2_strong_co2	Float32	Distance between the WCO2 band footprint center and the SCO2 band footprint center
retrieval_land_fraction	Float32	Percentage of land surface type within the sounding

Data Element	Type	Comments
retrieval_land_water_indicator	Int8	Surface type at the sounding location: <ul style="list-style-type: none"> • 0—Land • 1—Water • 2—Unused • 3—Mixed land water
retrieval_latitude	Float32	Geodetic latitude of the sounding based on Earth topography
retrieval_latitude_geoid	Float32	Geodetic latitude of the sounding based on standard geoid
retrieval_longitude	Float32	Longitude of the sounding based on Earth topography
retrieval_longitude_geoid	Float32	Longitude of the sounding based on standard geoid
retrieval_overlap	Float32	Area of intersection of all three band footprints relative to average area of all three band footprints
retrieval_overlap_o2_weak_co2	Float32	Area of intersection of the footprints of ABO2 and WCO2 relative to the average area of the two footprint
retrieval_overlap_strong_co2_o2	Float32	Area of intersection of the footprints of ABO2 and SCO2 relative to the average area of the two footprints
retrieval_overlap_weak_co2_strong_co2	Float32	Area of intersection of the footprints of WCO2 and SCO2 relative to the average area of the two footprints
retrieval_plane_fit_quality	Float32	Goodness-of-fit of surface slope: the standard deviation of the points, to which the plane is fitted, with the expected values taken as the orthogonal projection of the points onto the plane
retrieval_polarization_angle	Float32	The angle between the accepted polarization axis of the instrument and the instrument reference plane for polarization, defined as the plane formed by the LOS and the ray from the sounding location to the local zenith
retrieval_relative_velocity	Float32	Velocity of the spacecraft along the LOS: positive indicates spacecraft moving toward sounding location
retrieval_slant_path_diff_o2_weak_co2	Float32	Difference in slant path between ABO2 and WCO2 footprints
retrieval_slant_path_diff_strong_co2_o2	Float32	Difference in slant path between SCO2 and ABO2 footprints
retrieval_slant_path_diff_weak_co2_strong_co2	Float32	Difference in slant path between WCO2 and SCO2 footprints
retrieval_slope	Float32	Slope of a plane fit to points within the sounding
retrieval_solar_azimuth	Float32	Angle between the solar direction as defined from the sounding location to the Sun, and the sounding location local North direction
retrieval_solar_distance	Float64	Distance between sounding location and the Sun
retrieval_solar_relative_velocity	Float32	Velocity of the Sun along the sounding location/Sun vector: negative indicates Sun moving toward sounding location
retrieval_solar_zenith	Float32	Angle between the solar direction as defined from the sounding location to the Sun, and the sounding location local zenith direction
retrieval_surface_roughness	Float32	Standard deviation of the altitude within the sounding
retrieval_vertex_latitude	Float32	Geodetic latitude of the footprint vertices using Earth topography

Data Element	Type	Comments
retrieval_vertex_longitude	Float32	Longitude of the footprint vertices using Earth topography
retrieval_zenith	Float32	Angle between the LOS as defined from the sounding location to the spacecraft, and the sounding location local zenith direction

10.1.9 L2 Retrieval Header Fields

The RetrievalHeader group (see **Table 10-9** below) contains fields information about the time and date of the OCO-2 observations.

Table 10-9. RetrievalHeader data in Level 2 Standard Data file (L2Std) and Diagnostic file (L2Dia).

Data Element	Type	Comments
frame_index	Int32	Index of the frame dimension of the corresponding sounding in L1bScSoundingReference data elements
retrieval_time_string	String	Data acquisition time for the retrieval based upon the three footprint times (yyyy-mm-ddThh:mm:ss.mmmZ)
retrieval_time_tai93	Float64	Data acquisition time for the retrieval based upon the three footprint times in seconds since Jan. 1, 1993
sounding_id	Int64	The sounding_id of the sounding containing the spectra used to perform the retrieval
sounding_index	Int32	Index of the sounding dimension of the corresponding sounding in the L1bScSoundingReference data elements
warn_level	byte	Value predicted by modeling indicating the likely quality of the retrieval result: 0 - 'Very high quality', ... , 5 - 'Lower quality'

10.1.10 L2 Retrieval Results

The RetrievalHeader group (see **Table 10-10** below) contains the retrieval results from the OCO-2 Level 2 data products.

Table 10-10. RetrievalResults data in Level 2 Standard Data file (L2Std) and Diagnostic file (L2Dia).

Data Element	Type	Comments
aposteriori_covariance_matrix	Float32	a posteriori covariance matrix – L2 Diagnostic files only (L2Dia)
apriori_covariance_matrix	Float32	a priori covariance matrix – L2 Diagnostic files only (L2Dia)
apriori_o2_column	Float32	a priori vertical column of O2
averaging_kernel_matrix	Float32	a posteriori averaging kernel matrix – Diagnostic files only
co2_profile	Float32	Vertical profile of CO2
co2_profile_averaging_kernel_matrix	Float32	Averaging kernel for CO2 profile
co2_profile_apriori	Float32	Vertical a priori profile of CO2
co2_profile_covariance_matrix	Float32	Covariance matrix for CO2 profile
co2_profile_uncert	Float32	Vertical profile of CO2 uncertainty

Data Element	Type	Comments
co2_vertical_gradient_delta	Float32	The change from apriori to retrieved value of the vertical gradient in the co2 profile. Indicates that the apriori co2 profile was substantially different than that which was retrieved.
diverging_steps	Int16	Number of iterations in which solution diverged
dof_co2_profile	Float32	Degrees of freedom (X_{CO_2} only)
dof_full_vector	Float32	Degrees of freedom (Full state vector)
eof_1_scale_apriori_o2	Float32	a priori of retrieved scale factor of first empirical orthogonal residual function in ABO2 band
eof_1_scale_apriori_strong_co2	Float32	a priori of retrieved scale factor of first empirical orthogonal residual function in SCO2 band
eof_1_scale_apriori_weak_co2	Float32	a priori of retrieved scale factor of first empirical orthogonal residual function in WCO2 band
eof_1_scale_o2	Float32	Retrieved scale factor of first empirical orthogonal residual function in ABO2 band
eof_1_scale_strong_co2	Float32	Retrieved scale factor of first empirical orthogonal residual function in SCO2 band
eof_1_scale_uncert_o2	Float32	Uncertainty of retrieved scale factor of first empirical orthogonal residual function in ABO2 band
eof_1_scale_uncert_strong_co2	Float32	Uncertainty of retrieved scale factor of first empirical orthogonal residual function in SCO2 band
eof_1_scale_uncert_weak_co2	Float32	Uncertainty of retrieved scale factor of first empirical orthogonal residual function in WCO2 band
eof_1_scale_weak_co2	Float32	Retrieved scale factor of first empirical orthogonal residual function in WCO2 band
eof_2_scale_apriori_o2	Float32	a priori of retrieved scale factor of second empirical orthogonal residual function in ABO2 band
eof_2_scale_apriori_strong_co2	Float32	a priori of retrieved scale factor of second empirical orthogonal residual function in SCO2 band
eof_2_scale_apriori_weak_co2	Float32	a priori of retrieved scale factor of second empirical orthogonal residual function in WCO2 band
eof_2_scale_o2	Float32	Retrieved scale factor of second empirical orthogonal residual function in ABO2 band
eof_2_scale_strong_co2	Float32	Retrieved scale factor of second empirical orthogonal residual function in SCO2 band
eof_2_scale_uncert_o2	Float32	Uncertainty of retrieved scale factor of second empirical orthogonal residual function in ABO2 band
eof_2_scale_uncert_strong_co2	Float32	Uncertainty of retrieved scale factor of second empirical orthogonal residual function in SCO2 band
eof_2_scale_uncert_weak_co2	Float32	Uncertainty of retrieved scale factor of second empirical orthogonal residual function in WCO2 band
eof_2_scale_weak_co2	Float32	Retrieved scale factor of second empirical orthogonal residual function in WCO2 band
eof_3_scale_apriori_o2	Float32	a priori of retrieved scale factor of third empirical orthogonal residual function in ABO2 band

Data Element	Type	Comments
eof_3_scale_apriori_strong_co2	Float32	a priori of retrieved scale factor of third empirical orthogonal residual function in SCO2 band
eof_3_scale_apriori_weak_co2	Float32	a priori of retrieved scale factor of third empirical orthogonal residual function in WCO2 band
eof_3_scale_o2	Float32	Retrieved scale factor of third empirical orthogonal residual function in ABO2 band
eof_3_scale_strong_co2	Float32	Retrieved scale factor of third empirical orthogonal residual function in SCO2 band
eof_3_scale_uncert_o2	Float32	Uncertainty of retrieved scale factor of third empirical orthogonal residual function in ABO2 band
eof_3_scale_uncert_strong_co2	Float32	Uncertainty of retrieved scale factor of third empirical orthogonal residual function in SCO2 band
eof_3_scale_uncert_weak_co2	Float32	Uncertainty of retrieved scale factor of third empirical orthogonal residual function in WCO2 band
eof_3_scale_weak_co2	Float32	Retrieved scale factor of third empirical orthogonal residual function in WCO2 band
fluorescence_at_reference	Float32	Retrieved fluorescence at 0.757 microns
fluorescence_at_reference_apriori	Float32	<i>a priori</i> of retrieved fluorescence at 0.757 microns
fluorescence_at_reference_uncert	Float32	Uncertainty of retrieved fluorescence at 0.757 microns
fluorescence_slope	Float32	Retrieved fluorescence slope at 0.757 microns
fluorescence_slope_apriori	Float32	<i>a priori</i> of retrieved fluorescence slope at 0.757 microns
fluorescence_slope_uncert	Float32	Uncertainty of retrieved fluorescence slope at 0.757 microns
h2o_scale_factor	Float32	Retrieved scale factor for H2O profile
h2o_scale_factor_apriori	Float32	<i>a priori</i> of retrieved scale factor for H2O profile
h2o_scale_factor_uncert	Float32	Uncertainty of retrieved scale factor for H2O profile
interference_smoothing_uncert	Float32	Uncertainty in X_{CO_2} attributable to each element in the state vector – L2 Diagnostic files only (L2Dia)
iterations	Int16	Number of iterations
last_step_levenberg_marquardt_parameter	Float32	Levenberg Marquardt parameter corresponding to last iteration
num_active_levels	Int16	Number of levels in atmospheric model
num_state_vector_elements	Int16	Number of elements in retrieved state vector – L2 Diagnostic files only (L2Dia)
outcome_flag	Int8	Indicator of retrieval results: <ul style="list-style-type: none"> • 1—'Passed internal quality check' • 2—'Failed internal quality check' • 3—'Reached maximum allowed iterations' • 4—'Reached maximum allowed divergences'
retrieved_co2_column	Float32	Retrieved vertical column of CO2
retrieved_dry_air_column_layer_thickness	Float32	Retrieved vertical column of dry air per atmospheric layer
retrieved_h2o_column	Float32	Retrieved vertical column of H2O

Data Element	Type	Comments
retrieved_h2o_column_layer_thickness	Float32	Retrieved vertical column of H2O per atmospheric layer
retrieved_o2_column	Float32	Retrieved vertical column of O2
retrieved vertical column of H2O	Float32	Retrieved vertical column of H2O per atmospheric layer
retrieved_wet_air_column_layer_thickness	Float32	Retrieved vertical column of wet air per atmospheric layer
specific_humidity_profile_ecmwf	Float32	ECMWF specific humidity profile interpolated to observation location, time
surface_pressure_apriori_fph	Float32	a priori surface pressure retrieved by full-physics algorithm
surface_pressure_fph	Float32	Surface pressure retrieved by full-physics algorithm
surface_pressure_uncert_fph	Float32	Uncertainty in the surface pressure retrieved by the full-physics algorithm
surface_type	String	Type of model used for the Earth's surface: <ul style="list-style-type: none"> 'Lambertian' 'Coxmunk,Lambertian'
temperature_offset_apriori_fph	Float32	a priori of retrieved offset of temperature profile
temperature_offset_fph	Float32	Retrieved offset of temperature profile
temperature_offset_uncert_fph	Float32	Uncertainty of retrieved offset of temperature profile
temperature_profile_ecmwf	Float32	ECMWF temperature profile interpolated to observation location, time
vector_pressure_levels	Float32	Pressure altitude corresponding to each atmospheric level
vector_pressure_levels_apriori	Float32	a priori pressure altitude corresponding to each atmospheric level
vector_pressure_levels_ecmwf	Float32	Pressure altitude corresponding to each ECMWF atmospheric level
wind_speed	Float32	Retrieved Cox-Munk wind speed
wind_speed_apriori	Float32	a priori of retrieved Cox-Munk wind speed
wind_speed_uncert	Float32	Uncertainty of retrieved Cox-Munk wind speed
xco2	Float32	Column-averaged CO2 dry air mole fraction
xco2_apriori	Float32	a priori of column-averaged CO2 dry air mole fraction
xco2_avg_kernel	Float32	Column averaging kernel
xco2_avg_kernel_norm	Float32	Normalized column averaging kernel
xco2_correlation_interf	Float32	Correlation between fluctuations in X_{CO_2} and each element in the state vector – L2 Diagnostic files only (L2Dia)
xco2_gain_vector	Float32	Partial derivative of retrieved X_{CO_2} with respect to the measured radiance
xco2_pressure_weighting_function	Float32	Pressure weighting function used to form X_{CO_2}
xco2_uncert	Float32	Uncertainty in column averaged CO2 dry air mole fraction
xco2_uncert_interf	Float32	Variance of X_{CO_2} due to interference
xco2_uncert_noise	Float32	Variance of X_{CO_2} due to noise
xco2_uncert_smooth	Float32	Variance of X_{CO_2} due to smoothing

10.1.11L2 Spectral Parameters Group Fields

The SpectralParameters group (see **Table 10-11** below) contains the retrieval results from the OCO-2 Level 2 data products.

Table 10-11. SpectralParameters data in Level 2 Standard Data file (L2Std) and Diagnostic file (L2Dia).

Data Element	Type	Comments
measured_radiance	Float32	The measured spectra mapped into the retrieval color grid – L2 Diagnostic files only (L2Dia)
measured_radiance_uncert	Float32	Uncertainty of the measured spectra mapped into the retrieval color grid – L2 Diagnostic files only (L2Dia)
modeled_radiance	Float32	The modeled spectra mapped into the retrieval color grid – L2 Diagnostic files only (L2Dia)
noise_o2_fph	Float32	Aggregate noise in the ABO2 band
noise_strong_co2_fph	Float32	Aggregate noise in the SCO2 band
noise_weak_co2_fph	Float32	Aggregate noise in the WCO2 band
num_colors	Int32	The number of spectral colors contributing to the retrieval result – L2 Diagnostic files only (L2Dia)
num_colors_per_band	Int32	The number of spectral colors contributing to the retrieval result per spectral region – L2 Diagnostic files only (L2Dia)
reduced_chi_squared_o2_fph	Float32	Reduced chi squared of spectral fit of the ABO2 band
reduced_chi_squared_strong_co2_fph	Float32	Reduced chi squared of spectral fit of the SCO2 band
reduced_chi_squared_weak_co2_fph	Float32	Reduced chi squared of spectral fit of the WCO2 band
relative_residual_mean_square_o2	Float32	Mean square of the residuals divided by the signal for the ABO2 band
relative_residual_mean_square_strong_co2	Float32	Mean square of the residuals divided by the signal for the SCO2 band
relative_residual_mean_square_weak_co2	Float32	Mean square of the residuals divided by the signal for the WCO2 band
residual_mean_square_o2	Float32	Mean of the squares of the residuals for the ABO2 band
residual_mean_square_strong_co2	Float32	Mean of the squares of the residuals for the SCO2 band
residual_mean_square_weak_co2	Float32	Mean of the squares of the residuals for the WCO2 band
sample_indexes	Int32	The 1-based index of the detector sample associated with the radiance – L2 Diagnostic files only (L2Dia)
signal_o2_fph	Float32	Aggregate signal in the ABO2 band
signal_strong_co2_fph	Float32	Aggregate signal in the SCO2 band
signal_weak_co2_fph	Float32	Aggregate signal in the WCO2 band
wavelength	Float32	The wavelength represented by each point in the retrieval color grid – L2 Diagnostic files only (L2Dia)

10.2 Selected L1B Data Tables from L1B Science Files (L1bSc)

The primary dimension of the data is the sounding. For each sounding, there are eight spatial footprints. For each footprint, there are three spectral bands: O₂ A band, weak CO₂, and strong CO₂.

10.2.1 FrameGeometry

The FrameGeometry group (see **Table 10-12** below) contains detailed information about the spacecraft position and orientation during the observations.

Table 10-12. Spacecraft position and orientation during observations.

Data Element	Type	Comments
ground_track	Float32	Ground track orientation relative to local north
pitch	Float32	Interpolated spacecraft attitude at the frame time
relative_velocity	Float32	Velocity of the spacecraft along the LOS; positive indicates spacecraft moving toward target location
roll	Float32	Interpolated spacecraft attitude at the frame time
spacecraft_alt	Float32	Altitude of the spacecraft above the reference ellipsoid at the frame time
spacecraft_lat	Float32	Geodetic latitude of the spacecraft at the frame time
spacecraft_lon	Float32	Longitude of the spacecraft at the frame time
spacecraft_position	Float32	Interpolated spacecraft position at the frame time
spacecraft_velocity	Float32	Interpolated spacecraft velocity at the frame time
yaw	Float32	Interpolated spacecraft attitude at the frame time

10.2.2 SoundingGeometry

The SoundingGeometry group (see **Table 10-13** below) contains detailed information about the geometric location, atmospheric geometry, and surface conditions for the sounding that combines the three spectrometers.

Table 10-13. Geometric location, atmospheric geometry, and surface conditions.

Data Element	Type	Comments
sounding_altitude	Float32	Altitude of the sounding based on Earth topography
sounding_altitude_uncert	Float32	Uncertainty of the source Earth topography data
sounding_aspect	Float32	Orientation of the surface slope relative to the local North
sounding_azimuth	Float32	Angle between the LOS as defined from the sounding location to the spacecraft, and the sounding location local north direction
sounding_center_offset_o2_weak_co2	Float32	Distance between the ABO ₂ band footprint center and the WCO ₂ band footprint center
sounding_center_offset_strong_co2_o2	Float32	Distance between the SCO ₂ band footprint center and the ABO ₂ band footprint center
sounding_center_offset_weak_co2_strong_co2	Float32	Distance between the WCO ₂ band footprint center and the SCO ₂ band footprint center
sounding_id	Int64	Unique identifier for each sounding
sounding_land_fraction	Float32	Percentage of land surface type within the sounding

Data Element	Type	Comments
sounding_land_water_indicator	Int8	Surface type at the sounding location: <ul style="list-style-type: none"> • 0—Land • 1—Water • 2—Unused • 3—Mixed land water
sounding_latitude	Float32	Geodetic latitude of the sounding based on Earth topography
sounding_latitude_geoid	Float32	Geodetic latitude of the sounding based on standard geoid
sounding_longitude	Float32	Longitude of the sounding based on Earth topography
sounding_longitude_geoid	Float32	Longitude of the sounding based on standard geoid
sounding_overlap	Float32	Area of intersection of all three band footprints relative to average area of all three band footprints
sounding_overlap_o2_weak_co2	Float32	Area of intersection of the footprints of ABO2 and WCO2 relative to the average area of the two footprint
sounding_overlap_strong_co2_o2	Float32	Area of intersection of the footprints of ABO2 and SCO2 relative to the average area of the two footprints
sounding_overlap_weak_co2_strong_co2	Float32	Area of intersection of the footprints of WCO2 and SCO2 relative to the average area of the two footprints
sounding_plane_fit_quality	Float32	Goodness-of-fit of surface slope: the standard deviation of the points, to which the plane is fitted, with the expected values taken as the orthogonal projection of the points onto the plane
sounding_polarization_angle	Float32	The angle between the accepted polarization axis of the instrument and the instrument reference plane for polarization, defined as the plane formed by the LOS and the ray from the sounding location to the local zenith
sounding_qual_flag	UInt64	Bit flags indicating the quality of the data in sounding: <ul style="list-style-type: none"> • 0—Good • Non-zero—See Table 10-21
sounding_relative_velocity	Float32	Velocity of the spacecraft along the LOS: positive indicates spacecraft moving toward sounding location
sounding_slant_path_diff_o2_weak_co2	Float32	Difference in slant path between ABO2 and WCO2 footprints
sounding_slant_path_diff_strong_co2_o2	Float32	Difference in slant path between SCO2 and ABO2 footprints
sounding_slant_path_diff_weak_co2_strong_co2	Float32	Difference in slant path between WCO2 and SCO2 footprints
sounding_slope	Float32	Slope of a plane fit to points within the sounding
sounding_solar_relative_velocity	Float64	Velocity of the Sun along the sounding location/Sun vector: negative indicates Sun moving toward sounding location
sounding_solar_azimuth	Float32	Angle between the solar direction as defined from the sounding location to the Sun, and the sounding location local north direction
sounding_solar_distance	Float64	Distance between sounding location and the Sun
sounding_solar_zenith	Float32	Angle between the solar direction as defined from the sounding location to the Sun, and the sounding location local zenith direction
sounding_surface_roughness	Float32	Standard deviation of the altitude within the sounding

Data Element	Type	Comments
sounding_time_string	String	Data acquisition time for the sounding based upon the three footprint times (yyyy-mm-ddThh:mm:ss.mmmZ)
sounding_time_tai93	Float64	Data acquisition time for the sounding based upon the three footprint times in seconds since Jan. 1, 1993
sounding_zenith	Float32	Angle between the LOS as defined from the sounding location to the spacecraft, and the sounding location local zenith direction

10.3 L1B Data Tables

10.3.1 SoundingMeasurements

The SoundingMeasurements group (see **Table 10-14** below) contains the calibrated radiance spectra. The spectral data are stored as 32-bit floats whose units are photons/m²/sr/μm.

Table 10-14. Calibrated radiance spectra.

Data Element	Type	Comments
radiance_o2	Float32	Calibrated spectra for ABO2
radiance_strong_co2	Float32	Calibrated spectra for SCO2
radiance_weak_co2	Float32	Calibrated spectra for WCO2
snr_o2_11b	Float32	The mean signal-to-noise ratio of the good samples in ABO2 falling between the 98th and 99th percentile for signal level
snr_strong_co2_11b	Float32	The mean signal-to-noise ratio of the good samples in SCO2 falling between the 98th and 99th percentile for signal level
snr_weak_co2_11b	Float32	The mean signal-to-noise ratio of the good samples in WCO2 falling between the 98th and 99th percentile for signal level

10.3.2 SliceMeasurements

The SliceMeasurements group (see **Table 10-15** below) contains the calibrated radiance values for the color slices. The spectral slice data are stored as 32-bit floats whose units are photons/m²/sr/μm.

Table 10-15. Calibrated radiance values for the color slices.

Data Element	Type	Comments
radiance_slice_o2	Float32	Radiance values for all ABO2 color slice pixels
radiance_slice_strong_co2	Float32	Radiance values for all SCO2 color slice pixels
radiance_slice_weak_co2	Float32	Radiance values for all WCO2 color slice pixels

10.3.3 FootprintGeometry

The FootprintGeometry group (see **Table 10-16** below) contains detailed information about the location and observational geometry for each focal plane and spatial footprint.

Table 10-16. Location and observational geometry for each focal plane and spatial footprint.

Data Element	Type	Comments
footprint_time_tai93	Float64	Data acquisition time for the center of footprint in seconds since Jan. 1, 1993

Data Element	Type	Comments
footprint_time_string	String	Data acquisition time for the center of footprint (yyyy-mm-ddThh:mm:ss.mmmZ)
footprint_o2_qual_flag	UInt16	Bit flags indicating the quality of the ABO2 data in footprint: <ul style="list-style-type: none"> • 0—Good • Non-zero—See Table 10-21
footprint_weak_co2_qual_flag	UInt16	Bit flags indicating the quality of the WCO2 data in footprint: <ul style="list-style-type: none"> • 0—Good • Non-zero—See Table 10-21
footprint_strong_co2_qual_flag	UInt16	Bit flags indicating the quality of the SCO2 data in footprint: <ul style="list-style-type: none"> • 0—Good • Non-zero—See Table 10-21
footprint_latitude_geoid	Float32	Geodetic latitude of the footprint based on standard geoid
footprint_longitude_geoid	Float32	Longitude of the footprint based on standard geoid
footprint_latitude	Float32	Geodetic latitude of the footprint center based on Earth topography
footprint_longitude	Float32	Longitude of the footprint center based on Earth topography
footprint_altitude	Float32	Altitude of the footprint center based on Earth topography
footprint_altitude_uncert	Float32	Uncertainty of the source Earth topography data
footprint_slope	Float32	Slope of a plane fit to points within the footprint
footprint_plane_fit_quality	Float32	Goodness of fit - standard deviation of the points to which the plane is fitted, with the expected values taken as the orthogonal projection of the points onto the plane
footprint_aspect	Float32	Orientation of the surface slope relative to the ground track
footprint_surface_roughness	Float32	Standard deviation of the altitude within the footprint
footprint_solar_azimuth	Float32	Angle between the solar direction as defined from the footprint location to the Sun, and the footprint location local north direction
footprint_solar_zenith	Float32	Angle between the solar direction as defined from the footprint location to the Sun, and the footprint location local zenith direction
footprint_azimuth	Float32	Angle between the LOS as defined from the footprint location to the spacecraft, and the footprint location local north direction
footprint_zenith	Float32	Angle between the LOS as defined from the footprint location to the spacecraft, and the footprint location local zenith direction
footprint_vertex_longitude	Float32	Longitude of the footprint vertices using Earth topography
footprint_vertex_latitude	Float32	Geodetic latitude of the footprint vertices using Earth topography
footprint_vertex_altitude	Float32	Altitude of the footprint vertices using Earth topography
footprint_stokes_coefficients	Float32	Weighting factors applied to the Stokes parameters calculated by the radiative transfer code to compute the radiance
footprint_land_fraction	Float32	Percentage of land surface type within the footprint

Data Element	Type	Comments
footprint_polarization_angle	Float32	The angle between the accepted polarization axis of the instrument and the instrument reference plane for polarization, defined as the plane formed by the LOS and the ray from the footprint location to the local zenith

10.3.4 FrameConfiguration

The FrameConfiguration group (see **Table 10-17** below) contains information about how the detectors and color slices were configured during the observations.

Table 10-17. Configuration of detectors and color slices.

Data Element	Type	Comments
color_slice_position_o2	Int16	Absolute spectral position, in pixels, of each color slice in ABO2 band
color_slice_position_strong_co2	Int16	Absolute spectral position, in pixels, of each color slice in SCO2 band
color_slice_position_weak_co2	Int16	Absolute spectral position, in pixels, of each color slice in WCO2 band
footprint_spatial_end_position	UInt8	Position of end of each footprint, in pixels, relative to initial_unused_pixels
footprint_spatial_start_position	UInt8	Position of start of each footprint, in pixels, relative to initial_unused_pixels
initial_unused_pixels	Int16	Distance in pixels of the start of first footprint from edge of FPA

10.3.5 FrameHeader

The FrameHeader group (see **Table 10-18** below) contains the frame identification numbers, times (UTC and TAI), the L1B frame quality flag, and some details of the array clocking.

Table 10-18. Frame identification data.

Data Element	Type	Comments
clocking_offset_interval	Int16	The number of pixels between each successive pixel shift used to apply a clocking correction
clocking_offset_start	Int32	The first pixel where the flight software applies a focal plane clocking correction - negative value indicates the clocking shift operates downward, positive value indicates clocking shift is upward
frame_id	Int64	The mission-unique frame identifier
frame_qual_flag	UInt64	Bit flags indicating the quality of the data in each frame: <ul style="list-style-type: none"> • 0—Good • Non-zero—See Table 10-21
frame_time_string	String	Time of telemetry frame (yyyy-mm-ddThh:mm:ss.mmmZ)
frame_time_tai93	Float64	Time of telemetry frame in seconds since Jan 1, 1993

10.3.6 FrameTemperatures

The FrameTemperatures group (see **Table 10-19** below) contains selected temperatures for each frame.

Table 10-19. Selected temperature data.

Data Element	Type	Comments
temp_afe_electronics_enclosure	Float32	Temperature of the AFE electronics enclosure
temp_fpa	Float32	FPA temperatures extracted for this frame time from the temperature data history
temp_optical_bench_grating_mz	Float32	Temperature of the optical bench grating
temp_relay_sco2_mz	Float32	Temperature of the relay
temp_shroud_py_tz1	Float32	Temperature of the shroud
temp_smooth_fpa_o2	Float32	ABO2 FPA temperature after noise-reduction processing
temp_smooth_fpa_strong_co2	Float32	SCO2 FPA temperature after noise-reduction processing
temp_smooth_fpa_weak_co2	Float32	WCO2 FPA temperature after noise-reduction processing
temp_smooth_optical_bench_grating_mz	Float32	Optics temperature for this frame after noise-reduction processing
temp_telescope	Float32	Temperature of the telescope

10.3.7 InstrumentHeader

The InstrumentHeader group (see **Table 10-20** below) contains information about the performance of the instrument.

Table 10-20. Instrument performance data.

Data Element	Type	Comments
ils_delta_lambda	Float32	Wavelength offset from peak response for sampled data
ils_relative_response	Float32	The relative response defined at ils_delta_lambda
full_width_half_maximum	Float32	The spectral response width at full-width-half-maximum, per pixel
measureable_signal_max_observed	Float32	Maximum radiance measurable by each spectrometer without detector saturation
snr_coef	Float64	Three coefficients of the noise model: photon component (proportional to the square root of signal), a constant background component and a bad sample flag where 0 - OK, 1 - ignore for retrievals
dispersion_coef_samp	Float64	Coefficients that express the relationship between the spectral element index and its associated wavelength
residual_estimate	Float32	Empirical estimate of the systematic residuals that cannot be removed by calibration

10.4 Quality Flag Information

The table below (**Table 10-21**) contains information from some of the L1b and L2 data files.

Table 10-21. Sounding quality flag information

Product Quality Flag	Bit(s)
frame_qual_flag	
QAScienceCompleteO2 • 0 = All O2 band science data (sample/hi-res pixels) present	0
QAOHKCompleteO2 • 0 = All O2 band OHK data is present	1
QAScienceCompleteWeakCO2 • 0 = All Weak CO2 band science data (sample/hi-res pixels) present	2
QAOHKCompleteWeakCO2 • 0 = All WeakCO2 band OHK data is present	3
QAScienceCompleteStrongCO2 • 0 = All Strong CO2 band science data (sample/hi-res pixels) present	4
QAOHKCompleteStrongCO2 • 0 = All Strong CO2 band OHK data is present	5
QAIInstHKComplete • 0 = All instrument housekeeping data is present	6
QAIInstHKMostRecent • 0 = Most recent instrument housekeeping data was generated within an acceptable time period of the science data. The acceptable gap is reported in the AllowedIHKGap metadata field	7
CompleteFrame • 0 = All input data for this frame are available • 1 = At least one data element is missing	8
CompleteHeader • 0 = All header data for this frame are available • 1 = At least one data element in the header is missing	9
AlgorithmicError • 0 = PGE successfully calculated all output elements in this frame • 1 = Algorithmic errors detected in the frame	10
• 0 = Successfully calculated all ABO2 FPA temperatures	11
• 0 = Successfully calculated all WCO2 FPA temperatures	12
• 0 = Successfully calculated all SCO2 FPA temperatures	13
• 0 = Data from all bands acquired simultaneously • 1 = Data from one band offset in time from the other bands (Pixel-resolution data only). The offset is provided in the FrameTimeOffset Metadata field	14
Calibration Door (Diffuser) position • 0 = Open for science • 1 = Not open for science	15
• 0 = All frame geometry calculated successfully • 1 = Some frame geometry fields not calculated successfully.	16
• 0 = Valid frame time received • 1 = Invalid frame time received or no frame time received	17
• 0 = Valid ephemeris data received • 1 = Invalid ephemeris data received	18
• 0 = Valid attitude data received • 1 = Invalid attitude data received	19
Spare	20 – 31
Reserved for higher-level processing	32 – 63

11 Tools and Data Services

11.1 HDFView

HDFView is a Java based graphical user interface created by the HDF Group that can be used to browse all ACOS HDF products. The utility allows users to view all objects in an HDF file hierarchy, which is represented as a tree structure. HDFView can be downloaded or support found at: <http://www.HDFgroup.org/HDF-java-html/HDFview/>.

11.2 Panoply

Panoply is a cross-platform application that plots geo-gridded and other arrays from [netCDF](#), [HDF](#), [GRIB](#), and other datasets. See <http://www.giss.nasa.gov/tools/panoply/>.

11.3 Mirador

Level 2 data from OCO-2 is available at the Goddard Earth Sciences Data and Information Services Center (GES DISC). The GES DISC provides basic temporal, advanced (event), and spatial searches through its search and download engine, Mirador (<http://mirador.gsfc.nasa.gov>). Mirador offers various download options that suit users with different preferences and different levels of technical skills. Users can start from a point where they don't know anything about these particular data, its location, size, format, etc., to quickly find what they need by just providing relevant keywords, like "OCO-2," or "CO2."

11.4 JPL CO₂ Virtual Science Data Environment

The JPL CO₂ Virtual Science Data Environment (co2.jpl.nasa.gov) provides access to and information about satellite observations of carbon dioxide. This site is hosting the first version of daily lite files (released in September 2015). The site allows users to create custom subsets of Level 2 data products and custom Level 3 gridded data product from OCO-2, ACOS and other satellite instruments. OCO-2 data products will be available from the site at the same schedule as official data releases to the NASA DAAC.

12 Contact Information

For the most up-to-date contact information, please refer to oco2.jpl.nasa.gov.

13 Acknowledgements and References

13.1 Acknowledgements

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13.2 Additional Resources

There are a number of other project documents that the user should refer to as they work with the data

1. L1B ATBD—this algorithm theoretical basis document describes the process used to take the uncalibrated spectrum to calibrated radiance spectra
2. L2 ATBD—this ATBD steps through the physics and implementation of the Level 2 algorithm
3. ATBDs for IMAP-DOAS and ABO2—these ATBD documents describe the two methods of identifying potentially cloudy footprints, in what we refer to as the prescreening step. These data are then used for setting data quality and data selection levels
4. Published papers—in addition to the references in the next section, there are a number of published papers describing the algorithm, application to GOSAT, prescreening steps, etc. Please see the most up to date list of publications on oco2.jpl.nasa.gov

13.3 References

13.3.1 Links

- <http://oco2.jpl.nasa.gov>

Level 2 algorithm information

- ACOS Level 2 Algorithm Theoretical Basis Document, JPL D-65488

Releases and publications

- http://www.jaxa.jp/press/2009/02/20090209_ibuki_e.html

13.3.2 OCO-2 Mission

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14 Acronyms

ABO2	O ₂ A band cloud screening algorithm
ACOS	Atmospheric CO ₂ observations from space
AFE	Analog front-end electronics
aod	Aerosol optical depth
ARP	Ancillary radiometric product
ATBD	Algorithm theoretical basis document
CO ₂	Carbon dioxide
CSU	Colorado State University
DN	Data number
DOAS	Differential optical absorption spectroscopy
ECMWF	European Centre for Medium-Range Weather Forecasts
EOS	Earth Observing System
EOS A-Train	EOS Afternoon Constellation
FP	Full physics (algorithm)
FPA	Focal plane array (or assembly)
FWHM	Full width at half maximum
GES DISC	Goddard Earth Sciences Data and Information Services Center
GOSAT	Greenhouse Gases Observing Satellite
GSFC	Goddard Space Flight Center
H ₂ O	Water
HDF	Hierarchical data format
ILS	Instrument line shape
IMAP-DOAS	Iterative maximum <i>a posteriori</i> differential optical absorption spectroscopy
L (0,1,..)	Level 0, 1, etc. data product
LOS	Line of sight
NASA	National Aeronautics and Space Administration
O ₂	Oxygen
OCO	Orbiting Carbon Observatory
OCO-2	Orbiting Carbon Observatory-2
SAA	South Atlantic Anomaly
SCO2	Strong CO ₂ band
SIF	Solar-induced chlorophyll fluorescence
SNR	Signal-to-noise ratio
TAI	International atomic time
TCCON	Total Carbon Column Observing Network
UTC	Coordinated universal time
VCD	Vertical column density
VMR	Volume mixing ratio
WCO2	Weak CO ₂ band
WMO	World Meteorological Organization
X_{CH4}	Column-averaged dry air mole fraction of atmospheric methane
X_{CO2}	Column-averaged dry air mole fraction of atmospheric carbon dioxide